

**Kentucky Horse Racing and Gaming**  
Meeting Materials for Special Meeting  
January 20, 2026



**MINUTES OF THE NOVEMBER 25, 2025, KENTUCKY HORSE RACING AND GAMING CORPORATION SPECIAL MEETING**

Date: November 25, 2025, at 1:30 p.m.

Place: KHRG Conference Room and via Video Teleconference

Board Members Present: Chair Jonathan Rabinowitz, Vice Chair Charles O'Connor, Mike Berry, Dr. Tiffany Daniels, Greg Harbut, Kerry Harvey, William L.S. Landes, Catherine Parke, C. Frank Shoop, James E. Worley, Dale Romans, Gregory Mason, Jason Chinn, William H. May, Michael Dudgeon and William Redwine

Ex-officio Members Present: Mona Juett for Secretary Lindy Casebier, Chad Thompson for Secretary Ray Perry, Jansen Hammock for Secretary Jeff Noel

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| I. Call to Order and Roll Call | 5 - 8                           | <p>Chair Rabinowitz began the meeting by giving special thanks and recognition to Vice President of Racing and Chief State Steward Barbara Borden, who was named Woman of the Year at the Kentucky Thoroughbred Owners Awards dinner.</p> <p>Chair Rabinowitz explained that agenda items 2, 14, 15, 16 and 17 would not be presented due to some recently received new information. A special meeting in December will cover those items.</p> <p>Chair Rabinowitz called the meeting of the Kentucky Horse Racing and Gaming Corporation (KHRGC) to order and performed the roll call. A quorum was recognized.</p> | No action taken. |

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| <b>II. New Business</b><br><b>Tab 1: Approve the Minutes of the October 2, 2025, Meeting</b> | 8 - 9                           | <p>Chair Rabinowitz requested a motion from the Board to approve the minutes of the October 2, 2025, meeting. Motion by Mr. Landes and second by Mr. Harbut.</p> <p>Chief Legal Officer Ashleigh Bailey was assigned the floor and stated the minutes were located behind the first tab of the meeting materials.</p>   | Chair Rabinowitz called for a vote to approve minutes of the October 2, 2025, meeting. Motion carried with no objections or abstentions.                              |
| <b>Tab 3: Purchase Request for One 2026 SUV with Gary Yeomans Frankfort Ford</b>             | 10 - 12                         | <p>Chair Rabinowitz requested a motion from the Board to approve a Purchase Request for One 2026 SUV with Gary Yeomans Frankfort Ford. Motion by Mr. Harvey and second by Ms. Parke.</p> <p>Chief Financial Officer Katie Smith was assigned the floor and explained that the requested vehicle purchase is to replace a 2020 Ford Fusion involved in an accident in September. KHRG requested quotes from three dealers with state master agreements and also requested trade-in values on two older Charitable Gaming vehicles recently replaced. KHRG received two quotes. Staff recommended approval of the vehicle purchase in the amount of \$28,480.75 from Gary Yeomans Frankfort Ford. The FY26 budget included \$150,000 for vehicle purchases and no other vehicles have been purchased. The finance committee approved of this purchase at their meeting earlier today.</p> | Chair Rabinowitz called for a vote to approve a Purchase Request for One 2026 SUV with Gary Yeomans Frankfort Ford. Motion carried with no objections or abstentions. |
| <b>Tab 4: Purchase Request for Insurance</b>   | 12 - 13                         | Chair Rabinowitz requested a motion from the Board to approve a Purchase Request for Insurance. Motion by Mr. Berry and second by Mr. Dudgeon.  | Chair Rabinowitz called for a vote to approve a Purchase Request for  |

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|  |                                 | Chief Financial Officer Katie Smith was assigned the floor and explained that KRS 230.230 requires KHRG to maintain proper levels of property, casualty, and liability insurance. In 2024, initial policies were purchased, including professional liability, general liability, property, crime, inland marine, and a commercial umbrella policy. The policies are due for annual renewal. The cost has increased by approximately \$20,000 due to the addition of veterinary staff. The finance committee approved of this request at their meeting earlier today. | Insurance. Motion carried with no objections or abstentions.  |
| <b>Tab 5: Voluntary Implementation Agreement with the Horseracing Integrity and Safety Authority, Inc. and the Horseracing Integrity and Welfare Unit for 2026</b> | 13 - 17                         | <p>Chair Rabinowitz requested a motion from the Board to approve the Voluntary Implementation Agreement with the Horseracing Integrity and Safety Authority, Inc. and the Horseracing Integrity and Welfare Unit for 2026. Motion by Ms. Parke and second by Mr. May.</p> <p>Chief Legal Officer Ashleigh Bailey was assigned the floor and presented the Voluntary Implementation Agreement with HISA and HIWU for 2026. Staff reviewed this contract and recommend approval.</p>   | Chair Rabinowitz called for a vote to approve the Voluntary Implementation Agreement with the Horseracing Integrity and Safety Authority, Inc. and the Horseracing Integrity and Welfare Unit for 2026. Motion carried with no objections and no abstentions. |
| <b>Tab 6: Proposed Amendments to 810 KAR 3:020</b>   | 17 -22                          | Chair Rabinowitz requested a motion from the Board to approve the Proposed Amendments to 810 KAR 3:020. Motion by Ms. Parke and second by Mr. May.   | Chair Rabinowitz called for a vote to approve the Proposed Amendments to 810 KAR 3:020.   |



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|             |                                 | <p>Chief Legal Officer Ashleigh Bailey was assigned the floor and explained that this regulation was presented to the Board in April and while in the public comment phase, comments were received from the Kentucky Board of Veterinary Examiners as well as the Legislative Research Commission. The regulation was withdrawn, additional amendments were made, and the regulation is being presented to the Board again.</p> <p>The regulation amendments have been revised and include an amendment to Section 2 “persons required to be licensed” to include two categories: Allied Animal Health Professional Animal Chiropractor and Allied Animal Health Professional Equine Dental Provider.</p> <p>The next amendment allows written requests for a waiver of licensing requirements for certain licensees. The new language details the information required when requesting said waiver. This language was approved back in April.</p> <p>Section 5 provides licensing requirements for specific licenses and a requirement for applications for farm managers or stable agents to submit evidence with their application. The three-day cap for special licenses was also removed; that was previously approved back in April.</p> <p>Section 6 adds new categories for license fees. Section 15 edits the 15-year limitation for felonies or misdemeanors so that the licensing office can obtain a complete criminal background record</p> | <p>Motion carried with no objections or abstentions.</p> |

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|  |                           | of any applicant. Section 17 increases the threshold requirement to report fines from other jurisdictions from \$500 to \$1,000. Section 18 removes the provision specifying that the corporation may refuse a temporary license for failure to provide certain specific information. The last edits were to remove the phrase “ruled off” when including “ejected” and “excluded.” “Horses” was changed to “breeds” and “thoroughbred” to “horse.”  |   |
| <b>Tab 8: Electronic Table Game Themes and Terminals for Historical Horse Racing</b> | 24 - 28                   | <p>Chair Rabinowitz stated that agenda item 7 would be moved to the end of the meeting.</p> <p>Chair Rabinowitz requested a motion from the Board to approve Electronic Table Game Themes and Terminals for Historical Horse Racing. Motion by Mr. Worley and second by Mr. Romans.</p> <p>Senior Vice President of Gaming and Media Relations, Travers Manley, was assigned the floor and shared that Churchill Downs Incorporated, Exacta Systems, LLC and Interblock Gaming requested approval of an historical horse racing roulette game theme system and terminals. A detailed summary of the request was provided in the meeting materials. Staff recommended the general approval of the use of electronic table game themes and terminals for use in historical horse racing in Kentucky.</p> <p>Board Member Parke inquired about the roulette signage and Senior Vice President of Operations for Churchill Downs, Inc., Justin Paul, explained that the signage could be investigated.</p> | <p>Chair Rabinowitz called for a vote to approve Electronic Table Game Themes and Terminals for Historical Horse Racing.</p> <p>Motion carried with one no vote and one abstention.</p> |

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| <b>Tab 9: Request for Approval of Totalizator Service Licenses for 2026</b>               | 28 - 29                         | <p>Chair Rabinowitz requested a motion from the Board to approve the Requests for Approval of Totalizator Service Licenses for 2026. Motion by Mr. Worley and second by Ms. Parke.</p> <p>Parimutuel Wagering Director Melissa Combs-Wright was assigned the floor and shared that three entities applied to renew their licenses. Staff reviewed their material and made necessary requests for supplemental information which was received. Staff recommended approval for licenses for AmTote International Incorporated; Global Tote US, LLC and United Tote Company.</p>   | Chair Rabinowitz called for a vote to approve the Requests for Approval of Totalizator Service Licenses for 2026. Motion carried with no objections or abstentions.             |
| <b>Tab 10: Request for Approval of Advance Deposit Account Wagering Licenses for 2026</b> | 29 - 30                         | <p>Chair Rabinowitz requested a motion from the Board to approve the Requests for Approval of Advance Deposit Account Wagering Licenses for 2026. Motion by Mr. Berry and second by Ms. Parke.</p> <p>Parimutuel Wagering Director Melissa Combs-Wright was assigned the floor and shared that eight entities applied for ADW account licenses in 2026. All materials were reviewed by staff who recommend approval. The entities to be licensed are AmWest Entertainment, LLC; eBet Technologies Incorporated; Lien Games Racing, LLC; NYRA Bets, LLC; ODS Technologies LP, d/b/a TVG and FanDuel Racing; Churchill Downs Technology Initiatives Company; Watchandwager.com, LLC and Xpressbet, LLC.</p> | Chair Rabinowitz called for a vote to approve the Request for Approval of Advance Deposit Account Wagering Licenses for 2026. Motion carried with no objections or abstentions. |

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| <b>Tab 11: Keeneland Backside Improvement Fund Request</b> | 31 - 33                         | <p>Chair Rabinowitz requested a motion from the Board to approve the Keeneland Backside Improvement Fund Request. Motion by Mr. Worley and second by Ms. Parke.</p> <p>Incentives and Development Director, Drew Conners, was assigned the floor and explained that a request was received from Keeneland to withdraw their request submitted in February and to resubmit the request for the development of a combined laundry facility, food pantry and office space to be utilized by the Blue Grass Farms Charity at the thoroughbred training center.</p> <p>The original request was withdrawn because once they got into the existing building they realized there were foundation issues. The total for the new project is \$572,457.00. The project meets the statutory requirements and the funding is available. KHRG staff will inspect the project once it is complete and keep all invoices and photos for documentation. The KTA, KTOB, Kentucky HBA and staff recommend approval of this request.</p> | Chair Rabinowitz called for a vote to approve the Keeneland Backside Improvement Fund Request. Motion carried with no objections or abstentions. |
| <b>Tab 12: Release of Uncashed Pari-Mutuel Tickets</b>     | 32 - 35                         | <p>Chair Rabinowitz requested a motion from the Board to approve the Release of Uncashed Pari-Mutuel Tickets. Motion by Mr. Romans and second by Mr. Mason.</p> <p>Incentives and Development Director, Drew Conners, was assigned the floor and explained that according to KRS 230.362 any parimutuel ticket that is not claimed within one year is presumed abandoned. The total to be paid to the Kentucky Racing Health and Welfare Fund, Incorporated is \$1,787,052,91.</p>  | Chair Rabinowitz called for a vote to approve the Release of Uncashed Pari-Mutuel Tickets. Motion carried with no objections or abstentions.     |

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|   |                           | The total paid to the county fair purse account pursuant to KRS 230.398 is \$37,736,29.  |  |
| <b>Tab 13: The 2026 Kentucky Sire Stakes, Commonwealth Goldenrod, Mini-Series and KY Proud Series Race Dates &amp; Conditions</b> | 35 - 37                   | <p>Chair Rabinowitz requested a motion from the Board to approve the 2026 Kentucky Sire Stakes, Commonwealth Goldenrod, Mini-Series and KY Proud Series Race Dates &amp; Conditions. Motion by Mr. Worley and second by Mr. Landes.</p> <p>Incentives and Development Director, Drew Conners, was assigned the floor and explained that Standardbred Racing in Kentucky continues to grow at an all-time high rate with increased purses and participation. The Kentucky Standardbred Circuit consists of standardbred racing across the state that begins in March and ends in November at the three harness tracks: Oak Grove, Red Mile and Cumberland Run. The Kentucky Proud Series consists of eight county fair racing events throughout the state. The Kentucky Standardbred Development Fund and Kentucky Standardbred Breeder's Incentive Fund and Advisory Panel along with KHRG Staff recommend approval.</p> | Chair Rabinowitz called for a vote to approve the 2026 Kentucky Sire Stakes, Commonwealth Goldenrod, Mini-Series and KY Proud Series Race Dates & Conditions Motion carried with no objections or abstentions. |
| <b>Tab 18: Approval of Turfway Park, LLC's Proposed 2025 Holiday Race Meet &amp; 2026 Winter/Spring Race Meet Officials</b>       | 37 - 38                   | Chair Rabinowitz requested a motion from the Board to approve of Turfway Park, LLC's Proposed 2025 Holiday Race Meet & 2026 Winter/Spring Race Meet Officials. Motion by Ms. Parke and second by Mr. Berry.  | Chair Rabinowitz called for a vote to approve of Turfway Park, LLC's Proposed 2025 Holiday Race Meet & 2026 Winter/Spring Race Meet  |

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|                                |                                 | KHRG State Steward and Senior Vice President of Racing, Barbara Borden, was assigned the floor. Ms. Borden explained that two of the racing officials that were approved at the October meeting were unable to serve and adjustments were made. Everyone is licensed and everything else remains the same. Approval was recommended by the board.  | Officials. Motion carried with no objections or abstentions. |
| <b>III. Division Reports</b>   | 39                              | CFO Katie Smith was assigned the floor and shared two reports - the monthly financial statements for July and the end activities for July.   | No action taken.<br>Informational purposes only.             |
| <b>a. Financial Update</b>     |                                 |  |  |
| <b>b. Thoroughbred Rulings</b> | 40                              | Senior Vice President of Racing and Chief State Steward, Barbara Borden, was assigned the floor and shared her running total of rulings issued for the entire year. Ms. Borden also shared the thoroughbred rulings since the last meeting and the HISA thoroughbred rulings.  |  |
| <b>c. Standardbred Rulings</b> | 40 - 42                         | Presiding Judge Casey Larson was assigned the floor and shared 92 rulings from 2025.   |  |
| <b>d. Veterinary Division</b>  | 42 - 47                         | Chief Racing Veterinarian, Dr. Nick Smith, was assigned the floor and shared his Keeneland fall race meet report that consisted of 1,483 total starts. There were 403 post-race samples collected, 35 veterinary scratches, 55 horses placed on the vet's list. A total of 105 horses were claimed, there were 8 ambulance runs and 2 racing fatalities, both of which were musculoskeletal. Scratch information was requested at a previous meeting, so Dr. Mundy organized that information and Dr. Smith presented it for |  |

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| e. Pari-Mutuel Wagering<br>Division | 47 - 49                         | <p>this meet. There were 56 horses off the vet's list for the meet and of those, 35 of them were on the Kentucky vet's list as unsound. A total of 13 of them were on the HISA list for either 4-year-old or older, first-time starters or layoffs of greater than a year. Interestingly, 8 of those horses were on other states lists.</p> <p>The report from Cumberland Run was also presented by Dr. Smith with 1,256 starters and 254 post-race tests. 3 veterinary scratches, 13 horses placed on the vet's list, no claims, no ambulance runs and no fatalities.</p> <p>The last page was the running total of thoroughbred racing fatalities from 2007 to present. It was verified that the fatality report does not reflect training fatalities.</p> <p>The Director of Pari-mutuel Wagering, Melissa Combs-Wright, was assigned the floor and shared reports for October 2025 and fiscal year 2026 July through October. For October, the live on-track handle was approximately \$18 Million with a return to players of approximately \$13.8 Million. This is up 4% compared to last year. The total handle for fiscal year 2026 is \$26.5 Million which is up almost 3%.</p> <p>The simulcast total for October was \$7.4 Million, which is down 1% compared to last year and the total for the fiscal year was \$28.3 Million, which is down approximately 8%.</p> <p>The ADW total handle for Kentucky residents for October was \$33.9 Million, which was up almost 14% compared to last year. The total ADW handle for the fiscal year was \$114.7 Million, which is a 3% increase compared to last year.</p> |              |

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| f. Sports Wagering Division | 49 – 50                         | <p>The total HHR handle for October was approximately \$957 Million with a return to players of approximately \$868 Million, which is almost a 17% increase to last year. For fiscal year the total HHR handle was \$3.8 Billion and that is a 15% increase compared to last year.</p> <p>Sports Wagering Director, Hannah Simms, was assigned the floor and shared that renewal license applications for 2026 were due in November. Her team has been working diligently to review them and collect supplemental information needed to close out this renewal cycle. An updated monthly report was in the meeting materials. A new data visualization was added to show the sports wagering revenue by fiscal year. The report showed \$295.7 Million was wagered during September, which generated \$3.6 Million in state excise tax revenue. This brings Kentucky's all time excise tax total from sports wagering to more than \$84.9 Million.</p> |              |
| g. Charitable Gaming        | 50 - 51                         | <p>Senior Vice President of Gaming and Media Relations, Travers Manley, was assigned the floor and shared three reports. The first page shows data for 2025 with comparisons to 2022, 2023 and 2024. Year to date charitable gaming had gross receipts of \$873.4 Million. Approximately 90% of that money is paid out to winning players, which leaves the adjusted gross amount. After allowable expenses, the amount left for charity is nearly \$53 Million year to date. The second and third pages break out the data for bingo, raffles, paper pull tabs and electronic pull tabs by quarter since 2022.</p>  |              |



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| h. Litigation Report   | 51                        | Informational purposes only.  |  |
| <b>Executive Session</b><br><br>During the meeting on November 25, 2025, the Board convened under closed session pursuant to KRS 61.810(1)(c) to discuss pending litigation (CONFIDENTIAL) | 51 -52                    | Chair Rabinowitz requested a motion from the Board to convene in closed session to discuss proposed or pending litigation against or on behalf of the Corporation, as permitted by KRS Chapter 61.810(1)(c). Motion by Mr. Berry and second by Ms. Parke.<br><br>EXECUTIVE SESSION<br><br>Chair Rabinowitz requested a motion from the Board to reconvene in open session. Motion by Ms. Parke and second by Mr. Berry.<br><br>RECONVENED TO OPEN SESSION   | Chair Rabinowitz called for a vote for the Board to convene in closed session. Motion carried with no objections or abstentions.<br><br>Chair Rabinowitz called for a vote for the Board to reconvene in open session. Motion carried with no objections or abstentions. |
| <b>Tab 7: Proposed Amendments to 820 KAR 1:032</b>   | 52 - 58                   | Chair Rabinowitz requested a motion from the Board to approve the Proposed Amendments to 820 KAR 1:032. Motion by Mr. Landes and second by Mr. Berry.<br><br>Chief Legal Officer, Ashleigh Bailey, was assigned the floor and explained that Charitable Gaming transitioned to the corporation on July 1, 2025. The General Assembly made significant changes to KRS 230 and KRS 238 to support that transition, which requires the corporation to promulgate administrative regulations. This amendment is to regulation 810 KAR 1:032 Pull Tabs. These amendments have been organized by staff, the director of | Chair Rabinowitz called for a vote to approve the Proposed Amendments to 820 KAR 1:032. Motion carried with no objections or abstentions.  |

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|                        |                                 | charitable gaming and compliance manager over the last two months in order to craft language for the regulation in an effort to clarify requirements.<br>Ms. Bailey's presentation and the summary of the amendments are fully incorporated herein and can be found in the transcript of the meeting on pages 53 through 58. |   |
| <b>VI. Adjournment</b> | 59                              | With no other business, Chair Rabinowitz requested a motion from the Board to adjourn the November 25, 2025, Meeting.<br>Motion by Mr. Mason and second by Mr. Berry.<br>Meeting adjourned.  | Chair Rabinowitz called for a vote to adjourn.<br>Motion carried with no objections or abstentions. |

**NOTE:** The meeting materials and any amendments and/or supplements thereto, subject to any applicable exemptions, and the official Transcript are incorporated by reference as if set forth fully herein.

**Andy Beshear**  
GOVERNOR

**Jacqueline Coleman**  
LIEUTENANT GOVERNOR



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**Jamie Eads**  
PRESIDENT & CEO

**Jonathan Rabinowitz**  
CHAIRMAN

TO: Kentucky Horse Racing and Gaming Corporation  
FROM: Ashleigh Bailey, Chief Legal Officer  
DATE: January 20, 2026  
RE: **PROPOSED AMENDMENTS TO 820 KAR 1:032**

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The Kentucky Horse Racing & Gaming Board of Directors previously approved suggested amendments to this regulation, 810 KAR 1:032, at its November 25, 2025, Special Meeting. Subsequently, after filing the approved amendment to the regulation with the Legislative Research Commission, KHRG staff determined edits needed to be made to the proposed amendment in Section 33(3) to ensure the intent of the amendment is clear.

KHRG Staff recommend the KHRGC Board approve the following amendments to 820 KAR 1:032. A copy of the regulation with the proposed changes is enclosed.

**820 KAR 1:032, Pulltabs**

**General Amendments**

- Added statutory authority from KRS 230
- Changed "department" to "the Office" throughout

**Section 29: Independent Facility Certification for Electronic Pulltabs**

- Add requirement that independent testing facilities must be approved by the Office of Charitable Gaming within the KHRGC. This is consistent with requirement for Bingo in 820 KAR 1:042.

**Section 33: Charitable Organization Requirements**

- Amends the rule to state that the play of electronic charity game tickets and electronic pulltab devices shall not be authorized at any additional locations beyond the office location of the charitable organization, the location where the charitable organization is licensed to conduct bingo, and the location where pre-approved charitable fundraising events are authorized to reflect the General Assembly's moratorium in HB775. The amendment also states those additional locations authorized prior to July 1, 2025, may retain those licenses on the condition of continued compliance with all applicable laws and regulations.
- Amends the rule to require a Chairperson for a charitable organization may not be an on-duty employee of any business leased by a charitable gaming facility licensee in an additional location and that a Chairperson shall not be compensated by the leased business, charitable gaming facility licensee, or the charitable organization.

KHRG staff recommends approval of the proposed amendments.

BOARD ACTION

\_\_\_\_\_ Approve

\_\_\_\_\_ Defer

\_\_\_\_\_ Deny

1 HORSE RACING AND GAMING CORPORATION

2 (Amendment)

3 820 KAR 1:032. Pulltabs.

4 RELATES TO: KRS 238.505, 238.545

5 STATUTORY AUTHORITY: KRS 238.510, KRS 238.515, 238.545, KRS 230.215, KRS 230.260

6 CERTIFICATION STATEMENT: This certifies that this administration regulation complies with  
7 the requirements of 2025 RS HB6, Section 8.

8 NECESSITY, FUNCTION, AND CONFORMITY: KRS 230.215 and KRS 230.260 vest in the  
9 Kentucky Horse Racing and Gaming Corporation exclusive jurisdiction over charitable gaming in  
10 the Commonwealth, power to promulgate administrative regulations prescribing conditions under  
11 which all charitable gaming is to be conducted. KRS 238.510 created the Office of Charitable  
12 Gaming as an office within the Kentucky Horse Racing and Gaming Corporation. KRS 238.515  
13 authorizes the Office[Department] of Charitable Gaming ("the Office") to establish and enforce  
14 reasonable standards for the conduct of charitable gaming. KRS 238.545 requires the  
15 Office[department] to establish standards for pulltab construction, distribution, electronic pulltabs,  
16 and rules of play. This administrative regulation establishes those standards.

17 Section 1. Definitions. These definitions shall apply to all administrative regulations relating to  
18 pulltabs or electronic pulltabs.

19 (1) "Bonus round" means a single, new screen, apart from ordinary gameplay, that incrementally  
20 reveals the results of a single electronic pulltab ticket either by simulating the opening of  
21 additional tickets or simulating a prize board from which a player may pick symbols or icons.

1 (2) "Cumulative pulltab game" means a pulltab game consisting of multiple pulltab deals or game  
2 sets that is designed by the manufacturer so that a portion of each deal's predetermined payout is  
3 designated to a prize pool board.

4 (3) "Deal" means each separate game or series of pulltabs that have the same serial number and  
5 that may be composed of multiple packages.

6 (4) "Electronic pulltab system" means:

7 (a) A central computer system, which may be an optional site system;

8 (b) Electronic pulltab devices;

9 (c) Point of sale stations;

10 (d) Secondary components; and

11 (e) Proprietary software that contains reporting and control functions whereby the central  
12 computer system communicates with the electronic pulltab devices for the purpose of  
13 distributing a finite number of electronic pulltabs, a certain number of which, if randomly  
14 selected, entitle a player to prize awards at various levels.

15 (5) "Event game" means a type of pulltab game, with or without a seal card, that is designed by  
16 the manufacturer so that certain prizes are determined by:

17 (a) The draw of a bingo ball; or

18 (b) A method of randomly selecting numbers or symbols that correspond to the numbers or  
19 symbols printed on a paper or electronic pulltab.

20 (6) "Fixedbase electronic pulltab device" means a single personal computing device that has been  
21 loaded with proprietary software by a licensed manufacturer to enable it to function as an  
22 electronic pulltab device.

1 (7) "Flare" means the paper included with a deal of paper pulltabs, or the electronic representation  
2 of a paper flare included with a game set of electronic pulltabs, that identifies the game, the rules  
3 of the game, the payout structure, and other information required by this administrative  
4 regulation.

5 (8) "Form number" means a manufacturer's alphanumeric number that identifies a pulltab payout  
6 structure.

7 (9) "Game set" means the entire deal of finite electronic pulltabs that contains predefined and  
8 randomized game results assigned under a unique serial number.

9 (10) "Game subset" means a division of a game set into equal sizes following randomization,  
10 with each game subset also identified by a unique serial number.

11 (11) "Hand-held electronic pulltab device" means a single tablet or hand-held computer, other  
12 than a mobile phone or similar hand-held device that is either manufactured or customized by the  
13 manufacturer to operate as an electronic pulltab device.

14 (12) "Jackpot prize in a progressive pulltab game" or "progressive jackpot prize" means a prize  
15 in addition to the instant or seal card prizes that is carried over from deal to deal, or game set to  
16 game set, until it is won.

17 (13) "Jar ticket" means a type of pulltab game ticket that is folded, glued, or stapled.

18 (14) "Last sale" means a pulltab game designed by the manufacturer in which a prize is awarded  
19 to the person who bought the last pulltab or electronic pulltab in a deal or game set.

20 (15) "Multipackaged pulltab deal" means a pulltab game consisting of a single deal or game set  
21 of not more than 25,000 tickets that is packed or electronically grouped in subsets and in which  
22 each subset contributes to a prize pool with or without a prize board.

1 (16) "Progressive pulltab game" or "carryover pulltab game" means a pulltab game consisting of  
2 one (1) or more deals or game sets designed by the manufacturer so that a portion of the deal's  
3 predetermined prize payout is designated to a progressive jackpot and the jackpot value may  
4 accumulate from one (1) deal to the next deal until won.

5 (17) "Pulltab" means a charity game ticket as defined by KRS 238.505(5).

6 Section 2. Conformity of Paper Pulltabs.

7 (1) A licensed distributor of charitable gaming supplies and equipment shall distribute in  
8 Kentucky only those paper pulltabs conforming to the requirements of this administrative  
9 regulation.

10 (2) A licensed charitable organization shall sell to the public only those paper pulltabs conforming  
11 to the requirements of this administrative regulation.

12 Section 3. Paper Pulltab Construction Standards.

13 (1) Pulltabs shall be constructed so that the concealed numbers, symbols, or winner protection  
14 features cannot be viewed or determined from the outside of the pulltab using a high intensity  
15 lamp of up to and including 500 watts, with or without utilizing a focusing lens.

16 (2) The deal shall be designed, printed, glued, cut, and assembled in a manner to prevent  
17 determination of a winning or losing ticket without removing the tabs or otherwise uncovering  
18 the symbols or numbers as intended.

19 (3) Each pulltab in a deal shall bear the same serial number. If a seal card is used with a pulltab  
20 deal, the seal card shall bear the same serial number as each pulltab. Only one (1) serial number  
21 shall be used in a deal. A serial number used in a deal of pulltabs shall not be repeated by the  
22 same manufacturer on that same manufacturer's form number within a three (3) year period.



1 (4) If the pulltab utilizes a window, the numbers or symbols on the pulltab shall be fully visible  
2 in the window and shall be placed so that no part of a symbol or number remains covered when  
3 the tab is removed. Displacement of the symbol to the left or right in a window may be used for  
4 increased game security. Additional security devices or methods, including a laminate underneath  
5 a window, may be used by a manufacturer.

6 (5) It shall not be possible to distinguish winning pulltabs from losing pulltabs through variations  
7 in printing graphics or colors, including those involving different printing plates.

8 (6) All winning pulltabs shall have at least one (1) winner protection feature. In addition, all  
9 winning pulltabs that entitle a player to an instant prize of greater than twenty (20) dollars shall  
10 include an additional form of winner protection. Numeral jar tickets with colored winning  
11 numerals shall not be required to have secondary winner protection.

12 (7) All pulltabs shall be glued on the window edges and between each window. The glue shall be  
13 of sufficient strength and type to prevent the separation or delamination of the pulltab. For banded  
14 tickets, the glue shall be of sufficient strength and quality to prevent the separation of the band  
15 from the ticket.

16 (8) The window slits on each break-open ticket shall be perforated on at least three cut sides. The  
17 ties shall be of a sufficient thickness or strength to prevent unauthorized peering under the  
18 windows and so that unauthorized peering under the windows can be detected. It shall not be  
19 possible to isolate winning or potential winning tickets from variations to the size or the  
20 appearance of a cut edge of the pulltab comprising a particular game.

21 (9) Except as provided in subsections (10) and (11) of this section, the minimum information that  
22 shall be printed on an unopened pulltab with an overall area of two and five-tenths (2.5) square  
23 inches or more shall be:

- 1 (a) The name of the manufacturer, or its distinctive logo;
- 2 (b) The name of the game;
- 3 (c) The manufacturer's form number;
- 4 (d) The price per individual pulltab;
- 5 (e) The unique minimum five (5) digit game serial number, printed on the game information
- 6 side of the pulltab; and
- 7 (f) The number of winners and respective winning numbers or symbols, and specific prize
- 8 amounts.

9 (10) A pulltab with an overall area of at least one and six tenths (1.6) square inches unopened but  
10 less than two and five tenths (2.5) square inches unopened shall:

11 (a) Have printed on it, at a minimum, the information listed in subsection (9)(a), (b), (c), (d),  
12 and (e) of this section; and

13 (b) Not be required to have the information listed in subsection (9)(f) of this section.

14 (11) A pulltab with an overall area of less than one and six-tenths (1.6) square inches unopened  
15 shall:

16 (a) Have printed on it, at a minimum, the information listed in subsection (9)(a) and (e) of this  
17 section; and

18 (b) Not be required to have the information listed in subsection (9)(b), (c), (d), or (f) of this  
19 section.

20 Section 4. Randomization of Paper Pulltabs. Winning paper pulltabs shall be distributed and mixed  
21 among all other pulltabs in a deal to eliminate any pattern between deals, or portions of deals. The  
22 pulltab deal shall be assembled so that the winning pulltabs cannot be distinguished. Winning

1 tickets shall be randomly distributed throughout the deal. Banded tickets packaged in bags, rather  
2 than boxes, shall be subject to these requirements.

3 Section 5. Packaging and Distribution of Paper Pulltabs.

4 (1)

5 (a) Each paper pulltab deal's package, box, or other container shall be sealed or taped at every  
6 entry point at the manufacturer's factory with a tamper resistant seal or tape.

7 (b) The seal or tape shall be visible under the shrink-wrap or from outside the container and  
8 shall be constructed to guarantee that, if the container is opened or otherwise tampered with,  
9 evidence of the opening or tampering will be easily detected.

10 (c) The seal or tape shall include a warning to the purchaser that the deal may have been  
11 tampered with if the package, box, or other container is received by the purchaser with the seal  
12 or tape broken.

13 (d) If the deal is packaged in a plastic bag, the entry point shall be completely sealed by the  
14 application of heat or adhesive. The warning may be imprinted in the plastic.

15 (2) A deal's serial number shall be clearly and legibly placed on:

16 (a) The outside of the deal's package, box, or other container; or

17 (b) The inside of the deal's package, box, or other container if it is clearly visible from the  
18 outside of the package, box, or other container.

19 (3) Manufacturers shall print on or affix to the outside of the package or container of pulltabs or  
20 include inside the package or container, in bold print of sufficient size to be easily read, a message  
21 that states substantially the following: "tickets shall be removed from this packaging container  
22 and thoroughly mixed prior to sale to the public."

1 (4) Manufacturers shall include with every deal of pulltabs a bar code label that contains at a  
2 minimum the name of the manufacturer or its distinctive logo, the game form number, and the  
3 game serial number. The bar code label shall be visible from the outside of the package, box, or  
4 other container.

5 Section 6. Flares and Seal Cards for Paper Pulltabs.

6 (1) Every deal of pulltabs shall contain a flare or a seal card. The manufacturer shall print directly  
7 on the paper flare or seal card the following information:

- 8 (a) The name of the game;  
9 (b) The manufacturer's name or logo;  
10 (c) The manufacturer's form number;  
11 (d) The game serial number;  
12 (e) The ticket count;  
13 (f) The prize structure, including a description of the number of winning pulltabs by  
14 denomination, with their respective winning symbols or number combinations, and amounts  
15 dedicated to the prize pool in a seal card game with a cumulative prize, or a carryover or  
16 progressive prize; and  
17 (g) The cost per play.

18 (2) Every deal of pulltabs shall contain instructions on how to play the game.

19 Section 7. Cumulative Games and Carryover or Progressive Games.

20 (1) The rules for cumulative games, carryover, or progressive games shall apply to both paper  
21 and electronic pulltabs.

22 (2) The amount dedicated to a cumulative prize pool or a carryover or progressive jackpot shall  
23 be predetermined by the manufacturer and built into the payout structure for the game.

- 1 (a) For paper pulltabs, the dedicated amount shall be printed by the manufacturer on either the  
2 flare or seal card for each game or on each ticket in each game.
- 3 (b) For electronic pulltab games, the dedicated amount shall be included by the manufacturer  
4 on the flare or seal card for each game.
- 5 (3) All games contributing to the cumulative prize pool or the carryover or progressive jackpot  
6 shall be of the same form number.
- 7 (4) The paper or electronic flare or seal card for the carryover or progressive jackpot shall contain  
8 an area in which the current amount of the carryover or progressive jackpot can be posted.
- 9 (5) If a carryover or progressive pulltab game uses a progressive jackpot prize card that is separate  
10 from the jackpot seal, the jackpot card shall contain prize space for the organization to record the  
11 serial numbers of all games contributing to the jackpot prize.
- 12 (6) If a carryover or progressive pulltab game uses a jackpot prize card that is separate from the  
13 jackpot seal card, each deal of the game shall possess both a seal card and a jackpot prize card  
14 that has the serial number of the deal affixed to it by the manufacturer.
- 15 (7) In a carryover or progressive pulltab game, the organization shall either start a new jackpot  
16 card with each deal or use the original jackpot card until won. The organization shall maintain  
17 each jackpot card used.
- 18 (8) A progressive pulltab game shall not be designed by the manufacturer to give any player  
19 initial odds greater than a fifty (50) percent chance to win the progressive jackpot.
- 20 (9) If a paper pulltab game contributes to a progressive raffle jackpot, a licensed charitable  
21 organization shall not sell a similar version of that paper pulltab game unless it also contributes  
22 to a progressive raffle jackpot. All paper pulltab game tickets that contribute to a progressive

1 raffle jackpot shall be sold for cash and shall not be used as a merchandise prize for any bingo,  
2 pulltab, or door prizes.

3 Section 8. Event Games.

4 (1) The rules for event games shall apply to both paper and electronic pulltabs.

5 (2) An event game shall not contain a "last sale" feature.

6 (3) The number of winners and the prize amounts shall be built into the payout structure for the  
7 game by the manufacturer.

8 (4) An event ticket prize shall not exceed the individual ticket prize limit for a pulltab game.

9 (5) The prize for an event pulltab game shall not be considered a bingo prize.

10 Section 9. Multipackaged Pulltab Deals.

11 (1) The rules for multipackaged pulltab deals shall apply to both paper and electronic pulltabs.

12 Every package shall be played for the deal to show the stated profit.

13 (2) Each package may contain individual winners if desired. If each package contains a winner,  
14 the game shall contain a method of verifying from which package the winner was sold.

15 Section 10. Tracking by Manufacturer. Every manufacturer of paper pulltabs shall maintain records  
16 sufficient to track each deal of paper pulltabs, by serial number and form number, from the  
17 manufacturer to the next point of sale for thirty-six (36) months. The records shall be subject to  
18 inspection by Office~~[department]~~ staff.

19 Section 11. Tracking by Distributor.

20 (1) Every distributor of paper and electronic pulltabs shall maintain records sufficient to track  
21 each deal of paper and electronic pulltabs, by serial number and form number, from purchase by  
22 the distributor to the next point of sale for thirty-six (36) months. The records shall be subject to  
23 inspection by Office~~[department]~~ staff.

1 (2) For sales in the Commonwealth of Kentucky or to residents of Kentucky, the records required  
2 under this section shall be sufficient if the distributor records the name of the purchaser and  
3 makes and retains a copy of the Kentucky charitable gaming license or exemption number of the  
4 purchaser at the next point of sale.

5 Section 12. Requirements of Distributor Invoice.

6 (1) Distributors selling paper pulltabs to charitable organizations or other distributors shall  
7 provide the charitable organization or other distributor with an invoice that contains, at a  
8 minimum, the following information:

- 9 (a) The purchaser's name, address, and license number;
- 10 (b) The address to which the shipment was delivered;
- 11 (c) The date of sale or credit;
- 12 (d) The conditions of the sale or credit;
- 13 (e) The quantity of pulltabs sold including the number of deals, the name of each deal, the  
14 tickets per deal, and the serial number and form number of the deal;
- 15 (f) The total invoice amount;
- 16 (g) The name of the person who ordered the supplies;
- 17 (h) The name of the person making the delivery;
- 18 (i) The date of delivery or date the item was picked up for sale or credit;
- 19 (j) The place or manner of delivery; and
- 20 (k) The name and signature of the person taking delivery, if any.

21 (2) A distributor may deliver paper pulltabs to an agreed secure location or to an identified person.

22 An invoice not challenged within seven (7) days of delivery shall be determined as accurate. Any

1 challenge to an invoice shall be made in writing to the distributor and a copy shall be sent to the  
2 Office[department].

3 Section 13. Defects.

4 (1) If a defect in packaging or construction of a paper pulltab is discovered by an organization,  
5 the defect shall be reported to the distributor within fifteen (15) days. The distributor shall correct  
6 the defect or replace the defective items within a reasonable time, or, if the product cannot be  
7 replaced or the defect corrected, the distributor shall provide a refund to the organization.

8 (2) If the Office[department], in consultation with the manufacturer, determines that a defect  
9 actually exists, and the defect affects game security or otherwise threatens public confidence in  
10 the game, the Office[department] shall, with respect to paper pulltabs for use in Kentucky, require  
11 the manufacturer to:

12 (a) Recall the affected pulltabs that have not been sold at retail to licensed organizations; or

13 (b) Issue a total recall of all affected deals.

14 (3) In choosing and directing a particular recall in accordance with subsection (2) of this section,  
15 the Office[department] shall be guided in each circumstance by any combination of the following  
16 factors:

17 (a) The nature of the defect;

18 (b) Whether the defect affected game security;

19 (c) Whether the defect affected game playability;

20 (d) Whether the defect was limited to a specific number of deals of a particular form number;

21 (e) Whether the defect was easily detectable by a charitable organization;

22 (f) Whether the defect was easily detectable by members of the general public;

23 (g) Whether the defect threatens public confidence in the game; or



- 1 (h) Whether the defect is capable of being used to adversely affect the fair play of the game.
- 2 (4) In consultation with the manufacturer, the Office~~[department]~~ shall determine a specific date
- 3 for the recall to be completed and whether the manufacturer is required to reimburse the
- 4 organization or distributor.

5 Section 14. Pulltab Dispenser Construction and Use.

- 6 (1) A pulltab dispenser shall not be sold, leased, or otherwise furnished to any person in the state
- 7 unless it has been approved by the Office~~[department]~~.
- 8 (2) Before approval by the Office~~[department]~~, a dispenser that is identical to the dispenser
- 9 intended to be sold, leased, or otherwise furnished shall be certified by an independent testing
- 10 laboratory that the dispenser satisfies the manufacturing requirements established in Section 15
- 11 of this administrative regulation.
- 12 (3) If granted, approval shall extend only to the specific dispenser model approved, and any
- 13 modification shall first be approved by the Office~~[department]~~.

14 Section 15. Requirements of Pulltab Dispensers. Each pulltab dispenser shall meet the following

15 requirements:

- 16 (1) Contain a three (3) prong ground and surge protector, and shall be capable of withstanding
- 17 static electricity;
- 18 (2) Accommodate pulltabs of different sizes;
- 19 (3) Be constructed so that customers can see how many pulltabs remain within the dispenser, or
- 20 have resettable counters visible to the customer indicating the number of pulltabs left in each
- 21 column of the dispenser;
- 22 (4) Have an outlet or tray to catch dispensed pulltabs;
- 23 (5) Accurately dispense the correct number of pulltabs;

- 1 (6) Contain one (1) or more player buttons on the front of the dispenser to dispense pulltabs if  
2 pressed;
- 3 (7) Contain an illuminated electronic display to display the value of money deposited;
- 4 (8) Be capable, if a malfunction occurs or the electrical power is interrupted after the money has  
5 been validated, of accurately redisplaying the value of the money after the malfunction or power  
6 is restored;
- 7 (9) Not dispense any credits, or validate, read, or redeem a winning pulltab;
- 8 (10) If using bill acceptors or similar devices that do not return change, clearly disclose that fact  
9 to the customer;
- 10 (11) Not have a video screen or produce audio sounds except for security alarms;
- 11 (12) Not resemble a slot machine or other gambling device;
- 12 (13) Contain the manufacturer's name, dispenser's serial number and model number, and date of  
13 manufacture, all of which shall be permanently affixed to the side of the dispenser;
- 14 (14) Have an on/off switch in an inconspicuous location on the exterior of the dispenser;
- 15 (15) Not record test sales of pulltabs or money acceptances on the dispenser's accounting meters;
- 16 (16) Contain a nonresettable accounting meter for total money validated and for the total of  
17 pulltabs dispensed and shall be capable of retaining this information for six (6) months after  
18 power has been disconnected;
- 19 (17) Contain an EPROM microchip, microprocessor, or other verifiable electronic program  
20 storage media which holds the dispenser's programming code and which is identical in all  
21 respects to the manufacturer's programming code approved by the Office~~(department)~~;
- 22 (18) Contain a RAM or an EPROM microchip equipped with a RAM microchip, which shall be  
23 installed with a tamper-proof seal inside the dispenser, or a microprocessor or flash memory

1 microchip, or other verifiable electronic program storage media, which shall maintain the same  
2 information as required in subsection (17) of this section for six (6) months after power has been  
3 disconnected.;

4 (19) Automatically discontinue operation if any nonresettable accounting meter, RAM  
5 microchip, EPROM microchip, microprocessor, or other verifiable electronic program storage  
6 media is disconnected; and

7 (20) Contain at least one (1) electronic money validator that shall:

8 (a) Only validate United States money;

9 (b) Not validate money in denominations in excess of twenty (20) dollars;

10 (c) Transmit the value of validated money to the pulltab dispenser;

11 (d) Be equipped with mechanisms to ensure that pulltabs will not be dispensed unless the money  
12 is validated and retained;

13 (e) Be capable of preventing acceptance of known counterfeit money;

14 (f) Return any invalid money to the player;

15 (g) Have at least one (1) removable stacker box capable of stacking bills or a removable drop  
16 box contained in a separate locked compartment; and

17 (h) Automatically discontinue accepting or validating money if a malfunction occurs or if  
18 electrical power to the dispenser or currency validator is interrupted.

19 Section 16. Pulltab Dispensing Limitations.

20 (1) A charitable organization shall not use a dispenser until the charitable organization that  
21 previously used the dispenser has removed its pulltabs and money from the dispenser.

22 (2) Each charitable organization operating the dispenser shall place upon the dispenser an  
23 identification label that displays the organization's name and license number.

1 (3) The keys to open the locked doors to the dispenser's ticket dispensing area and cash box shall  
2 be solely in the possession and control of the designated chairperson of the charitable  
3 organization conducting the charitable gaming session.

4 (4) The entire deal of pulltabs shall be sold from the dispenser and shall not be sold on the floor.

5 (5) All pulltabs in any one (1) column shall have the same serial number.

6 (6) A licensee shall not display, use, or otherwise furnish a dispenser that has in any manner been  
7 tampered with or that otherwise may deceive the public or affect a person's chances of winning.

8 (7) A pulltab deal shall not be placed in the dispenser until the entire deal of pulltabs previously  
9 in the dispenser has been played out or permanently removed.

10 (8) After placement in the dispenser, a pulltab shall not be removed from the dispenser, except  
11 for those pulltabs:

12 (a) Actually played by consumers;

13 (b) Removed by Office~~[department]~~ representatives or law enforcement agencies;

14 (c) Temporarily removed during necessary repair, and maintenance; or

15 (d) Removed at the end of the charitable gaming session.

16 (9) At least one (1) chairperson who is listed on the application for licensure shall be present at  
17 all times a pulltab dispenser is in use and shall be responsible for the administration and conduct  
18 of the pulltab dispenser.

19 (10) An organization utilizing a pulltab dispenser at its office location or owned premises shall  
20 only utilize the dispenser during business hours.

21 Section 17. Pulltab Dispenser Inspection. The Office~~[department]~~ or its authorized representatives  
22 may examine and inspect any automated pulltab dispenser. The examination and inspection shall  
23 include immediate access to the dispenser and unlimited inspection of all parts of the dispenser.

1 Section 18. Pulltab Dispenser Recordkeeping.

2 (1) Each licensed charitable organization shall maintain the following information in connection  
3 with its use of an automated pulltab dispenser:

4 (a) Date of purchase or lease of each dispenser;

5 (b) Model and serial number of each dispenser;

6 (c) Purchase or lease price of each dispenser;

7 (d) Name, address, and license number of the distributor from whom the dispenser was  
8 purchased, leased or otherwise furnished; and

9 (e) A record of all maintenance and repairs relating to the dispenser.

10 (2) Manufacturers and distributors shall maintain the following information in connection with  
11 each sale or lease of a dispenser:

12 (a) Date of sale or lease;

13 (b) Quantity sold or leased;

14 (c) Cost per dispenser;

15 (d) Model and serial number of each dispenser; and

16 (e) Name, address, and license number of the purchaser or lessee.

17 (3) All records, reports, and receipts relating to dispenser sales, maintenance and repairs required  
18 to be maintained shall be retained for a period of three (3) years for examination by the  
19 Office[department].

20 Section 19. Pulltab Dispenser Defects.

21 (1) If the Office[department] detects or discovers any defect or malfunction with the dispenser  
22 that is not temporary in nature or affects the integrity or security of the pulltab game, the  
23 Office[department] shall direct the manufacturer, distributor, or organization to cease the sale,

1     lease, or use of the dispenser, as applicable, and shall require the manufacturer to correct the  
2     defect, malfunction, or problem or recall the dispenser immediately upon notification by the  
3     Office[department] to the manufacturer.

4     (2) If the manufacturer, distributor, or organization detects or discovers any defect or malfunction  
5     with the dispenser that is not temporary in nature, the entity shall immediately remove the  
6     dispenser from use and notify the Office[department] of that action.

7     Section 20. Pulltab Rules of Play.

8     (1) All individuals involved in the sale of pulltabs shall be trained in the proper conduct of the  
9     game and control of funds.

10    (2) The chairperson shall be in full charge of the charitable gaming session, supervise and direct  
11    all volunteers, and be responsible for assuring the proper receipt and recording of gaming funds.

12    (3) More than one (1) charitable organization shall not conduct gaming at the same time and  
13    location as another charitable organization, except for raffles and licensed charity fundraising  
14    events.

15    (4) Each organization's gaming supplies shall be maintained in a location separate from another  
16    organization's gaming supplies. This location shall also be locked and access shall be controlled.

17    (5) Except for a charity fundraising event, a volunteer at any other charitable gaming session at  
18    which pulltabs are sold shall not purchase or play pulltabs at that charitable gaming session. At a  
19    charity fundraising event, a volunteer may purchase or play pulltabs on a day the volunteer does  
20    not work, and from a deal the volunteer does not sell.

21    (6) If the charitable organization has house rules concerning its charitable gaming session, the  
22    house rules shall:

- 1 (a) Be posted in at least two (2) conspicuous locations at the charitable gaming session and
- 2 announced prior to the commencement of the charitable gaming session or be listed on the
- 3 program;
- 4 (b) Not conflict with KRS Chapter 238 or 820 KAR Chapter 1;
- 5 (c) Be followed; and
- 6 (d) Include the organization's name and license number.

7 Section 21. Playing.

8 (1) The flare or seal card for paper pulltabs, including a progressive jackpot card relating to a  
9 carryover or progressive prize, or a prize board relating to a game with a cumulative prize, shall  
10 be posted by the licensed charitable organization in the vicinity of the deal and in full and  
11 complete view of the players while the deal is in play, including the time after all tickets have  
12 been sold until all prizes have been claimed, or the time to claim prizes has expired. Electronic  
13 pulltab games shall include an electronic flare or seal card, including a progressive jackpot card  
14 relating to carryover or progressive prizes, that is available for view on the electronic pulltab  
15 device by players at all times while the game set is in play.

16 (2) Paper pulltabs shall not be sold to the public from the original packing box or container. Paper  
17 pulltabs shall be removed from the original box or container and mixed by shuffling together  
18 prior to sale.

19 (3) If a deal of paper pulltabs is packed in more than one (1) box or container, an individual  
20 container shall not designate a winner or contain a disproportionate number of winning or losing  
21 tickets. Each package, box, or container shall be placed out for play at the same time unless the  
22 deal is designed by the manufacturer to be played in subsets. Those subsets may be placed out  
23 for play in succession.

1 (4) Paper pulltabs that have been marked, defaced, altered, tampered with, received in packaging  
2 that is not tamper-resistant, or otherwise constructed in a manner that tends to deceive the public  
3 or affect the chances of winning or losing, shall not be placed into play. The organization shall  
4 notify the Office[department] of Charitable Gaming of the existence of these tickets in writing  
5 within fifteen (15) days.

6 (5) Before placing a deal into play, the charitable organization shall verify that the serial number  
7 on the paper pulltabs within each deal matches the serial number on the flare or seal card  
8 accompanying the deal by conducting a random sampling of pulltabs within each deal. If the  
9 charitable organization determines that serial numbers on tickets within a deal or game set do not  
10 match the serial number on the flare or seal card accompanying the deal or game set, the  
11 organization shall not place the deal or game set into play and shall notify that distributor. If the  
12 distributor does not correct the problem within thirty (30) days, the organization shall notify the  
13 Office[department] in writing.

14 (6) Any licensed charitable organization that sells pulltabs from its office location or from a  
15 pulltab dispenser shall comply with 820 KAR Chapter 1 regarding the play, proper  
16 recordkeeping, and reporting of those sales. The sales shall be reported on the financial report.

17 (7)

18 (a) If a deal or game set is not played to completion and there remain unsold winning pulltabs,  
19 the licensed charitable organization conducting the gaming shall sell the remaining pulltabs on  
20 the next appointed date for charitable gaming activities.

21 (b) If no future date is anticipated, the licensed charitable organization shall consider the deal  
22 or game set closed or completed, declare the winners, and post winning numbers for fifteen (15)



1 days with information directing the method of claiming a prize at its office location. All unsold  
2 pulltabs shall be retained pursuant to subsection (15) of this section.

3 (c) If no winning pulltabs remain in the paper deal, the licensed charitable organization may  
4 consider the deal closed or completed, declare the winners, and shall retain unsold pulltabs  
5 pursuant to subsection (15) of this section.

6 (d) A licensed charitable organization shall not complete play of a deal, game set, or a seal card  
7 it did not initiate.

8 (8) A pulltab shall not be sold to the public at a price different than that generated by the  
9 manufacturer of the pulltab upon the flare or seal card that accompanies the deal or game set.

10 (9) Only authorized representatives of the charitable organization conducting the event at which  
11 pulltabs are sold shall verify the serial numbers and winner protections for all winning pulltabs  
12 redeemed.

13 (10) In playing paper pulltabs that utilize a seal card, a charitable organization shall not award a  
14 prize to the holder of a winning pulltab unless the serial number on the ticket presented for  
15 redemption matches the serial number on the seal card. In a progressive pulltab game, the serial  
16 number on the tickets shall be checked in accordance with Section 6 of this administrative  
17 regulation.

18 (11) A charitable organization shall award prizes to winners of pulltabs only in accordance with  
19 the prize structure indicated on the flare or seal card accompanying the deal or game set of tickets  
20 as designed by the manufacturer. If multiple prize structures are indicated on the flare or seal  
21 card, the charitable organization shall announce to the patrons and circle on the paper flare or  
22 seal card the prize structure to be awarded before placing the deal or game set into play.

1 (12) A holder of a winning pulltab shall have fifteen (15) days to redeem the winning ticket. If  
2 the prize is not claimed within fifteen (15) days, the prize shall be considered unclaimed and be  
3 retained as property of the organization.

4 (13) Once redeemed, the holder of a winning pulltab shall be paid in full no later than five (5)  
5 days from the date of redemption.

6 (14) All winning paper pulltabs shall have the winning symbol or number defaced or punched by  
7 an authorized representative of the charitable organization immediately after redemption.

8 (15)

9 (a) The charitable organization shall retain, in paper or electronic form, for a period of twelve  
10 (12) months, to allow auditing by the staff of the Office~~[department]~~:

- 11 1. All winning pulltabs with a prize value of fifty (50) dollars and above;  
12 2. The flare from all winning pulltabs with a prize value of fifty (50) dollars and above;  
13 3. All seal cards with a prize value of fifty (50) dollars and above;  
14 4. All prize boards in cumulative games with a prize value of fifty (50) dollars and above; and  
15 5. All unsold pulltabs.

16 (b) These records may be maintained at the gaming location.

17 (16) The fair market value of bingo paper, a card-minding device, pulltab, or electronic pulltab  
18 device given away as a merchandise prize shall be the price that a patron would have paid for the  
19 same bingo paper, card-minding device, pulltab, or electronic pulltab device at that charitable  
20 gaming session.

21 (17)

22 (a) If bingo paper is awarded as a merchandise prize, whether as a door prize or game prize, the  
23 patron shall be given a voucher.

1 (b) The voucher shall be completed with:

2 1. The name, address, and phone number of the patron redeeming the voucher;

3 2. The date on which it was awarded;

4 3. The date on which it was redeemed;

5 4. The amount of bingo paper given in exchange for the voucher; and

6 5. The serial number of the bingo paper.

7 (c) Once the voucher is completed, it shall be redeemed for the bingo paper.

8 (d) The organization shall retain the voucher with its charitable gaming session records.

9 (18)

10 (a) If a card-minding device or electronic pulltab device is awarded as a merchandise prize,  
11 whether as a door prize or game prize, the patron shall be given a voucher.

12 (b) The voucher shall be completed with:

13 1. The name, address, and phone number of the patron redeeming the voucher;

14 2. The date on which it was awarded;

15 3. The date on which it was redeemed; and

16 4. The number of card-minding devices and the number of faces loaded on each device, or the  
17 number of electronic pulltab devices and credits loaded on each device, if any, given in  
18 exchange for the voucher.

19 (c) Once the voucher is completed, it shall be redeemed for the card-minding device or  
20 electronic pulltab device. No more than one (1) card-minding device or one (1) electronic  
21 pulltab device may be redeemed per player per charitable gaming session.

22 (d) The organization shall retain the voucher with its charitable gaming session records.

1 (e) There shall be a specific button on the point of sale programmed for each type of voucher  
2 involving a card-minding device and electronic pulltab device.

3 (19) If a paper pulltab or electronic pulltab device is awarded as a promotional item or a door  
4 prize, the amount and description of the pulltab or electronic pulltab device and credits loaded  
5 on each device, if any, shall be listed on the charitable gaming session program with "free" or  
6 "promotional" listed as the price. The point of sale shall have a specifically described discount  
7 button for this promotion.

8 (20) If a paper pulltab or electronic pulltab device is awarded as a bingo prize, the person in  
9 charge of bingo payouts shall purchase the pulltabs or electronic pulltab device and any credits  
10 loaded to the device from the pulltab manager by transfer of cash from bingo payout to pulltab  
11 sales, and it shall be recorded as a sale on the charitable gaming session records.

12 (21) Vouchers shall be redeemed on the same day as awarded.

13 (22) Jar tickets shall be played and prizes awarded as stated on the flare received with each deal.

14 (23) "Last Sale" pulltabs shall only be sold by an organization at its office location and not during  
15 a bingo session.

16 Section 22. Seal Card Games.

17 (1) The organization shall post the paper seal card for the deal in play at the location of the seal  
18 game while the deal is in play. An electronic seal for an electronic game set shall be viewable,  
19 upon player request, on the video screen of the electronic pulltab device while the game set is in  
20 play.

21 (2) If a deal or game set with a seal card is not completed during a charitable gaming session, the  
22 organization shall require the patrons with holders to sign or enter their name electronically on  
23 the seal card and provide a means of contacting them when the winner is declared.

1 (3)

2 (a) The seal for the deal or game set shall be broken, torn open, or otherwise revealed in plain  
3 view of all persons present when:

4 1. All tickets from a deal or game set have been sold;

5 2. All the winning tickets from a deal or game set have been sold;

6 3. All the lines on the sign-up card have been filled;

7 4. The deal or game set has been closed because no future date is anticipated; or

8 5. Instructed to by the game as designed by the manufacturer.

9 (b) Each winning combination, the name of the game, and the serial number of the deal or game  
10 set shall be announced and posted at the location of the game.

11 (c) The date the seal tab was opened shall be recorded on the seal card.

12 Section 23. Seal Card Games with Carry Over or Progressive Prizes.

13 (1) The prize pool for a progressive pulltab game shall be established only through the play of  
14 deals or game sets of the same game that bear a manufacturer's form number identical to the form  
15 number of any previously-played deals or game sets contributing to the prize pool.

16 (2) Before placing a paper deal into play, the charitable organization shall verify that the serial  
17 number on the pulltabs within each deal match the serial number on the flare or seal card  
18 accompanying the deal by conducting a random sampling of pulltabs within each deal. The serial  
19 number on the tickets shall not be required to match the serial number on the progressive pulltab  
20 jackpot card if the deal is the second or subsequent deal played in the progressive game and one

21 (1) progressive jackpot card is used for more than one (1) deal.

22 (3) After a progressive pulltab game has been started, it shall remain in play continuously until  
23 the progressive jackpot prize is awarded. If the game is begun at a bingo session, it shall be

1 offered at each succeeding bingo session of the licensee. If the game is begun at the office  
2 location, it shall be offered on each succeeding day its office is open. If an organization stops  
3 conducting charitable gaming or wishes to stop playing a progressive pulltab game, the  
4 organization shall, with prior approval from the Office[department], transfer the current jackpot  
5 to another progressive game or determine a method to award the progressive jackpot to the  
6 players. With prior approval from the Office[department], an organization may alter the  
7 suggested rules of the manufacturer to determine a winner.

8 (4) The seal card for each deal or game set in a progressive game shall show, in addition to all  
9 other information required for flares and seal cards, the amount dedicated to the progressive  
10 jackpot prize pool.

11 (5) Every seal card for each deal or game set that has been played or is being played in the course  
12 of a progressive pulltab game, together with any progressive jackpot card, shall be displayed at  
13 all times while the game is in play, until the progressive jackpot prize is won.

14 (6) The serial numbers for each deal or game set contributing to a carryover or progressive  
15 jackpot prize shall be recorded in the charitable gaming session records.

16 (7) A progressive or carryover pulltab game shall be played in accord with the manufacturer's  
17 specifications for the determination of a winner, unless the Office[department] permits otherwise  
18 pursuant to subsection (3) of this section.

19 (8) If a progressive or carryover pulltab game bearing the same manufacturer's form number is  
20 no longer available, the organization shall contact the Office[department] for instructions on how  
21 to proceed.

22 (9)

1 (a) If a progressive prize remains unpaid, a licensed charitable organization shall display, in full  
2 and complete view of the players and at all times either:

- 3 1. The jackpot card being played and each seal card contributing to the jackpot prize pool; or
- 4 2. A legible poster identifying by name, serial number, and form number each deal or game  
5 set of pulltabs contributing an amount to the jackpot prize pool.

6 (b) The poster or seal cards shall remain displayed during bingo sessions or other charitable  
7 gaming activities conducted by the organization until the expiration of fifteen (15) calendar  
8 days after the organization awards the prize. For progressive pulltab games played on an  
9 electronic pulltab device, a poster shall be displayed to fulfill this requirement.

10 (c) If a progressive jackpot prize is not awarded, the organization shall continue to display the  
11 poster or seal cards during bingo sessions or other charitable gaming activities it conducts for  
12 at least fifteen (15) calendar days after the date the organization considers the game closed and  
13 retains the prize as its property.

14 (d) If a progressive prize remains unpaid, a licensed charitable organization shall display, in full  
15 and complete view of the players and at all times, the current value of the jackpot.

16 (10) An organization shall not award the jackpot prize in a progressive pulltab game unless the  
17 serial number and form number on the winning ticket match the serial number and form number  
18 on a seal card from a deal or game set of tickets that contributed to the jackpot prize.

19 (11) For jackpot prizes of \$250 or over, the organization shall attach a copy of the valid state  
20 identification card that contains the name, address, date of birth, and state identification number  
21 of the winner to the jackpot prize card.

22 (12) The jackpot prize in a progressive game may accrue in excess of \$2,400. An individual  
23 jackpot prize shall not be paid in excess of \$2,400. The amount of the current jackpot, the amount

1 contributed, the payouts made, and the jackpot carried forward to the next charitable gaming  
2 session at each charitable gaming session shall be recorded in the charitable gaming session  
3 record.

4 (13) Any advertisement regarding the progressive jackpot may state the total amount in the  
5 jackpot prize pool if it also includes the statement that the individual payout shall not exceed  
6 \$2,400.

7 (14) A licensed charitable organization shall report to the Office~~[department]~~ concerning its play  
8 of seal card games with a progressive prize on the financial report.

9 (15) The jackpot prize pool in a progressive game shall be considered an adjusted gross receipt  
10 that shall be deposited within two (2) business days of the charitable gaming session.

11 Section 24. Seal Card Games with Cumulative Prizes.

12 (1) The prize pool for a cumulative pulltab game shall be established only through the play of  
13 deals or game sets of the same game that bear a manufacturer's form number identical to the form  
14 number of any previously played deals or game sets contributing to the prize pool, unless the  
15 Office~~[department]~~ permits otherwise pursuant to subsection (3) of this section.

16 (2) Before placing a paper deal into play, the charitable organization shall verify that the serial  
17 number on the pulltabs within each deal matches the serial number on the flare, prize board, or  
18 seal card accompanying the deal by conducting a random sampling of pulltabs within each deal.

19 (3) After a cumulative pulltab game has been started, it shall remain in play continuously until  
20 the cumulative prize pool has been awarded. If that game is begun at a bingo session, it shall be  
21 offered at each succeeding bingo session of the licensee. If the game is begun at the office  
22 location, it shall be offered on each succeeding day their office is open. If an organization stops  
23 conducting charitable gaming or wishes to stop playing a cumulative pulltab game, the



1 organization shall, with prior approval from the Office[department], transfer the current jackpot  
2 to another cumulative game or determine a method to award the cumulative jackpot to the  
3 players. With prior approval from the Office[department], an organization may alter the  
4 suggested rules of the manufacturer to determine a winner.

5 (4) Prizes shall be offered and awarded only in accord with the manufacturer's predesignated  
6 prize structure for the game, unless the Office[department] permits otherwise pursuant to  
7 subsection (3) if this section.

8 (5) The seal card for each deal or game set in a cumulative pulltab game shall show, in addition  
9 to all other information required for flares and seal cards, the amount dedicated to the cumulative  
10 prize pool.

11 (6) Every seal card for each deal or game set that has been played or is being played in the course  
12 of a cumulative pulltab game, together with any prize board, shall be displayed at all times while  
13 the game is in play, until the cumulative prize pool is awarded.

14 (7) The serial numbers for each deal or game set contributing to a cumulative prize pool shall be  
15 recorded in the charitable gaming session records.

16 (8) An organization shall not award the cumulative prize pool unless the serial number and form  
17 number on the winning ticket matches the serial number and form number on a seal card from a  
18 deal or game set of tickets that contributed to the cumulative prize board.

19 (9) A cumulative prize board shall not contain prizes totaling in excess of \$2,400.

20 (10) A licensed charitable organization shall report to the Office[department] concerning its play  
21 of seal card games of cumulative games on the financial report.

22 Section 25. Electronic Pulltab System Construction Standards.

1 (1) An electronic pulltab system's central computer system shall be dedicated to electronic  
2 accounting, reporting, presentation, randomization, and transmission of electronic pulltabs to  
3 electronic pulltab devices. It shall also be capable of generating the data necessary to provide  
4 reports required by administrative regulation or otherwise specified by the Office~~[department]~~.

5 (2) A player shall purchase or otherwise obtain access to an electronic pulltab device and load  
6 money to a player account for purchase of electronic pulltabs during the current charitable  
7 gaming session from a point of sale station or by inserting currency into the gaming device. The  
8 point of sale station may be stationary, mobile, or self-service.

9 (3) All equipment used to facilitate the distribution, play, or redemption of electronic pulltabs  
10 shall be physically located within the boundaries of the Commonwealth of Kentucky. Electronic  
11 pulltab devices, site system if used, point of sale stations, and all secondary components shall be  
12 located on the premises where the charitable gaming session is being held.

13 (4) A manufacturer, distributor, or charitable organization shall not add to an electronic pulltab  
14 system any software or program unless the software or program has been certified by an  
15 independent testing facility. If the Office~~[department]~~ detects or discovers an electronic pulltab  
16 system at a playing location that is using a program or software that has not been certified by an  
17 independent testing facility, the electronic pulltab system shall be determined to have an  
18 unauthorized modification and use of the system shall cease immediately.

19 (5) Any element of the central computer system that holds or maintains game data, other than an  
20 electronic pulltab device or point of sale station, shall be kept in a locked and secure enclosure  
21 with limited access to designated personnel. The system shall provide a secure physical and  
22 electronic means for securing the games and game data against alteration, tampering, or  
23 unauthorized access.

1 (6) The central computer system shall include a central server located in the Commonwealth of  
2 Kentucky that is accessible to the Office[department] so the Office[department] has the ability to  
3 remotely verify the operation, compliance, and internal accounting systems of the electronic  
4 pulltab system at any time. The Office[department] shall have real time and complete read-only  
5 access to all data for all systems and devices.

6 (a) The manufacturer shall provide to the Office[department] all current protocols, passwords,  
7 and any other required information needed to access the electronic pulltab system prior to the  
8 operation of the system within Kentucky, and at all times while the system remains operational  
9 within Kentucky.

10 (b) The Office[department] shall be notified of any changes in the protocols, passwords, and  
11 any other required information needed to access the system at least three (3) days prior to the  
12 change.

13 (c) Any reports maintained or generated by the electronic pulltab system shall be capable of  
14 being downloaded or otherwise accessed via the internet by the Office[department].

15 (7) A site system, if used, shall:

16 (a) Be located at the gaming premises;

17 (b) Be operated by the charitable organization;

18 (c) Interface with, connect with, control, or define the operational parameters of the electronic  
19 pulltab devices;

20 (d) Report and transmit the game results as prescribed by the Office department;

21 (e) Provide security and access levels sufficient so that the internal control objectives are met  
22 as prescribed by the Office[department]; and

23 (f) Contain a point of sale station.

- 1 (8) The site system, if used, may include the following components:
- 2 (a) Required printers;
- 3 (b) Proprietary executable software;
- 4 (c) Report generation software; and
- 5 (d) An accounting system or database.
- 6 (9) The electronic pulltab system shall provide password protection for each organization.
- 7 (10) An electronic pulltab system shall provide a means for terminating a game set if information
- 8 about electronic pulltabs in an open game set has been accessed, or if the Office~~[department]~~
- 9 determines there has been a breach of game security. Traceability of unauthorized access
- 10 including time and date, users involved, and any other relevant information shall be available.
- 11 (11) An electronic pulltab system shall not permit the alteration of any accounting or significant
- 12 event information. Significant events shall include power resets or failures, communication loss
- 13 between an electronic pulltab device and the electronic pulltab system, any award in excess of
- 14 the single-win limit for an electronic pulltab, or corruption of the electronic pulltab system
- 15 memory or storage. If financial data is changed, an automated audit log shall be capable of being
- 16 produced to document the following:
- 17 (a) Data element altered;
- 18 (b) Data element value prior to alteration;
- 19 (c) Data element value after alteration; and
- 20 (d) Time and date of alteration.
- 21 (12) An electronic pulltab system shall provide password security or other secure means of
- 22 ensuring data integrity and enforcing user permissions for all system components, including the
- 23 following:

- 1 (a) All programs and data files shall only be accessible via the entry of a password that shall be  
2 known only to authorized personnel;
- 3 (b) The electronic pulltab system shall have multiple security access levels to control and  
4 restrict different privilege levels;
- 5 (c) The electronic pulltab system access accounts shall be unique when assigned to the  
6 authorized personnel;
- 7 (d) The storage of passwords and PINs shall be in an encrypted, nonreversible form; and
- 8 (e) A program or report shall be available that lists all authorized users on the electronic pulltab  
9 system including their privilege level.
- 10 (13) All components of an electronic pulltab system that allow access to users, other than end-  
11 users for game play, shall have a password sign-on comprised of:
- 12 (a) A personal identification number; or
- 13 (b) a personal identification code and a personal password.
- 14 (14) Electronic pulltab system software components shall be verifiable by a secure means at the  
15 system level. An electronic pulltab system shall have the ability to allow for an independent  
16 integrity check of the components from an outside source and is required for all control programs  
17 that may affect the integrity of the electronic pulltab system. This shall be accomplished by being  
18 authenticated by a third-party device, which may be embedded within the electronic pulltab  
19 system software or having an interface or procedure for a third-party application to authenticate  
20 the component. This integrity check shall provide a means for field verification of the electronic  
21 pulltab system components.
- 22 (15) The electronic pulltab system shall have a medium for securely storing electronic pulltab  
23 game sets that shall be mirrored in real time by a backup medium. The electronic pulltab system

1 shall also provide a means for storing duplicates of the game sets already transmitted to the  
2 electronic pulltab devices so as to reflect, on an ongoing basis, changes in the transmitted game  
3 sets as they occur.

4 (a) All storage shall be through an error checking, nonvolatile physical medium, or an  
5 equivalent architectural implementation, so that if the primary storage medium fails, the  
6 functions of the electronic pulltab system and the process of auditing those functions shall  
7 continue with no critical data loss.

8 (b) The database shall be stored on redundant media so that a single failure of any portion of  
9 the system shall not result in the loss or corruption of data.

10 (c) If there is a catastrophic failure when the electronic pulltab system cannot be restarted in  
11 any other way, it shall be possible to reload the electronic pulltab system from the last viable  
12 backup point and fully recover the contents of that backup, to consist of at least the following  
13 information:

- 14 1. All significant events;  
15 2. All accounting information; and  
16 3. Auditing information, including all open game sets and the summary of completed game  
17 sets.

18 (16) Connections between all components of the electronic pulltab system shall only be through  
19 use of secure communication protocols that are designed to prevent unauthorized access or  
20 tampering, employing Data Encryption Standards (DES) or equivalent encryption with  
21 changeable seeds or algorithms.

22 (17) An electronic pulltab system's central computer system may be used to record the data used  
23 to verify game play and to configure and perform security checks on electronic pulltab devices,

1 if the functions do not affect the security, integrity, or outcome of any game and meet the  
2 requirements established in this administrative regulation regarding program storage devices.

3 (18) An electronic pulltab system shall not display to the player, the licensed charitable  
4 organization, or the licensed distributor the number of electronic pulltabs that remain in a game  
5 set, or the number of winners or losers that have been drawn or still remain in the game set while  
6 the game set is still open for play. Once a game set has been closed, it shall not be able to be  
7 opened for play.

8 (19) The electronic pulltab system shall render unplayable the electronic pulltabs of a charitable  
9 organization once the organization logs out of the system at the end of the organization's  
10 charitable gaming session and until the organization logs back onto the system at the start of the  
11 organization's next scheduled charitable gaming session. If multiple organizations use the same  
12 electronic pulltab devices and electronic pulltab system, one (1) organization's electronic pulltab  
13 games and data shall not be accessible or played by another organization.

14 (20) An electronic pulltab system may include player tracking software. Player tracking records  
15 shall at all times be the property of the charitable organization and neither the manufacturer nor  
16 the distributor shall utilize or make available to any person, other than the Office~~[department]~~ or  
17 as otherwise authorized by law, the information contained within the player tracking software  
18 without the express permission of the charitable organization.

19 (21) One (1) or more electronic internal accounting systems shall be required to perform  
20 recordkeeping, reporting, and other functions in support of an electronic pulltab system. The  
21 electronic internal accounting system shall not interfere with the outcome of any gaming  
22 function.

1 (22) The electronic internal accounting system shall be capable of recording and retaining for a  
2 period of not less than three (3) years the following information:

3 (a) The name and license number of the organization utilizing an electronic pulltab system; and  
4 (b) For each charitable gaming session:

5 1. The date and time of each log-on and log-off of an organization;  
6 2. The total amount of all monetary transactions regarding electronic pulltabs and electronic  
7 pulltab devices at each charitable gaming session;  
8 3. The total number of electronic pulltab devices sold or provided at each charitable gaming  
9 session;  
10 4. The serial number of each hand-held electronic pulltab device sold or provided;  
11 5. The terminal number for each fixed base electronic pulltab device sold or provided;  
12 6. The name, serial number, price, and predetermined finite number of tickets within each  
13 game set available for play at each charitable gaming session;  
14 7. The total number of electronic pulltabs played from each game set at each charitable gaming  
15 session;  
16 8. All prize payouts for each game set per charitable gaming session; and  
17 9. All wagers and other information necessary to fully reconstruct a game outcome.

18 (23) The information required pursuant to subsection (22) of this section shall be secure and shall  
19 not be accessible for alteration. Information pertaining to the number of electronic pulltabs that  
20 remain in an open game set, or the number of winners or losers that have been drawn or still  
21 remain in an open game set shall not be accessible to the licensed organization or the licensed  
22 distributor.



1 (24) The electronic pulltab system's central computer system shall maintain a printable,  
2 permanent record of all transactions involving each device and each closed electronic pulltab  
3 game played on each device.

4 (25) An electronic pulltab system shall have report generation software with the capability to  
5 print all information required to be maintained on the system's active or archived databases, and  
6 pursuant to the restrictions related to information available on open game sets.

7 (26) All data required to be available or reported by this administrative regulation shall be  
8 retained for a period of not less than three (3) years.

9 (27) An electronic pulltab system shall utilize randomizing procedures in the creation of game  
10 sets for electronic pulltabs or utilize externally generated randomized game sets. After  
11 randomization, game sets may be broken into game subsets of equal size that shall be assigned a  
12 unique serial number.

13 (28) Winning electronic pulltabs shall be distributed randomly among all other pulltabs in a game  
14 set to eliminate any pattern between game sets, or portions of game sets.

15 (29) Any random number generation, shuffling, or randomization of outcomes used in connection  
16 with an electronic pulltab system shall be by use of a random number generation application that  
17 has successfully passed standard tests for randomness and unpredictability.

18 Section 26. Electronic Pulltab Point of Sale Requirements.

19 (1) An electronic pulltab system shall include a point of sale station that is used to facilitate the  
20 sale of an electronic pulltab device, to load money to a player account for purchase of electronic  
21 pulltabs during the current charitable gaming session, and to cash-out or redeem credits from the  
22 play of electronic pulltabs.

23 (a) The point of sale station may be stationary, mobile, or self-service.

- 1 (b) The point of sale station shall not be designed or manufactured to resemble an electronic  
2 gaming device that utilizes a video display monitor, such as a video lottery terminal, video slot  
3 machine, video poker machine, or any similar video gaming device.
- 4 (c) The point of sale station shall not have vertical or horizontal spinning reels, a pull handle,  
5 sounds or music, flashing lights, tower light, top box, enhanced animation, artwork, or any other  
6 attribute or representation that mimics a video lottery terminal, video slot machine, video poker  
7 machine, or any similar video gaming device.
- 8 (d) The point of sale station shall not function simultaneously as an electronic pulltab device.
- 9 (2) The point of sale station shall be capable of printing a receipt, which the organization shall  
10 provide to the player, that details each transaction. The receipt shall contain, at a minimum, the  
11 following information:
- 12 (a) The date and time of the transaction;
- 13 (b) A unique non-resettable transaction number that is printed in continuous, consecutive order;
- 14 (c) The dollar amount of the transaction, including the cost, if any, of the electronic pulltab  
15 device and the amount of money loaded to a player account that will be available for the  
16 purchase of electronic pulltabs during that charitable gaming session;
- 17 (d) A unique entry code or account number that will be used to activate an electronic pulltab  
18 device and make available to the player the money loaded to the player account at the point of  
19 sale for the purchase of electronic pulltabs during that charitable gaming session;
- 20 (e) The name of the charitable organization and license number; and
- 21 (f) The point of sale identification number or name.
- 22 (3) If the receipt printer malfunctions or printed receipts are not legible, manual receipts shall be  
23 issued that contain the same information required pursuant to subsection (2) of this section.

1 (4) The point of sale station shall be capable of displaying, at minimum, the following for each  
2 charitable gaming session:

3 (a) The sales transaction history, including:

4 1. The organization name and license number;

5 2. Date and time of each transaction;

6 3. Dollar value of each transaction;

7 4. Quantity of electronic pulltab devices sold;

8 5. All transaction numbers; and

9 6. The point of sale identification number or name; and

10 (b) A pay-out history detailing all pay-outs, including:

11 1. The organization name and license number;

12 2. Date and time of each pay-out;

13 3. Dollar value of each pay-out; and

14 4. Point of sale identification number or name.

15 (5) A point of sale station shall not display information relating to prizes already paid out in a  
16 particular game set, the number of electronic pulltabs that remain in a game set, or the number  
17 of winners or losers that have been drawn or still remain in the game set while the game set is  
18 still open for play.

19 (6) A player shall only cash-out or redeem credits from a point of sale station.

20 (7) A point of sale station shall not be capable of accepting payment from a credit card or debit  
21 card.

22 Section 27. Electronic Pulltab Device Construction Standards.

- 1 (1) An electronic pulltab device shall not be capable of being used for the purpose of engaging  
2 in any game prohibited by the Office~~department~~.
- 3 (2) An electronic pulltab device shall be designed as a handheld or fixed base personal computing  
4 device that:
- 5 (a) Is used to play one (1) or more electronic pulltab games;
  - 6 (b) Requires coded entry or insertion of currency or a credit ticket to activate a device for a  
7 player to purchase and play electronic pulltabs;
  - 8 (c) Maintains and displays information pertaining to accumulation of credits that may be  
9 applied to games in play or redeemed upon termination of play;
  - 10 (d) Has no vertical or horizontal spinning reels, pull handle, flashing lights, tower light, top box,  
11 coin tray, hopper, coin acceptor, the ability to dispense coins, cash, tokens, or anything of value  
12 other than a credit ticket, or any other attribute or representation that mimics a video slot  
13 machine;
  - 14 (e) Shall not be capable of displaying any animation while in an idle state. An electronic pulltab  
15 device may use simple display elements or screen savers to prevent monitor damage;
  - 16 (f) Has no additional function as a gambling device other than as an electronic pulltab device  
17 or as an approved card-minding device;
  - 18 (g) Is not a pulltab dispenser as established in this administrative regulation; and
  - 19 (h) The device shall have adjustable volume accessible to the player or the charitable  
20 organization.
- 21 (3) An electronic pulltab device shall not have hardware or software that determines the outcome  
22 of any electronic pulltab, produces its own outcome, or affects the order of electronic pulltabs as

1 dispensed from the electronic pulltab system's central computer system. The game outcome shall  
2 be determined by the electronic pulltab system's central computer system.

3 (4) An electronic pulltab device may utilize a touch screen. The touch screen shall meet the  
4 following requirements:

5 (a) It shall be accurate once calibrated;

6 (b) It shall be able to be recalibrated; and

7 (c) It shall have no hidden or undocumented buttons or touchpoints anywhere on the touch  
8 screen.

9 (5) A fixed based electronic pulltab device may incorporate an attached bill validator, which shall  
10 be constructed to ensure proper handling of inputs that protect against vandalism, abuse, or  
11 fraudulent activity. A bill validator shall only accept United States paper currency or a valid credit  
12 ticket to initiate play. Bill validators shall be tested to comply with accepted industry standards.

13 (6) A fixed based electronic pulltab device shall not be capable of accepting payment by credit  
14 card or debit card.

15 Section 28. Electronic Pulltab Software Construction Standards.

16 (1) Any game available for play in the Commonwealth of Kentucky shall be installed on the  
17 demonstration terminals at the Office~~[Department]~~ of Charitable Gaming's office in  
18 Lexington~~[Frankfort]~~, Kentucky.

19 (2) An electronic pulltab system shall dispense, upon player request and payment of  
20 consideration, an electronic pulltab. A player shall win if the player's electronic pulltab reveals  
21 whether and how much money a player has won, or if the electronic pulltab contains a  
22 combination of symbols or numbers that was designated in advance of the game as a winning

1 combination. There may be multiple winning combinations in each game. All games shall be  
2 played without replacement.

3 (3) An electronic pulltab game or game set shall:

4 (a) Be a version of a paper pulltab game, with a prize structure and gameplay rules substantially  
5 similar to a paper pulltab game, that is played on an electronic pulltab device;

6 (b) Have a predetermined, finite number of winning and losing tickets, not to exceed 25,000  
7 tickets per game set;

8 (c) Charge the same price for each ticket in a game set;

9 (d) Comply with KRS Chapter 230, KRS Chapter 238, and 820 KAR Chapter 1;

10 (e) Comply with prize limits established in KRS Chapter 238;

11 (f) Have a unique serial number for each game set that shall not be regenerated. Each pulltab in  
12 a game set shall bear the same serial number and only one (1) serial number shall be used in a  
13 game set. After randomization, game sets may be broken into subsets of equal size. If game  
14 subsets are used, they shall each be assigned a unique serial number and be traceable to a parent  
15 game set. If a seal card is used with a pulltab game set, the seal card shall bear the same serial  
16 number as each pulltab;

17 (g) Have an electronic flare or seal card, viewable upon player request, that displays the name  
18 of the game, manufacturer's name or logo, manufacturer's form number, the game serial number,  
19 the predetermined finite number of tickets in the game set, and the prize structure, including a  
20 description of the number of winning pulltabs by denomination, and amounts, if any, dedicated  
21 to the prize pool in a seal card game with a cumulative prize, or a carryover or progressive prize,  
22 and the cost per play of an electronic pulltab within the game set; and

23 (h) Every game set of electronic pulltabs shall contain electronic rules of play.

- 1 (4) An electronic pulltab game shall not contain vertical or horizontal spinning reels, a pull  
2 handle, or other representations that mimic a video slot machine, including representations of  
3 coins falling into a coin tray or hopper.
- 4 (5) Games shall not contain obscene or offensive graphics, sounds, or references.
- 5 (6) The result of an electronic pulltab ticket shall be clearly shown on the video display. If the  
6 game uses symbols or combinations of symbols to reveal whether the player's ticket has won,  
7 winning tickets shall indicate to the player the symbols or combination of symbols that resulted  
8 in a winning ticket. Prizes shall be added as a credit to the player's account.
- 9 (7) The available games, flare, and rules of play shall be displayed on the electronic pulltab  
10 device's video screen upon player request.
- 11 (8) Any number of games may be selectable for play on any given electronic pulltab device. Only  
12 one (1) of the games shall be playable at a time.
- 13 (9) An electronic pulltab device shall have one (1) or more buttons, or an electromechanical or  
14 touch screen to facilitate the following functions:
- 15 (a) Viewing of the game "help" screens;
- 16 (b) Viewing of the game rules including the flare or seal card;
- 17 (c) Initiating game play;
- 18 (d) Cash-out or logout; and
- 19 (e) Purchasing or revealing the pulltab.
- 20 (10) Each electronic pulltab shall be initially displayed so that the numbers, letters, or symbols  
21 on the pulltab are concealed. Each electronic pulltab game shall require the player to press a  
22 "purchase" or equivalent button to initiate the purchase of an electronic pulltab. The game shall  
23 then require the player to press a subsequent button or buttons to reveal the numbers, letters, or

1 symbols on the pulltab. A player shall have the option of opening each individual line, row, or  
2 column of each electronic pulltab or choosing to "open all".

3 (11) If an electronic pulltab game contains a bonus round that simulates the opening of additional  
4 tickets, then the following disclaimer shall be displayed in a conspicuous manner in the player  
5 interface of the electronic pulltab device every time the player wins a supplemental tab or on the  
6 flare or help screen: "Tabs that appear in (name of the bonus round) do not represent equal or  
7 separate chances to win. The supplemental tabs are utilized to reveal the value of the initial,  
8 winning pulltab ticket incrementally. These supplemental tabs appear for entertainment value  
9 only."

10 (12) An available player account balance shall be collected by the player by pressing the "cash-  
11 out" button or "logoff" button on the electronic pulltab device and taking the device, the receipt,  
12 or, if allowed, a player account card to the point of sale station.

13 (13) The cost of any individual electronic pulltab ticket shall not exceed five (5) dollars.

14 Section 29. Independent Testing Facility Certification for Electronic Pulltabs.

15 (1) An electronic pulltab system shall not be sold, leased, or otherwise furnished to any person  
16 for use in the conduct of charitable gaming until an identical system containing identical software  
17 has been tested and certified by an independent testing facility approved by the Office.

18 (2) The cost of testing and certification shall be the responsibility of the manufacturer.

19 (3) The independent testing facility shall certify in writing that the electronic pulltab system and  
20 associated hardware and software conform, at a minimum, to the requirements and restrictions  
21 established in KRS Chapter 238 and these administrative regulations.

22 (4)



1 (a) The Office[department], in consultation with the independent testing facility, shall determine  
2 if the electronic pulltab system and associated hardware and software conform to the  
3 requirements and restrictions established in KRS Chapter 238 and these administrative  
4 regulations, and shall notify the manufacturer of its decision in writing.

5 (b) Once the Office[department] has received the test results from the independent testing  
6 facility, the Office[department] may request a demonstration of the product within thirty (30)  
7 days.

8 (5) Any modifications to an electronic pulltab system or its software, except as provided in  
9 Section 31(7) of this administrative regulation, shall be tested and certified by an independent  
10 testing facility, demonstrated to the Office[department] by the manufacturer upon request, in the  
11 same manner as a new system or new software. Testing and certification shall be at the  
12 manufacturer's expense.

13 Section 30. Electronic Pulltab Defect and Recall.

14 (1) If a manufacturer, distributor, or charitable organization detects or discovers any defect,  
15 malfunction, or problem with an electronic pulltab system, electronic pulltab device, or electronic  
16 pulltab game that affects the security or the integrity of the electronic pulltab system, electronic  
17 pulltab device, or electronic pulltab game, the manufacturer, distributor, or charitable  
18 organization shall immediately notify the Office[department]. The charitable organization shall  
19 also immediately notify the distributor of the defect, malfunction, or problem. The distributor  
20 shall immediately notify the manufacturer of the defect, malfunction, or problem.

21 (2) If the Office[department] detects, discovers, or is notified of any problem with an electronic  
22 pulltab system, electronic pulltab device, or electronic pulltab game that affects the security or  
23 the integrity of the electronic pulltab system, electronic pulltab device, or electronic pulltab game,

1 the Office[department] shall direct the manufacturer, distributor, and charitable organization to  
2 immediately cease the sale, lease, or use of the affected electronic pulltab system, electronic  
3 pulltab device, or electronic pulltab game until the problem can be assessed by the  
4 Office[department] in consultation with the manufacturer or distributor.

5 (3) If the Office[department], in consultation with the manufacturer or distributor, determines  
6 that a defect actually exists, and the defect affects game security or otherwise threatens public  
7 confidence in the game, the Office[department] shall require the manufacturer to issue a total  
8 recall of all affected electronic pulltab systems, electronic pulltab devices, or electronic pulltab  
9 games or affected game sets or subsets, if necessary.

10 (4) In choosing and directing a particular recall pursuant to subsection (3) of this section, the  
11 Office[department] shall be guided in each circumstance by any combination of the following  
12 factors:

- 13 (a) The nature of the defect;
- 14 (b) Whether the defect affected game security;
- 15 (c) Whether the defect affected game playability;
- 16 (d) Whether the defect was limited to a specific number of deals of a particular form number;
- 17 (e) Whether the defect was easily detectable by a charitable organization;
- 18 (f) Whether the defect was easily detectable by members of the general public;
- 19 (g) Whether the defect threatens public confidence in the game; or
- 20 (h) Whether the defect is capable of being used to adversely affect the fair play of the game.

21 (5) The manufacturer or distributor may correct the defect, if possible, without issuing a total  
22 recall if the affected electronic pulltab devices and electronic pulltabs are not offered for sale,  
23 lease, or use until the Office[department] allows. The manufacturer or distributor shall make all

1 corrections within a reasonable time, not to exceed thirty (30) days, and the manufacturer or  
2 distributor shall demonstrate the correction to the Office[department]. If the Office[department]  
3 believes the defect has been corrected and that the defect no longer affects game security or  
4 otherwise threatens public confidence in the game, the Office[department] may issue written  
5 notification that the affected electronic pulltab system, devices, or pulltab game may be reoffered  
6 for sale, lease, or use.

7 (6) If a recall of an electronic pulltab system or electronic pulltab device is necessary, the  
8 Office[department], in consultation with the manufacturer, shall determine a specific date for the  
9 recall of any affected electronic pulltab system or electronic pulltab device to be completed and  
10 whether the manufacturer is required to reimburse the organization or distributor. The recall of  
11 any electronic pulltab game shall occur no later than twenty-four (24) hours after the  
12 manufacturer is notified of the defect.

13 Section 31. Electronic Pulltab Manufacturer Requirements.

14 (1) A manufacturer shall affix to each electronic pulltab device an identification badge that shall  
15 include the following information:

- 16 (a) Manufacturer name;
- 17 (b) A unique serial number;
- 18 (c) The electronic pulltab device model number, if applicable; and
- 19 (d) The date of manufacture, if applicable.

20 (2) Each manufacturer selling, leasing, or otherwise furnishing electronic pulltab devices, site  
21 systems, point of sale stations, secondary components, and electronic pulltabs shall maintain a  
22 log or other record showing the following:

- 1 (a) The name, address, and license number of the distributor to whom the electronic pulltab  
2 devices, site systems, point of sale stations, secondary components, or electronic pulltabs were  
3 sold, leased, or otherwise furnished;
- 4 (b) The date of the transaction with the distributor;
- 5 (c) The model, version, and serial number of each hand-held electronic pulltab device, if  
6 applicable;
- 7 (d) The account number or terminal number of each fixed base electronic pulltab device, if  
8 applicable;
- 9 (e) The quantity of each type of electronic pulltab device;
- 10 (f) The model and version number of the system software;
- 11 (g) The name, form number, and serial number of each game set of electronic pulltabs; and
- 12 (h) The quantity of game sets sold, the cost per game set, the selling price per ticket, the cash  
13 take-in per game set, and the cash payout per game set.
- 14 (3) A manufacturer selling, leasing, or otherwise providing electronic pulltab devices, site  
15 systems, point of sale stations, or secondary components to a distributor shall provide the  
16 distributor with an invoice or other documentation that contains, at a minimum, the following  
17 information:
- 18 (a) The manufacturer name, address, and license number;
- 19 (b) The distributor name, address, and license number;
- 20 (c) The address to which the shipment was delivered;
- 21 (d) The date of sale or credit and the time period covered by the invoice;
- 22 (e) The conditions of the sale or credit;

1 (f) A description of the type and the quantity of electronic pulltab devices, site systems, point  
2 of sale stations, and secondary components provided;  
3 (g) The total invoice amount;  
4 (h) The name of the person who ordered the supplies;  
5 (i) The name of the person making the delivery;  
6 (j) The date of delivery or date the item was picked up for sale or credit;  
7 (k) The place or manner of delivery; and  
8 (l) The name and signature of the person taking delivery, if any.

9 (4) A manufacturer providing electronic pulltabs to a distributor for distribution to a licensed  
10 charitable organization shall provide the distributor with an invoice or other documentation that  
11 contains, at a minimum, the following information:

12 (a) The manufacturer name, address, and license number;  
13 (b) The distributor name, address, and license number;  
14 (c) The organization name, address, and license number;  
15 (d) The date of sale or credit and the time period covered by the invoice;  
16 (e) The conditions of the sale or credit;  
17 (f) The quantity of electronic pulltabs sold including the number of game sets, the name of each  
18 game set, the number of tickets per game set, and the serial number and form number of the  
19 game set; and  
20 (g) The total invoice amount.

21 (5) The manufacturer shall maintain physical or electronic copies of the documentation required  
22 by this section for a period of not less than three (3) years.

1 (6) A manufacturer shall supply any available financial reports to distributors and organizations,  
2 upon request, that provide detailed pulltab sales activity for the requesting distributor or  
3 organization for a selected date range.

4 (7) A manufacturer may conduct routine maintenance activities and replace secondary  
5 components of an electronic pulltab system without additional testing and certification if this  
6 activity does not affect the operation of any proprietary software, the manner in which an  
7 electronic pulltab game is played, the integrity of any critical or controlled software, or the  
8 outcome of an electronic pulltab game. A record of all activities shall be maintained and provided  
9 to the Office~~[department]~~ within ten (10) days of the maintenance or replacement.

10 (8) A licensed manufacturer of charitable gaming supplies and equipment shall sell, lease,  
11 distribute, or otherwise provide in the Kentucky market only those electronic pulltab systems and  
12 electronic pulltabs that conform to the requirements of these administrative regulations. A  
13 licensed charitable organization shall provide to the public only those electronic pulltab systems  
14 and electronic pulltabs that conform to the requirements of this administrative regulation.

15 Section 32. Electronic Pulltab Distributor Requirements.

16 (1) Before initial use by a charitable organization, the distributor shall ascertain that the particular  
17 electronic pulltab system, electronic pulltab device, and associated software version are approved  
18 by the Office~~[department]~~ for use in Kentucky.

19 (2) A distributor shall not display, use, or otherwise furnish an electronic pulltab device, site  
20 system, or secondary component that has in any manner been marked, defaced, or tampered with,  
21 or that is otherwise intended to deceive the public or affect a person's chances of winning.

1 (3) Before the complete removal of any electronic pulltab system, the distributor shall supply a  
2 copy of the data files to each charitable organization that used the electronic pulltab system and  
3 to the Office~~[department]~~.

4 (4) Each distributor selling, leasing, or otherwise furnishing electronic pulltab devices, site  
5 systems, point of sale stations, secondary components, or electronic pulltabs shall maintain a log  
6 or other record showing the following information, if applicable:

7 (a) The name of the location, physical address, telephone number, and facility license number,  
8 if applicable, where the electronic pulltab devices, site systems, point of sale stations, and  
9 secondary components are located for play;

10 (b) A description, including the quantity, of all electronic pulltab devices, site systems, point of  
11 sale stations, and secondary components at each playing location;

12 (c) The date any electronic pulltab device, site system, point of sale station, or secondary  
13 component was installed in or removed from a playing location;

14 (d) The model, version, and serial numbers or terminal numbers of the electronic pulltab  
15 devices, site systems, point of sale stations, and secondary components, if applicable;

16 (e) The name and license number of the charitable organization or distributor to whom the  
17 electronic pulltab devices, site systems, point of sale stations, or secondary components were  
18 sold, leased, or otherwise furnished;

19 (f) The name and license number of the manufacturer or distributor from whom the electronic  
20 pulltab devices, site systems, point of sale stations, and secondary components were purchased,  
21 leased, or otherwise obtained;

1 (g) Each contract, lease, or purchase agreement between a distributor and the charitable  
2 organization or other distributor to which the electronic pulltab devices, site systems, point of  
3 sale stations, or secondary components are furnished; and

4 (h) The total dollar amount of electronic pulltab device, site system, point of sale station, and  
5 secondary component sales or lease transactions regarding each charitable organization to  
6 which the equipment was furnished during each calendar quarter.

7 (5) A distributor selling, leasing, or otherwise providing electronic pulltab devices, site systems,  
8 point of sale stations, or secondary components to a licensed charitable organization shall provide  
9 the organization with an invoice or other documentation that contains, at a minimum, the  
10 following information:

11 (a) The manufacturer name, address, and license number;

12 (b) The distributor name, address, and license number;

13 (c) The organization name, address, and license number;

14 (d) The date of sale or credit and the time period covered by the invoice;

15 (e) The conditions of the sale or credit;

16 (f) A description of the type and the quantity of electronic pulltab devices, site systems, point  
17 of sale stations, and secondary components provided;

18 (g) The total invoice amount;

19 (h) The name of the person who ordered the supplies;

20 (i) The name of the person making the delivery;

21 (j) The date of delivery or date the item was picked up for sale or credit;

22 (k) The place or manner of delivery; and

23 (l) The name and signature of the person taking delivery, if any.



1 (6) A distributor providing electronic pulltabs to a licensed charitable organization shall provide  
2 the organization with an invoice or other documentation that contains, at a minimum, the  
3 following information:

- 4 (a) The manufacturer name, address, and license number;
- 5 (b) The distributor name, address, and license number;
- 6 (c) The organization name, address, and license number;
- 7 (d) The date of sale or credit and the time period covered by the invoice;
- 8 (e) The conditions of the sale or credit;
- 9 (f) The quantity of electronic pulltabs sold including the number of game sets, the name of each  
10 game set, the number of tickets per game set, and the serial number and form number of the  
11 game set; and
- 12 (g) The total invoice amount.

13 (7) An invoice not challenged within seven (7) days of delivery shall be determined as accurate.  
14 Any challenge to an invoice shall be made in writing to the distributor and a copy shall be sent  
15 to the Office~~(department)~~.

16 (8) The distributor shall maintain physical or electronic copies of the documentation required by  
17 this section for a period of not less than three (3) years.

18 (9) A distributor shall deliver electronic pulltab devices, site systems, point of sale stations, and  
19 secondary components to an agreed secure location or to an identified person.

20 (10) A licensed distributor of charitable gaming supplies and equipment shall sell, lease,  
21 distribute, or otherwise provide in the Kentucky market only those electronic pulltab systems and  
22 electronic pulltabs that conform to the requirements of these administrative regulations. A

1 licensed charitable organization shall provide to the public only those electronic pulltab systems  
2 and electronic pulltabs that conform to the requirements of this administrative regulation.

3 Section 33. Charitable Organization Requirements.

4 (1) Before initial use, the organization shall ascertain that the particular electronic pulltab system,  
5 electronic pulltab device, and associated software version are approved by the  
6 Office[department] for use in Kentucky.

7 (2) An organization shall not display, use, or otherwise furnish an electronic pulltab device, site  
8 system, or secondary component that has in any manner been marked, defaced, or tampered with,  
9 or that is otherwise intended to deceive the public or affect a person's chances of winning.

10 (3) The play of electronic charity game tickets and electronic pulltab devices shall not be  
11 authorized at any additional locations other than the primary office location of the charitable  
12 organization, the location where the charitable organization is licensed to conduct bingo, and the  
13 location where pre-approved charitable fundraising events are authorized. Any licensee operating  
14 at additional locations authorized prior to July 1, 2025, may retain those licenses on the condition  
15 of continued compliance with all applicable laws and regulations.

16 (4)(3) The number of electronic pulltab devices shall be limited to the following:

17 (a) A maximum of thirty-five (35) electronic pulltab devices on or in the primary office location  
18 of a licensed charitable organization;

19 (b) A maximum of fifty (50) electronic pulltab devices during the bingo session of a licensed  
20 charitable organization;

21 (c)(d) With prior approval of the Office[department], at any authorized charity fundraising  
22 event conducted by a licensed charitable organization at an off-site location.

1     ~~(5)~~(4) All electronic pulltab games shall be sold and played at the authorized locations, which  
2     shall be stated on the organization's charitable gaming license, and shall not be linked to other  
3     authorized locations. A distributor may install electronic pulltab systems or devices in unlicensed  
4     locations, for demonstration purposes only, if the system and devices do not accept payments or  
5     pay out prizes, and the time period of the demonstration does not exceed seventy-two (72) hours.  
6     The electronic pulltab system and devices shall not be operated unless a representative of the  
7     licensed manufacturer or distributor conducting the demonstration is present.

8     ~~(6)~~(5) Electronic pulltab games shall not be transferred electronically or otherwise to any other  
9     location by the licensed organization.

10    ~~(7)~~(6) An organization may remove from play a device that a player has not maintained in an  
11    activated mode for a specified period of time determined by the organization. The organization  
12    shall provide the notice in its house rules and shall allow the player to cash-out.

13    ~~(8)~~(7) Before purchasing or being provided with an electronic pulltab device, a player shall  
14    present proof that the player is at least eighteen (18) years of age. Proof shall be in the form of a  
15    picture identification card that includes the player's date of birth. If an organization uses a self-  
16    service point of sale kiosk, identification shall be presented and verified at the door.

17    ~~(9)~~(8) Each player shall be limited to the use of one (1) electronic pulltab device at a time.

18    ~~(10)~~(9) If a player's electronic pulltab device malfunctions during a game, it shall be repaired or  
19    the credits shall be transferred to another electronic pulltab device.

20    ~~(11)~~(10) The Office~~[department]~~ shall be allowed access to examine and inspect any part of an  
21    electronic pulltab system. The Office~~[department]~~ shall be granted access to all electronic pulltab  
22    devices in use by a charitable organization.

1     ~~(12)(41)~~ The organization shall reasonably ensure that the connection to the electronic pulltab  
2     system's central computer system is operational at all times.

3     ~~(13)(42)~~ If the organization sells electronic pulltab devices for a discounted price or gives them  
4     away as a promotion, the site system shall be programmed to account for the discounted item and  
5     priced separately from those sold at the regular price. A generic discount key shall not be allowed.

6     ~~(14)(43)~~ The organization shall generate an Electronic Pulltab Receipts and Payouts report at the  
7     end of each charitable gaming session and maintain it with the charitable gaming session records.  
8     The Electronic Pulltab Receipts and Payouts worksheet shall be completed in the format of Form  
9     CG-EPRP.

10    ~~(15)(44)~~ A manufacturer's representative or distributor's representative may be present during a  
11    charitable gaming session only to consult, demonstrate, provide technical support, or train the  
12    organization on the operation of the electronic pulltab system.

13    ~~(16)(45)~~ A licensed charitable organization shall ensure that an authorized C[e]hairperson is  
14    present on the premises at all times that electronic pulltab devices are made available to the  
15    public. A Chairperson shall not be compensated in any way by any person, organization, or any  
16    other entity whatsoever, including by the leased business, charitable gaming facility licensee, or  
17    the charitable organization, while acting as a Chairperson. A Chairperson may not be an on-duty  
18    employee of any business where space is leased by a charitable gaming facility licensee in an  
19    additional location as defined by Section (3). Officers, members, employees, and volunteers of  
20    the charitable organization shall not be compensated in any way, including tips, for the time  
21    served as a Chairperson.

22    Section 34. Incorporation by Reference.

1 (1) Form CG-EPRP, "Electronic Pulltab Receipts and Payouts", (2018), is incorporated by  
2 reference.

3 (2) This material may be inspected, copied, or obtained, subject to applicable copyright law, at  
4 the Office [Department] of Charitable Gaming, Kentucky Horse Racing & Gaming Corporation,  
5 4047 Iron Works Parkway, Lexington, Kentucky 40511~~[Public Protection Cabinet, 500 More~~  
6 ~~Street, Frankfort, Kentucky 40601]~~, Monday through Friday, 8 a.m. to 5:00 ~~4:30~~ p.m. This  
7 material is also available on the Office's~~[department's]~~ Web site at [dcg.ky.gov](http://dcg.ky.gov).

READ AND APPROVED

\_\_\_\_\_  
Jonathan Rabinowitz  
Chair, Kentucky Horse Racing & Gaming Corporation

Date \_\_\_\_\_

**Andy Beshear**  
GOVERNOR

**Jacqueline Coleman**  
LIEUTENANT GOVERNOR



4047 Iron Works Parkway  
Lexington, KY 40511  
Phone: (859) 246-2040  
Fax: (859) 246-2039

**Jamie Eads**  
PRESIDENT & CEO

**Jonathan Rabinowitz**  
CHAIRMAN

TO: KHRG Board  
FROM: Susan Speckert, Chief Operating Officer  
DATE: January 20, 2026  
RE: **EMERGENCY PROCUREMENT RATIFICATION FOR PROJECT MANAGER—SENIOR**

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KHRG receives all IT-related services through the Commonwealth Office of Technology (COT). This includes all infrastructure, hardware, software, equipment, network, as well as all user account identities, security requirements, monitoring, and support. These services must be established independent of COT.

COT has been meeting with and assisting KHRG with plans to accomplish this complex project. Since KHRG does not have an IT professional on staff, the recommendation was to contract with a senior level Project Manager through a state master agreement to spearhead the transition of all IT-related services to KHRG. The state has master agreements with seven vendors who provide various kinds of IT-related professionals, including senior-level project managers. The Project Manager will be responsible for analyzing all services currently provided by COT, structuring a transition plan for these services, and assisting KHRG in implementing the plan.

A work description was forwarded to the seven vendors that have master agreements with the state. Several resumes were submitted and seven interviews were conducted. The preferred candidate was provided by TEKSystems at the state rate of \$90 per hour. The requested contract amount through June 30, 2026 is not to exceed \$150,000.

The transition period is scheduled to begin in early January. Since the January Board meeting was rescheduled due to a conflict with the Keeneland January sales, an emergency procurement was undertaken so that the contractor could begin work and stay on schedule.

Per the policy, President Eads approved the emergency procurement request contingent upon approval by the Finance Committee. The Finance Committee unanimously approved the purchase at its meeting on January 6, 2026. The contractor began work on Tuesday, January 13, 2025.

We are requesting ratification of the procurement request to use the state master agreement with TEKSystems to engage a Project Manager-Senior for the above-stated reasons at the state rate of \$90 per hour, not to exceed \$150,000 through June 30, 2026.

Approval is recommended.

BOARD ACTION

\_\_\_\_\_ Approve

\_\_\_\_\_ Defer

\_\_\_\_\_ Deny



# Kentucky Horse Racing and Gaming Corporation

## Purchase Request Form

**Short Description** IT Project Manager-Senior

Date 12/29/2025

Requestor Susan Speckert

Phone #

Procurement Type Service Procurement Method Cooperative Purchasing

Fund 67% 374R - Administration 33% 381A Charitable Gaming

Expense Type E150 - Business Process Services

**Suggested Vendor / Supplier Information:**

**Contact Information:**

Name TEKSystems  
 Street Address 1006 Leawood Drive, Suite 100  
 City, State Zip Frankfort, KY 40601  
 Phone  Website

Name Mike Smith  
 Email mismith@teksystems.com  
 Phone 502-671-2162

Amount of Purchase \$90/hour up to \$150,000 Anticipated Start Date 1/12/26

Estimate or Actual? Estimated Anticipated End Date 6/30/26

Is there a Master Agreement or other state agreement? Yes

Agreement Number 758 2300001088

*Please provide copy of the agreement*

**Purpose and Justification:** (Explain how this is critical to delivery of services and the impact if not approved)

The Commonwealth of Kentucky has master agreements for staff augmentation with seven vendors for IT contractor hourly based contracts. The description below was shared with all seven vendors to request potential candidates for the role. Several resumes were received, and interviews with seven candidates completed. Rachel Wilson with TEKSystems has been selected as the preferred candidate.

KHRG currently receives all technology services from the Commonwealth Office of Technology. This includes all infrastructure, hardware, software, equipment, network, and includes all user account identities, security requirements, monitoring, and support. The Project Manager-Senior will be responsible for determining all technology and services currently being provided by COT and structuring a transition plan to establish all necessary services independent of COT. The transition plan includes advising and assisting the COO to draft and issue an RFP or multiple RFPs to establish all necessary services independent of COT. The transition plan will also include advising and assisting the COO in determining long-time service provision, including staffing and outsourcing.

Attach a Word document with additional justification if additional space is needed for any of the above.

Department Level Approval: s/ Susan Speckert 12/29/2025

Signature

Date

CEO Approval: Janie H. Eads 12.30.2025

Signature

Date

Finance Committee Approval (if required): 1/6/2026 - approved

Meeting Date

KHRGC Board Approval (if required):

Meeting Date

Do any of the following apply to this request?

☐ No ☐ Not Practicable or Feasible

If Yes, present justification in the space below explaining why the suggested vendor is the only vendor that offers an item or services that will satisfy the agency requirements. Explain why alternatives are unacceptable. Be specific with regard to specifications, features, characteristics, requirements, capabilities and approach.

☐ No ☐ Sole Source

If Yes, present justification in the space below clearly substantiating the fact that the item or service is the only item or service that will meet the agency requirements. Provide written justification from the vendor demonstrating they are the sole manufacturer, sole distributor or sole authorized agent. While a vendor may be the sole manufacturer, distributor or authorized agent of a particular item or service, if there are competing products or services available, the item or service is not considered a sole source.

☐ Yes ☐ Emergency

If Yes, present explanation in the space below of the emergency condition. An emergency condition exists when there is an immediate need for supplies, equipment, or services which cannot be met through normal procurement methods and the absence of which would seriously threaten the proper functioning of KHRGC, the preservation or protection of its property, facility, or IT hardware or equipment, or the health and safety of any person.

*If all of the above answers are "No", then STOP - form is complete and only the first page is required.*

Justification for the above items:

KHRG is required to establish all IT-related services independent of COT, with the transition period beginning in January of 2026. The January KHRG Board meeting is being rescheduled due to a conflict with the Keeneland January sales. In order to stay on track, work must begin in early January. A Finance Committee meeting has been scheduled for Tuesday, January 6, 2026, to consider the procurement, which will be forwarded to the full Board at its next meeting. NOTE: This request is for approval contingent upon Finance Committee approval on January 6th.

**FUTURE PURCHASES:** Explain how this purchase will obligate KHRGC to a particular vendor for future purchases, if applicable. For example, will future maintenance require contracts with this vendor or can contracts for maintenance be competitively awarded? Will "like" or "proprietary" items be required to be purchased from only this vendor? Explain in detail.

This complex project is anticipated to take several months and likely require renewal at the end of the current fiscal year.

IMPACT TO KHRGC: Explain the impact to KHRGC if this request is not approved.

Because all IT-related services are provided by COT, KHRG does not have an employee on staff with the requisite skill set and subject-matter expertise to manage this complex project. Rather than hire a full-time employee, KHRG determined, in consultation with COT, that using the state staff augmentation agreements regularly used by COT itself would be the most cost efficient and effective method of engaging someone with the experience and expertise to lead the transition.

Attach a Word document with additional justification if additional space is needed for any of the above.

**Andy Beshear**  
GOVERNOR

**Jacqueline Coleman**  
LIEUTENANT GOVERNOR



4047 Iron Works Parkway  
Lexington, KY 40511  
Phone: (859) 246-2040  
Fax: (859) 246-2039

**Jamie Eads**  
PRESIDENT & CEO

**Jonathan Rabinowitz**  
CHAIRMAN

TO: Kentucky Horse Racing and Gaming  
FROM: Drew Conners, Director, Incentives & Development  
DATE: January 5, 2026  
RE: **BACKSIDE IMPROVEMENT REQUEST – CHURCHILL DOWNS RACETRACK, LLC.**

---

The Corporation received the enclosed letter from Churchill Downs on December 24, 2025, to paint and replace blinds in 112 dorms, hallways, restrooms and laundry room on the backside of Churchill Downs.

Total requested for the project is \$80,456.00. All details pertaining to the scope of work of the project can be found following this memorandum.

The KHRG confirms the project meets the statutory and regulatory requirements of KRS 230.3615 & 810 KAR 7:010. Funding is available. KHRG staff will inspect the installation once the project is complete. All invoices, photographs and communication will remain on file at the KHRG Offices for Corporation review.

The Kentucky Thoroughbred Association ("KTA") / Kentucky Thoroughbred Owners and Breeders ("KTOB"), and the Kentucky Horsemen's Benevolent and Protective Association ("KYHBPA") recommend approval of this request.

KHRG Staff Recommends Approval.

**BOARD ACTION**

\_\_\_\_ Approve  
\_\_\_\_ Defer  
\_\_\_\_ Deny



December 24, 2025

Ms. Jamie Eads  
Chief Executive Officer  
Kentucky Horse Racing and Gaming Corporation  
4047 Ironworks Parkway, Building B  
Lexington, KY 40511  
[jamie.eads@ky.gov](mailto:jamie.eads@ky.gov)  
(859) 246-2040

Re: **Request for Approval of Backside Improvement Fund Projects**

Dear Ms. Eads,

Churchill Downs Racetrack (CDRT) is requesting use of Backside Improvement Funds to paint and replace blinds in 112 dorms, hallways, restrooms, and laundry room on the Churchill Downs Backside. The estimated cost of the improvements is \$80,456.

Defined project scope and bid from supplier is attached.

If approved, CDRT will submit the invoices to the Kentucky Horse Racing and Gaming Corporation for reimbursement after the work has been completed.

Regards,

Mike Ziegler  
General Manager & Senior Vice President  
Churchill Downs Racetrack  
700 Central Ave.  
Louisville, KY 40208  
Office: (502) 394-1137

Enclosure: Project Estimates



ICRC Contracting  
310 W Oak St.  
Louisville, KY 40203

7/1/2025

**Customer:**  
Churchill Downs  
700 Central Ave.  
Louisville, KY 40208

**ESTIMATE**

(Dorm Interior Painting and Window Blinds Replacement)

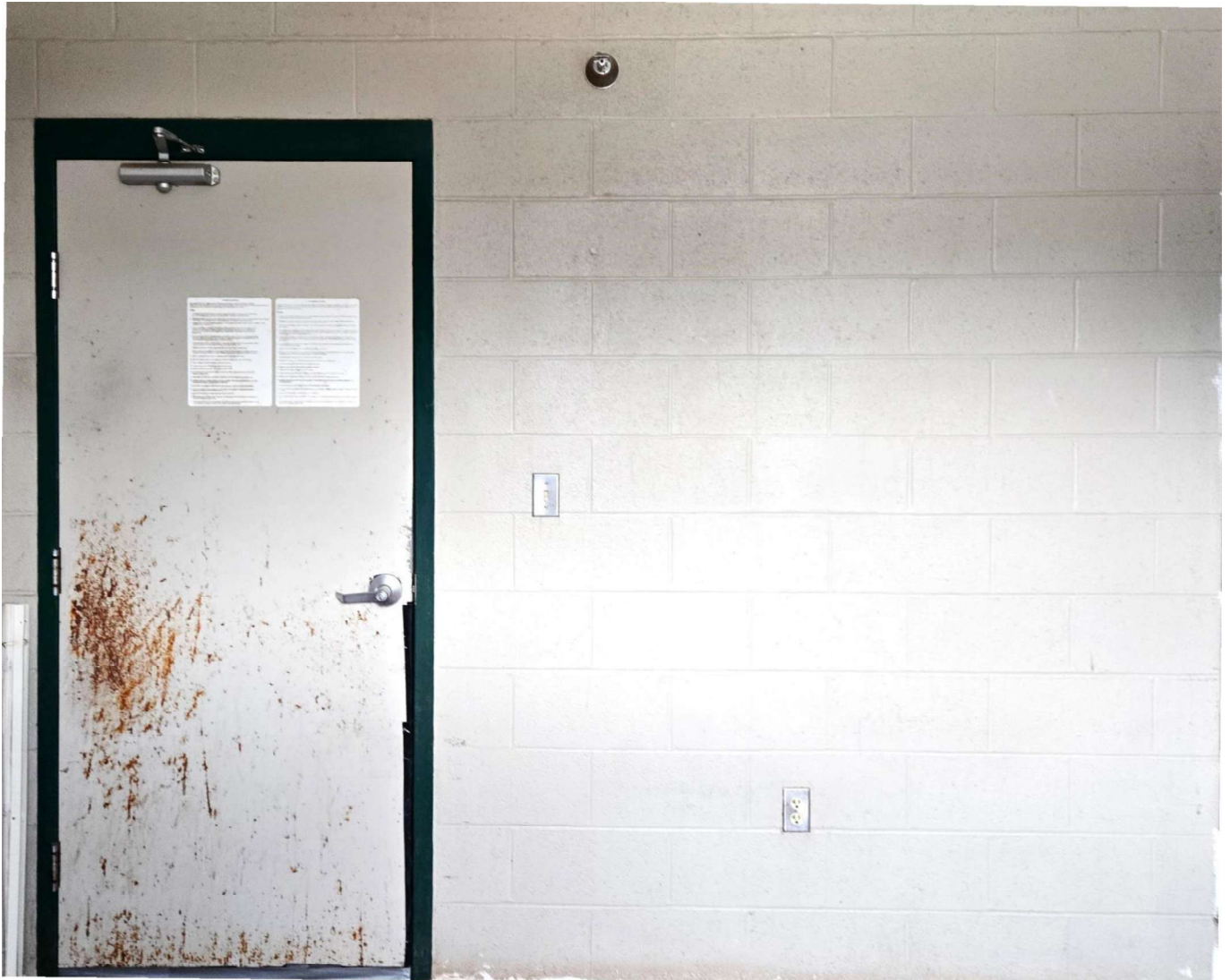
|  |             |
|--|-------------|
| Paint (112) bedrooms, (112) doors and door jambs, and 1st and 2nd floor hallways | \$80,456.00 |
| Repair ceilings of laundry room and bathrooms, and paint                         |             |
| Remove and dispose of (112) bedroom window blinds and replace with new blinds    |             |
| Labor, materials, and applicable taxes included                                  |             |

**TOTAL: \$80,456.00**











**Andy Beshear**  
GOVERNOR

**Jacqueline Coleman**  
LIEUTENANT GOVERNOR



**Jamie Eads**  
PRESIDENT & CEO

**Jonathan Rabinowitz**  
CHAIRMAN

4047 Iron Works Parkway  
Lexington, KY 40511  
Phone: (859) 246-2040  
Fax: (859) 246-2039

TO: **Kentucky Horse Racing and Gaming Corporation**  
FROM: Jamie Eads, President and CEO  
DATE January 16, 2026  
RE: **PRESIDENT'S UPDATE**

---

The following is a non-exhaustive list of notable activities related to the Corporation that have taken place since the last update dated October 20, 2025. This is for informational purposes only.

Personnel Updates:

- Two full-time veterinarians joined the Division of Veterinary Services and one part-time veterinary technician.
- KHRG Office of Charitable Gaming added one compliance officer and one fiscal assistant.

Board and Committee Updates:

- The Corporation's Board met on October 28, November 25 and December 16, 2025.
- Finance Committee met on November 25 and December 15, 2025, and January 6, 2026.
- KSDF/KSBIF Advisory Committee met on November 18, 2025, to consider and recommend purses and race dates for the 2026 Kentucky Sire Stakes Championships, Commonwealth, and Golden Rod series, as well as early closers for older horses and the Kentucky Proud Series at county fairs. The series will race at all three licensed standardbred tracks and eight county fairs across the state.
- Charitable Gaming Regulation Review Committee announced in December. Members include Bill Redwine (Chair), Michael Berry, Bernie Mason, and Frank Shoop. Travers Manley will be leading this effort and has established a regulation review schedule that will conclude before the end of FY26.

Notable Business:

- October 20: Jamie Eads participated in Thoroughbred Industry Employee Awards (TIEA) panel. This awards program recognizes individuals' working at a farm or racing stable and their talent & commitment to the industry. There are seven categories and three finalists for each category.

- October 21: KHRG's Office of Charitable Gaming conducted an in-office training. Other trainings were hosted on October 23, November 5, and November 6.
- October 28: Hannah Simms and Jamie Eads met with Rep. Michael Meredith about sports wagering.
- November 3: KHRG issued two RFPs for outside counsel (general legal services and litigation services).
- November 4: Jamie Eads and Susan Speckert met with COT leadership to establish a path forward for KHRG's technology needs.
- November 4: Ashleigh Bailey, Henry Boone, Jamie Eads, Travers Manley, Whitney Maupin, and Susan Speckert observed bingo at Title Town Bingo. The licensed charity was Boyle County Football boosters, and the event included bingo, electronic pull tabs, paper pull tabs, and raffles.
- November 5: Jamie Eads, Hannah Simms, and Susan Speckert met with Mike Stone, Kentucky Council on Problem Gambling to discuss resources directed to problem gambling in Kentucky.
- November 6: Hannah Simms and Jamie Eads met with Rep. Nick Wilson to discuss sports wagering.
- November 17: Drew Conners participated in the Kentucky Racing Health & Welfare Fund (KyRHWF) board meeting. This Fund receives uncashed pari-mutuel tickets (thoroughbred), and Drew participates as a non-voting member to keep both KyRHWF and KHRG up to date.
- November 17: Joey Went, Henry Boone, Jamie Eads, Susan Speckert, Kim Sutherland met with leadership from Creative Game Technologies (CGT) at 11 a.m. and Light and Wonder (LNW) at 1 p.m. about the fishing incidents that had occurred in Kentucky.
- November 19: Kim Sutherland, Kim Smith, Farrah Keith, and Henry Boone presented on charitable gaming at the Kentucky Association of School Business Officials' fall conference in Lexington.
- November 20: Ashleigh Bailey, Drew Conners and Jamie Eads testified to Licensing & Occupations regarding proposed amendments to 810 KAR 7:060 (Quarter Horse Development Fund).
- November 24: Jamie Eads, Member Landes, Travers Manley, and Member Parke met with Exacta for a demo on a roulette game theme for HHR.
- December 2: KHRG issued RFP for financial statement audit for FY26.
- December 8-10: Jamie Eads attended the Racetrack Industry Global Symposium, ARCI Model Rules and ARCI Board meeting in Tucson.
- December 15: KHRG leadership, office of charitable gaming along with the division of pari-mutuel wagering met with Arrow to better understand format of charitable gaming wagering data and how to transmit the data to KHRG.
- January 5, 2026: At the request of RRKY, Ashleigh Bailey, Paul Brooker, Jamie Eads, Jenny Engle, Casey Larson, Patricia Ludwig, Dr. Nick Smith, and Bryon Tolson attended a site visit to review proposed layout of test barn, steward stand, race offices, barns, and racetrack.



#### Other Activities:

- Keeneland Fall Meet opened October 3 and concluded October 25. The Fall meet included \$5,446,530 in KTDF supplemental purses.
- Cumberland Run Fall Meet opened October 12 and concluded November 4. Cumberland Run ran 71 sire stake races with total purses of \$3,450,000 funded by the KSDF/KSBIF.
- Churchill Downs Fall Meet opened October 26 and concluded November 30. The Fall meet included \$6,848,778 in KTDF supplemental purses.
- Turfway Park's Holiday/Winter/Spring Meet opened December 3, 2025, and will conclude March 28, 2026. Turfway Park plans to card supplemental purses from KTDF in the range of \$13M to \$14M for 65 days of racing during their meet.
- Sport wagering total handle from October – November 30, 2025, exceeded \$673.6 million. More than \$598.8 million in winnings was returned to players, while the state collected \$10.2 million in excise tax during this period. This reflects a year-over-year increase in excise tax revenue of more than 28% for the same timeframe.
- Pari-mutuel wagering handle for October – November 30, 2025, exceeded \$2 billion, which is a 13% increase from same period in 2024.
- Gaming division staff approved 25 game floor requests at 12 properties and reviewed 231 requests for determinations of suitability for historical horse racing.



**Andy Beshear**  
GOVERNOR

**Jacqueline Coleman**  
LIEUTENANT GOVERNOR

4047 Iron Works Parkway  
Lexington, KY 40511  
Phone: (859) 246-2040  
Fax: (859) 246-2039

**Jamie Eads**  
PRESIDENT & CEO

**Jonathan Rabinowitz**  
CHAIRMAN

TO: Kentucky Horse Racing & Gaming  
FROM: Katie Smith, CFO  
DATE: January 9, 2026  
RE: Financial Reports

---

Please find attached the following reports/financial information:

- Attachment 1 – August monthly financial statements
- Attachment 2 – Listing of all purchase requests approved to date in accordance with KHRG's Procurement Procedures for fiscal year ending June 30, 2026

Kentucky Horse Racing & Gaming Corporation  
Statement of Net Position  
Year To Date 08/31/2025

**Assets**

## Current Assets

|                               |                       |
|-------------------------------|-----------------------|
| 1000 - Operating Checking     | 73,671,510.65         |
| 1001 - Traditional I&D        | 1,907,900.51          |
| 1002 - Traditional Licensing  | 208,126.18            |
| 1003 - Fifth Third Licensing  | 427,358.92            |
| 1005 - Cash Held by Treasury  | 23,578,670.94         |
| 1006 - Savings Checking       | 50,124,657.00         |
| 1010 - BILL Clearing Account  | 1,080.00              |
| Total Cash & Cash Equivalents | <u>149,919,304.20</u> |

|                            |            |
|----------------------------|------------|
| 1200 - Accounts Receivable | 605,340.67 |
| 1210 - Other Receivables   | 323,438.00 |

|                |                       |
|----------------|-----------------------|
| Current Assets | <u>150,848,082.87</u> |
|----------------|-----------------------|

## Long-Term Assets

|                                      |              |
|--------------------------------------|--------------|
| Fixed Assets                         | 515,648.94   |
| 1900 - Deferred Outflow of Resources | 5,764,286.67 |
| 1280 - Due From Restricted Funds     | 7,108,249.96 |

|                  |                      |
|------------------|----------------------|
| Long-Term Assets | <u>13,388,185.57</u> |
|------------------|----------------------|

**Total Assets****164,236,268.44****Liabilities**

## Current Liabilities

|   |              |
|---|--------------|
| 2000 - Accounts Payable                               | 356,002.38   |
| 2100 - Credit Card Payable                            | 2,618.61     |
| 2220 - Accrued Health, Dental, Vision, Life Insurance | 48.14        |
| 2221 - Accrued Retirement Contributions               | 137,851.40   |
| 2222 - Accrued Pension Contributions                  | 78,103.30    |
| 2270 - Incentives Accrual                             | 2,904,754.44 |
| 2260 - Comp Time Accrual                              | 1,915.77     |

|                           |                     |
|---------------------------|---------------------|
| Total Current Liabilities | <u>3,481,294.04</u> |
|---------------------------|---------------------|

## Long-Term Liabilities

|                                     |               |
|-------------------------------------|---------------|
| 2230 - Annual Leave Accrual         | 679,377.93    |
| 2240 - Sick Leave Accrual           | 502,495.20    |
| 2250 - Net Pension Accrual          | 33,323,835.00 |
| 2265 - Net OPEB Accrual             | 1,964,894.00  |
| 2900 - Deferred Inflow of Resources | 2,999,046.35  |
| 2280 - Due To KHRGC Admin Fund      | 7,108,249.96  |

|                             |                      |
|-----------------------------|----------------------|
| Total Long-Term Liabilities | <u>46,577,898.44</u> |
|-----------------------------|----------------------|

**Total Liabilities****50,059,192.48****Net Position**

|                        |               |
|------------------------|---------------|
| Beginning Net Position | 92,240,253.55 |
| Change in Fund Balance | 21,936,822.41 |

**Total Net Position****114,177,075.96****Net Position Ck Total (s/b \$0)****0.00**

No assurance is provided on this financial statement and footnotes are excluded.

# Kentucky Horse Racing & Gaming Corporation

## Statement of Activities

Year To Date 08/31/2025

|                               | Actual         | Fiscal Year Budget | Remaining Budget |
|-------------------------------|----------------|--------------------|------------------|
| Revenue                       |                |                    |                  |
| Program Revenue               | 27,385,446.31  | 105,663,554.00     | 78,278,107.69    |
| General Allotment             | 0.00           | 3,771,200.00       | 3,771,200.00     |
| Total Revenue                 | 27,385,446.31  | 109,434,754.00     | 82,049,307.69    |
| Expenses                      |                |                    |                  |
| Personnel Costs               | 1,866,540.62   | 14,417,353.34      | 12,550,812.72    |
| Operating Expenses            | 471,430.64     | 5,041,813.68       | 4,570,383.04     |
| Development & Incentive Funds | 2,450,065.53   | 103,525,006.00     | 101,074,940.47   |
| Capital Outlay                | 74,465.00      | 400,000.00         | 325,535.00       |
| Transfers                     | 586,122.11     | 0.00               | (586,122.11)     |
| Total Expenses                | 5,448,623.90   | 123,384,173.02     | 117,935,549.12   |
| Net Revenue                   | 21,936,822.41  | (13,949,419.02)    | (35,886,241.43)  |
| Asset Reclass                 | 0.00           | 0.00               | 0.00             |
| Change in Fund Balance        | 21,936,822.41  | (13,949,419.02)    | (35,886,241.43)  |
| Beginning Net Position        | 92,240,253.55  | 0.00               | (21,936,822.41)  |
| Change in Fund Balance        | 21,936,822.41  | (13,949,419.02)    | (35,886,241.43)  |
| Ending Net Position           | 114,177,075.96 | (13,949,419.02)    | (57,823,063.84)  |

No assurance is provided on this financial statement and footnotes are excluded.



**KENTUCKY HORSE RACING & GAMING CORPORATION**

Purchase Request Tracker - Fiscal Years Ending June 30, 2025 and 2026

**Attachment 2**

| Date       | Vendor  | Short Description  | Amount       | Anticipated Start Date | Anticipated End Date | Procurement Method          | CEO/President Approval Date | Finance Committee Approval Date | KHRGC Board Approval Date |
|------------|---|--|--------------|------------------------|----------------------|-----------------------------|-----------------------------|---------------------------------|---------------------------|
| 6/20/2025  | The Jockey Club Information Systems Inc.          | Annual services for database support, maintenance, custom reports and database hosting | \$73,987.80  | 7/1/2025               | 6/30/2026            | Sole Source                 | 6/20/2025                   | 6/24/2025                       | 6/24/2025                 |
| 6/20/2025  | KY Thoroughbred Owners & Breeders                 | KTBF advertising   | \$75,000.00  | 7/1/2025               | 6/30/2026            | Non-Competitive Negotiation | 6/20/2025                   | 6/24/2025                       | 6/24/2025                 |
| 6/20/2025  | Media Vista Inc - Thoroughbred Daily News         | KTBF advertising   | \$30,000.00  | 7/1/2025               | 6/30/2026            | Non-Competitive Negotiation | 6/20/2025                   | 6/24/2025                       | 6/24/2025                 |
| 6/30/2025  | Sleip AI AB                                       | Subscription for application for pre-morning and post-race horse exams                 | \$6,200.00   | 7/1/2025               | 12/31/2026           | Incident / Small Purchase   | 7/1/2025                    | Not required                    | Not required              |
| 6/26/2025  | Maverick Insurance Group                          | Insurance policy renewal for Directors and Officers                                    | \$70,904.72  | 7/1/2025               | 6/30/2026            | Emergency Procurement       | 6/27/2025                   | Ratified on 8/19/2025           | Ratified on 8/19/2025     |
| 6/26/2025  | Public Protection Cabinet                         | IT database services for sports wagering & charitable gaming                           | \$600,000.00 | 7/1/2025               | 6/30/2026            | Emergency Procurement       | 6/27/2025                   | Ratified on 8/19/2025           | Ratified on 8/19/2025     |
| 7/28/2025  | Krueger International c/o KDA Office Furniture    | Lighting for cubicle work stations   | \$7,570.07   | 8/5/2025               | 8/31/2025            | Incident / Small Purchase   | 7/30/2025                   | Not required                    | Not required              |
| 8/7/2025   | Paul Miller Ford                                  | CG purchase of three new 2026 SUV's  | \$119,208.00 | Upon approval          | N/A                  | Cooperative Purchasing      | 8/10/2025                   | 8/19/2025                       | 8/19/2025                 |
| 8/7/2025   | Frankfort Toyota                                  | CG purchase of Toyota Camry Hybrid   | \$31,954.00  | Upon approval          | N/A                  | Cooperative Purchasing      | 8/10/2025                   | 8/19/2025                       | 8/19/2025                 |
| 8/7/2025   | Gary Yeomans Ford                                 | CG purchase of new 2025 SUV  | \$42,511.00  | Upon approval          | N/A                  | Cooperative Purchasing      | 8/10/2025                   | 8/19/2025                       | 8/19/2025                 |
| 8/13/2025  | Patterson Veterinary Supply Inc                   | Renewal of Master Agreement for Lasix supplies   | \$244,031.36 | 10/13/2025             | 10/13/2026           | Competitive Sealed Bidding  | 8/13/2025                   | 8/19/2025                       | 8/19/2025                 |
| 9/7/2025   | Hannah News Services                              | Subscription for legislative tracking services   | \$4,299.00   | 10/1/2025              | 10/1/2026            | Incident / Small Purchase   | 9/8/2025                    | Not required                    | Not required              |
| 10/22/2025 | Trucordia (f/n/a Maverick Insurance               | Cyber Insurance policy   | \$20,100.00  | Upon execution         | 12 months            | Incident / Small Purchase   | 10/22/2025                  | Not required                    | Not required              |
| 10/24/2025 | Association of Racing Commissioners International | ARCI annual membership dues  | \$18,700.00  | 1/1/2026               | 12/31/2026           | Incident / Small Purchase   | 10/24/2025                  | Not required                    | Not required              |
| 10/24/2025 | Association of Racing Commissioners International | ARCI licensing system software   | \$23,214.63  | 1/1/2026               | 12/31/2026           | Incident / Small Purchase   | 10/24/2025                  | Not required                    | Not required              |

| Date       | Vendor                                   | Short Description                             | Amount                     | Anticipated Start Date | Anticipated End Date | Procurement Method          | CEO/President Approval Date | Finance Committee Approval Date | KHRGC Board Approval Date    |
|------------|--|---|----------------------------|------------------------|----------------------|-----------------------------|-----------------------------|---------------------------------|------------------------------|
| 11/14/2025 | Gary Yeomans Frankfort Ford              | Licensing Division purchase of Hybrid Vehicle | \$28,480.75                | Upon approval          | N/A                  | Cooperative Purchasing      | 11/14/2025                  | 11/25/2025                      | 11/25/2025                   |
| 11/21/2025 | Trucordia (f/n/a Maverick Insurance      | Insurance                                     | \$130,091.41               | 12/23/2025             | 12/23/2026           | Non-Competitive Negotiation | 11/21/2025                  | 11/25/2025                      | 11/25/2025                   |
| 11/26/2025 | Frost Brown Todd                         | Litigation Service Representation             | \$395/hour up to \$100,000 | 11/26/2025             | End of lawsuit       | Emergency Procurement       | 11/26/2025                  | Ratified on 12/15/2025          | Ratified on 12/16/2025       |
| 12/12/2025 | Frost Brown Todd                         | Litigation Services RFP 2025-001              | \$395/hour up to \$400,000 | 1/1/2026               | 12/31/2026           | Competitive Negotiation     | 12/11/2025                  | 12/15/2025                      | 12/16/2025                   |
| 12/12/2025 | Sturgill, Turner, Barker & Moloney, PLLC | Litigation Services RFP 2025-001              | \$325/hour up to \$400,000 | 1/1/2026               | 12/31/2026           | Competitive Negotiation     | 12/11/2025                  | 12/15/2025                      | 12/16/2025                   |
| 12/12/2025 | Embry Merritt Womack Nance, PLLC         | Litigation Services RFP 2025-001              | \$300/hour up to \$400,000 | 1/1/2026               | 12/31/2026           | Competitive Negotiation     | 12/11/2025                  | 12/15/2025                      | 12/16/2025                   |
| 12/12/2025 | Dentons Bingham Greenebaum, LLP          | Litigation Services RFP 2025-001              | \$400/hour up to \$400,000 | 1/1/2026               | 12/31/2026           | Competitive Negotiation     | 12/11/2025                  | 12/15/2025                      | 12/16/2025                   |
| 12/12/2025 | Frost Brown Todd                         | General Legal Services RFP 2025-002           | \$495/hour up to \$200,000 | 1/1/2026               | 12/31/2026           | Competitive Negotiation     | 12/11/2025                  | 12/15/2025                      | 12/16/2025                   |
| 12/12/2025 | Sturgill, Turner, Barker & Moloney, PLLC | General Legal Services RFP 2025-002           | \$325/hour up to \$200,000 | 1/1/2026               | 12/31/2026           | Competitive Negotiation     | 12/11/2025                  | 12/15/2025                      | 12/16/2025                   |
| 12/12/2025 | Embry Merritt Womack Nance, PLLC         | General Legal Services RFP 2025-002           | \$300/hour up to \$200,000 | 1/1/2026               | 12/31/2026           | Competitive Negotiation     | 12/11/2025                  | 12/15/2025                      | 12/16/2025                   |
| 12/12/2025 | Dentons Bingham Greenebaum, LLP          | General Legal Services RFP 2025-002           | \$450/hour up to \$200,000 | 1/1/2026               | 12/31/2026           | Competitive Negotiation     | 12/11/2025                  | 12/15/2025                      | 12/16/2025                   |
| 12/29/2025 | TEKSystems                               | IT Project Manager-Senior                     | \$90/hour up to \$150,000  | 1/12/2026              | 6/30/2026            | Emergency Procurement       | 12/30/2025                  | 1/6/2026                        | Ratification to be requested |
| 1/8/2026   | Trucordia                                | Excess Directors & Officers Insurancy Policy  | \$35,000.00                | 1/9/2026               | 7/1/2026             | Incident / Small Purchase   | 1/9/2026                    | Not required                    | Not required                 |

## THOROUGHBRED RULINGS

2025 (through December 31, 2025)

|   | <u>Total</u> | <u>Fines</u> | <u>Suspensions</u> | <u>Disqualifications</u> | <u>Appeals</u> |   |
|---|--------------|--------------|--------------------|--------------------------|----------------|---|
| <u>Jockey Violations</u>                |              |              |                    |                          |                |   |
| Careless Riding                         | 20           | 0            | 20                 | 17                       | 0              | Routine riding offense  |
| Overly Aggressive Riding                | 0            | 0            | 0                  | 0                        | 0              |   |
| Other Disciplinary Violations           | 5            | 2            | 3                  | 0                        | 0              | Display of temper, eased up without adequate cause and failed to obtain best placing, use of offensive and profane language, made contact with another rider near the finish  |
| Subtotal                                | 25           | \$1,000      |                    |                          |                |   |
| <u>Other Licensee Violations</u>        |              |              |                    |                          |                |   |
| Misc. violations by licensees           | 18           | 18           | 0                  | 0                        | 0              | Violated scratch regulation, failure to be present in paddock, violated claiming regulation, failure to report correct gender, participated prior to obtaining license, entered ineligible horse  |
| Disorderly conduct and formal ejections | 22           | 1            | 22                 | 0                        | 0              | Conduct against the best interest of horse racing, falsification of license application, refused drug test, pending criminal charges, failed breathalyzer, used alias to obtain license while ineligible, formal ejection, failure to appear, entered restricted area, current suspension in another jurisdiction, failure to provide proper care to horses, possession of contraband |
| Suspended for non payment of fines      | 1            | 1            | 0                  | 0                        | 0              |   |
| Subtotal                                | 41           | \$12,250     |                    |                          |                |   |
| Other Rulings Issued                    | 16           | 0            | 0                  | 0                        | 0              | The remaining rulings that have been issued in 2025 are for information only and includes purse redistribution, license rescinded, licensee restored to good standing, extension of apprentice jockey weight allowance, license type change, voluntary withdrawal of license application, protest denied  |
| TOTAL 2025 RULINGS                      | 82           | \$13,250     |                    |                          |                |   |
| TOTAL 2025 HISA RULINGS                 | 127          | \$95,687.02  |                    |                          |                | A total of 127 HISA rulings have been issued in 2025 by the Kentucky stewards. 100 were issued due to overuse of the crop, 3 due to improper use of crop, 3 for withdrawal of appeal, 19 HISA Agreed Resolutions, 1 purse redistribution and 1 voided claim   |

## 2025 KHRG THOROUGHBRED RULINGS

| RULING NUMBER | RULING DATE | INFRACTION DATE | ISSUED TO  | DETERMINATION   | DRUG CLASS | PENALTY OR ACTION   | FINE | STATUS                                |  |
|---------------|-------------|-----------------|--|---|------------|---|------|---------------------------------------|--|
| 25-0001       | 1/2/2025    | 12/30/2024      | Juan Munoz Cano  | Alleged conduct against the best interest of horse racing                                       |            | Suspended pending appearance before Stewards  |      | Current suspension                    |  |
| 25-0002       | 1/2/2025    | 12/27/2024      | Santo Sanjur   | careless riding   |            | Suspended 3 days January 9 through January 11, 2025 (inclusive)   |      | Suspension served                     |  |
| 25-0003       | 1/15/2025   | 1/1/2025        | Owners Henry W. and Devin Dewald and Trainer John F. Hill, Jr. | SPUNS SON raced at Turfway Park on January 1, 2025 while ineligible to race in Kentucky         |            | SPUNS SON disqualified and purse redistributed. All purse monies must be returned to the association.         |      | APPEALED                              |  |
| 25-0004       | 1/5/2025    | 1/1/2025        | Owners Shelly and Dale Buskill and Trainer Bonnie Pittman      | LEFT POCKET MONEY raced at Turfway Park on January 1, 2025 while ineligible to race in Kentucky |            | LEFT POCKET MONEY disqualified and purse redistributed. All purse monies must be returned to the association. |      | DQ and purse redistribution completed |  |
| 25-0005       | 1/16/2025   | 1/4/2025        | Irving Moncada   | careless riding   |            | Suspended 3 days January 29 through January 31, 2025 (inclusive)  |      | Suspension served                     |  |
| 25-0006       | 1/17/2025   | 1/15/2025       | Luan Machado   | careless riding   |            | Suspended 3 days January 29 through January 31, 2025 (inclusive)  |      | Suspension served                     |  |
| 25-0007       | 1/22/2025   | 1/17/2025       | Thiago Canuto  | careless riding   |            | Suspended 3 days January 26, January 29 and January 30, 2025  |      | Suspension served                     |  |

## 2025 KHRG THOROUGHBRED RULINGS

| RULING<br>NUMBER | RULING<br>DATE | INFRACTION<br>DATE | ISSUED TO          | DETERMINATION  | DRUG<br>CLASS | PENALTY OR ACTION  | FINE   | STATUS            |  |
|------------------|----------------|--------------------|--------------------|--|---------------|--|--------|-------------------|--|
| 25-0008          | 1/22/2025      | 1/16/2025          | Walter Rodriguez   | careless riding  |               | Suspended 3 days<br>January 30 through<br>February 1, 2025 (inclusive) |        | Suspension served |  |
| 25-0009          | 1/22/2025      | 1/17/2025          | Adrian Roman       | Failed breathalyzer while<br>performing his duties as a<br>pony rider              |               | Suspended 7 days<br>January 21 through January<br>27, 2025 (inclusive) |        | Suspension served |  |
| 25-0010          | 1/25/2025      | 1/23/2025          | Jann Hernandez     | careless riding  |               | Suspended 3 days<br>February 5 through<br>February 7, 2025 (inclusive) |        | Suspension served |  |
| 25-0011          | 1/26/2025      | n/a                | Joseph C. Bealmear | Entitled to 79 day<br>extension of apprentice<br>weight allowance due to<br>injury |               | Extended apprentice<br>weight allowance through<br>April 28, 2025      |        | Extension granted |  |
| 25-0012          | 1/31/2025      | 1/21/2025          | Eduardo E. Rojas   | Not eligible for<br>Owner/Trainer license<br>that was issued in error              |               | Rescinded Owner/Trainer<br>license issue to Rojas                      |        | Unlicensed        |  |
| 25-0013          | 2/7/2025       | 2/5/2025           | Albin Jimenez      | Display of temper  |               | Fined  | \$ 500 | Paid              |  |
| 25-0014          | 2/12/2025      | 2/8/2025           | Gonzalo Gonzalez   | Disorderly conduct   |               | Fined  | \$ 200 | Paid              |  |
| 25-0015          | 2/28/2025      | 2/26/2025          | Randy Matthews     | Violated scratch<br>regulation   |               | Fined  | \$ 500 | Paid              |  |
| 25-0016          | 2/28/2025      | 2/27/2025          | Sylvain Pion       | Violated scratch<br>regulation   |               | Fined  | \$ 500 | Paid              |  |
| 25-0017          | 2/28/2025      | 2/28/2025          | Ben Colebrook      | Failed to conduct<br>business in a proper<br>manner                                |               | Fined  | \$ 250 | Paid              |  |

## 2025 KHRG THOROUGHBRED RULINGS

| RULING<br>NUMBER | RULING<br>DATE | INFRACTION<br>DATE | ISSUED TO                       | DETERMINATION   | DRUG<br>CLASS | PENALTY OR ACTION  | FINE   | STATUS             |  |
|------------------|----------------|--------------------|---------------------------------|---|---------------|--|--------|--------------------|--|
| 25-0018          | 3/5/2025       | 2/27/2025          | Angel Serpa                     | careless riding   |               | Suspended 3 days<br>March 7, March 8 and<br>March 12, 2025         |        | Suspension served  |  |
| 25-0019          | 3/13/2025      | 3/12/2025          | Jake S. Radosevich              | Violated scratch<br>regulation  |               | Fined  | \$ 500 | Paid               |  |
| 25-0020          | 3/15/2025      | 3/13/2025          | Vincent Cheminaud               | careless riding   |               | Suspended 3 days<br>March 19 through March<br>21, 2025 (inclusive) |        | Suspension served  |  |
| 25-0021          | 3/21/2025      | 6/17/2024          | Nick N. Bush                    | Appeared before Board<br>of Stewards                                  |               | Restored to good standing  |        | Granted license    |  |
| 25-0022          | 4/3/2025       | 4/3/2025           | Juan C. Vazquez                 | Not eligible for<br>Owner/Trainer license<br>that was issued in error |               | Rescinded Owner/Trainer<br>license issue to Vazquez                |        | Unlicensed         |  |
| 25-0023          | 4/8/2025       | 4/6/2025           | Axel Concepcion                 | careless riding   |               | Suspended 3 days<br>April 16 through April 18,<br>2025 (inclusive) |        | Suspension served  |  |
| 25-0024          | 4/8/2025       | 4/5/2025           | Jose Jesus Esquivel             | License application<br>falsification and formal<br>ejection           |               | Suspended pending<br>appearance before<br>Stewards                 |        | Current suspension |  |
| 25-0025          | 4/8/2025       | 3/25/2025          | (unlicensed)<br>Ismael Esquivel | Refused ordered drug<br>test and formal ejection                      |               | Suspended pending<br>appearance before<br>Stewards                 |        | Current suspension |  |
| 25-0026          | 4/8/2025       | 3/12/2025          | Marvin Gomez                    | License application<br>falsification and formal<br>ejection           |               | Suspended pending<br>appearance before<br>Stewards                 |        | Current suspension |  |
| 25-0027          | 4/8/2025       | 4/1/2025           | Bryan Alvarez                   | Disorderly conduct and<br>formal ejection                             |               | Suspended pending<br>appearance before<br>Stewards                 |        | Current suspension |  |

## 2025 KHRG THOROUGHBRED RULINGS

| RULING NUMBER | RULING DATE | INFRACTION DATE | ISSUED TO                                       | DETERMINATION  | DRUG CLASS | PENALTY OR ACTION   | FINE   | STATUS             |  |
|---------------|-------------|-----------------|---|--|------------|---|--------|--------------------|--|
| 25-0028       | 4/13/2025   | 4/11/2025       | Carlos Barbosa                                  | careless riding  |            | Suspended 5 days<br>May 2, May 3, May 8, May 9 and May 10, 2025 |        | Suspension served  |  |
| 25-0029       | 4/13/2025   | 4/11/2025       | Byron G. Hughes                                 | Violated scratch regulation  |            | Fined   | \$ 500 | Paid               |  |
| 25-0030       | 4/16/2025   | 11/6/2024       | Rony Ozmin Giron (aka Ronne Martines)           | Used an alias to obtain a license while ineligible due to formal ejection            |            | Suspended pending appearance before Stewards                    |        | Current suspension |  |
| 25-0031       | 4/16/2025   | n/a             | Isalas Ayala                                    | Rescinded Jockey license without prejudice   |            | Obtained Owner license  |        | Currently licensed |  |
| 25-0032       | 4/17/2025   | 3/24/2025       | Shane T. Meyers                                 | Violated claiming regulation   |            | Fined   | \$ 500 | Paid               |  |
| 25-0033       | 4/17/2025   | n/a             | Brooke Bays                                     | Entitled to 109 day extension of apprentice weight allowance due to injury           |            | Extended apprentice weight allowance through October 4, 2025    |        | Extension granted  |  |
| 25-0034       | 4/17/2025   | n/a             | Joseph C. Bealmear                              | Entitled to additional 30 day extension of apprentice weight allowance due to injury |            | Extended apprentice weight allowance through May 28, 2025       |        | Extension granted  |  |
| 25-0035       | 5/15/2025   | 5/8/2025        | Vincent Cheminaud                               | careless riding  |            | Suspended 3 days<br>May 22 through May 24, 2025 (inclusive)     |        | Suspension served  |  |
| 25-0036       | 5/17/2025   | 5/15/2025       | Jesus Reyes (aka Jesus Eduardo Reyes Rodriguez) | Arrest on May 15, 2025 and pending criminal charges                                  |            | Suspended pending appearance before Stewards                    |        | Current suspension |  |
| 25-0037       | 5/24/2025   | 5/23/2025       | John Velazquez                                  | careless riding  |            | Suspended 3 days<br>May 25, May 26 and May 29, 2025             |        | Suspension served  |  |

## 2025 KHRG THOROUGHBRED RULINGS

| RULING<br>NUMBER | RULING<br>DATE | INFRACTION<br>DATE | ISSUED TO           | DETERMINATION   | DRUG<br>CLASS | PENALTY OR ACTION  | FINE     | STATUS                    |  |
|------------------|----------------|--------------------|---------------------|---|---------------|--|----------|---------------------------|--|
| 25-0038          | 5/24/2025      | 5/17/2025          | Axel Concepcion     | careless riding   |               | Suspended 3 days<br>June 11 through June 13,<br>2025 (inclusive) |          | Suspension served         |  |
| 25-0039          | 5/24/2025      | 5/22/2025          | Gabriel Saez        | Error in judgment. Eased<br>up approaching finish and<br>failed to obtain best<br>placing |               | Suspended 3 days<br>June 11 through June 13,<br>2025 (inclusive) |          | Suspension served         |  |
| 25-0040          | 5/31/2025      | 5/18/2025          | Holly Ramsey        | Violated claiming<br>regulation   |               | Fined  | \$ 2,000 | Paid                      |  |
| 25-0041          | 5/31/2025      | 5/18/2025          | Carlos Santamaria   | Violated claiming<br>regulation   |               | Fined  | \$ 2,000 | Paid                      |  |
| 25-0042          | 6/1/2025       | 5/30/2025          | Keith Asmussen      | careless riding   |               | Suspended 3 days<br>June 12 through June 14,<br>2025 (inclusive) |          | Suspension served         |  |
| 25-0043          | 6/25/2025      | 6/19/2025          | Carlos A. David     | Violated scratch<br>regulation  |               | Fined  | \$ 500   | Paid                      |  |
| 25-0044          | 6/29/2025      | 6/21/2025          | Cristian A. Torres  | careless riding   |               | Suspended 3 days<br>July 20, July 21 and July 26,<br>2025        |          | Suspension served         |  |
| 25-0045          | 6/29/2025      | 6/27/2025          | David Jacobson      | Violated scratch<br>regulation  |               | Fined  | \$ 500   | Paid                      |  |
| 25-0046          | 7/6/2025       | 7/5/2025           | William Walden      | Violated scratch<br>regulation  |               | Fined  | \$ 500   | Paid                      |  |
| 25-0047          | 7/26/2025      | 2/28/2025          | Sylvain Pion        | Failure to pay fine   |               | License suspension   |          | Suspended                 |  |
| 25-0048          | 7/28/2025      | 7/26/2025          | Sylvain Pion        | Fine payment received   |               | Suspension lifted  |          | Restored to good standing |  |
| 25-0049          | 7/28/2025      |                    | Francesa de la Flor | Appeared before License<br>Review Committee of<br>KHRG on 6/17/2025                       |               | Voluntarily withdrew<br>license application                      |          | Unlicensed                |  |



## 2025 KHRG THOROUGHBRED RULINGS

| RULING NUMBER | RULING DATE | INFRACTION DATE | ISSUED TO         | DETERMINATION   | DRUG CLASS | PENALTY OR ACTION                            | FINE   | STATUS                             |  |
|---------------|-------------|-----------------|-------------------|---|------------|--|--------|------------------------------------|--|
| 25-0050       | 7/28/2025   |                 | Maria Borell      | Appeared before License Review Committee of KHRG on 6/17/2025   |            | Voluntarily withdrew license application     |        | Unlicensed                         |  |
| 25-0051       | 8/4/2025    | 8/4/2025        | Coty W. Rosin     | Violated scratch regulation   |            | Fined  | \$ 500 | Paid                               |  |
| 25-0052       | 8/10/2025   | n/a             | Kylie Wellington  | Rescinded Jockey license without prejudice  |            | Obtained Asst. Trainer license               |        | Currently licensed                 |  |
| 25-0053       | 8/16/2025   | n/a             | Paulo Campos      | Failure to provide proper care for horses in his charge   |            | Suspended pending appearance before Stewards |        | Current suspension                 |  |
| 25-0054       | 8/22/2025   | 8/22/2025       | Patricio Morales  | Disorderly conduct and formal ejection from Ellis Park  |            | Suspended                                    |        | Current suspension                 |  |
| 25-0055       | 8/22/2025   | 6/4/2025        | Yaneli Hernandez  | Applied for and received a license using false identification while underage and formal ejection from Turfway Park      |            | License Revoked                              |        | Unlicensed and placed on STOP list |  |
| 25-0056       | 8/22/2025   | 6/4/2025        | Yordin Sanchez    | Applied for and received a license using false identification. Disorderly conduct and formal ejection from Turfway Park |            | License Revoked                              |        | Unlicensed and placed on STOP list |  |
| 25-0057       | 8/22/2025   | 7/11/2025       | Alingson Cardenas | Falsification of license application and formal ejection from Keeneland   |            | License Revoked                              |        | Unlicensed and placed on STOP list |  |

## 2025 KHRG THOROUGHBRED RULINGS

| RULING<br>NUMBER | RULING<br>DATE | INFRACTION<br>DATE | ISSUED TO         | DETERMINATION   | DRUG<br>CLASS | PENALTY OR ACTION | FINE   | STATUS   |  |
|------------------|----------------|--------------------|-------------------|---|---------------|-------------------|--------|--|--|
| 25-0058          | 8/28/2025      | 4/13/2025          | Rafael A. Vega    | Falsification of license application (failed to reveal current suspension in another jurisdiction and numerous criminal charges         |               | License Revoked   |        | Unlicensed and placed on STOP list                       |  |
| 25-0059          | 8/28/2025      | 8/27/2025          | Roberto Contreras | Disorderly conduct and formal ejection from Ellis Park  |               | Suspended         |        | Current suspension                                       |  |
| 25-0060          | 9/18/2025      | n/a                | Ron Moquett       | Lodged written protest of the claim of PENROD at Churchill Downs on September 12, 2025  |               | Protest denied    |        | Claim was not in violation of 810 KAR 4:050 and is valid |  |
| 25-0061          | 9/18/2025      | 9/12/2025          | Jose E. Orantes   | Disorderly conduct - entered a restricted area  |               | Fined             | \$ 500 | Paid   |  |
| 25-0062          | 9/18/2025      | 9/13/2025          | Silvio Valdez     | Failure to appear before Board of Stewards after failing a breathalyzer test on September 13, 2025 in addition to active arrest warrant |               | Suspended         |        | Current suspension and placed on STOP list               |  |
| 25-0063          | 9/19/2025      | 9/19/2025          | Fergus Bogle      | Violated scratch regulation   |               | Fined             | \$ 500 | Paid   |  |
| 25-0064          | 9/19/2025      | 9/17/2025          | Declan Cannon     | Disorderly conduct - offensive and profane language to racing officials   |               | Fined             | \$ 500 | Paid   |  |
| 25-0065          | 9/19/2025      | n/a                | Steven M. Rushing | Participated as Jockey Agent prior to obtaining a 2025 license  |               | Fined             | \$ 500 | Paid   |  |

## 2025 KHRG THOROUGHBRED RULINGS

| RULING NUMBER | RULING DATE | INFRACTION DATE | ISSUED TO            | DETERMINATION  | DRUG CLASS | PENALTY OR ACTION   | FINE   | STATUS   |  |
|---------------|-------------|-----------------|----------------------|--|------------|---|--------|--|--|
| 25-0066       | 9/19/2025   | n/a             | Kieran P. McLaughlin | Participated as Jockey Agent prior to obtaining a 2025 license                           |            | Fined   | \$ 500 | Paid   |  |
| 25-0067       | 9/21/2025   | 9/16/2025       | Berly Agullar        | Pending criminal charges and formal ejection from Ellis Park                             |            | Suspended pending appearance before Stewards  |        | Current suspenslon                                       |  |
| 25-0068       | 9/22/2025   | 9/20/2025       | Joseph C. Bealmear   | Error in judgment. Eased up approaching finish and failed to obtain best placing         |            | Suspended 3 days<br>October 3 through October 5, 2025 (inclusive)   |        | Suspension served  |  |
| 25-0069       | 10/2/2025   | 9/28/2025       | Axel Concepcion      | careless riding  |            | Suspended 4 days<br>October 3, October 4, October 8 and October 9, 2025                                   |        | Suspension served  |  |
| 25-0070       | 10/5/2025   | 10/3/2025       | Luan Machado         | careless riding  |            | Suspended 3 days<br>October 12, October 15 and October 16, 2025   |        | Suspension served  |  |
| 25-0071       | 10/10/2025  | n/a             | Linda Rice           | Lodged written protest of the claim of MERCILESANIHLATOR at Keeneland on October 9, 2025 |            | Protest denied  |        | Claim was not in violation of 810 KAR 4:050 and is valid |  |
| 25-0072       | 10/10/2025  | 10/9/2025       | Larry Rivelli        | Failure to report correct gender   |            | Fined   | \$ 500 | Paid   |  |
| 25-0033-R     | 10/16/2025  | n/a             | Brooke Bays          | Entitled to 109 day extension of apprentice weight allowance due to injury               |            | REVISED to extend apprentice weight allowance through October 4, 2026 or 40th win, whichever occurs first |        | REVISED extension granted                                |  |
| 25-0073       | 10/22/2025  | 10/18/2025      | Víctor Espinoza      | careless riding  |            | Suspended 3 days<br>October 26, October 29 and October 30, 2025   |        | Suspension served  |  |

## 2025 KHRG THOROUGHBRED RULINGS

| RULING NUMBER | RULING DATE | INFRACTION DATE | ISSUED TO                               | DETERMINATION   | DRUG CLASS | PENALTY OR ACTION   | FINE   | STATUS                             |  |
|---------------|-------------|-----------------|---|---|------------|---|--------|------------------------------------|--|
| 25-0074       | 10/31/2025  | 10/4/2025       | Jomar Ortega (aka Jomar Ortega-Serrano) | Falsification of license application (failed to reveal license revocation and formal ejection in another jurisdiction and criminal history) |            | License Revoked   |        | Unlicensed and placed on STOP list |  |
| 25-0075       | 11/6/2025   | 10/30/2025      | Tyler Gaffalione                        | careless riding   |            | Suspended 3 days<br>November 16, November 19 and November 20, 2025    |        | Suspension served                  |  |
| 25-0076       | 11/8/2025   | 11/7/2025       | Fausto Gutierrez                        | Violated scratch regulation   |            | Fined   | \$ 500 | Paid                               |  |
| 25-0077       | 11/9/2025   | 11/8/2025       | Alexander Bendezu                       | careless riding   |            | Suspended 3 days<br>November 20 through November 22, 2025 (inclusive) |        | Suspension served                  |  |
| 25-0078       | 11/9/2025   | 4/5/2025        | Jose Jesus Esquivel                     | Appeared before stewards with proof of disposition of criminal charges and formal ejection lifted   |            | Suspension lifted   |        | Restored to good standing          |  |
| 25-0079       | 11/16/2025  | 11/8/2025       | Manuel Chavez                           | Knowingly entered ineligible horse at on November 8, 2025   |            | Fined   | \$ 500 | Paid                               |  |
| 25-0080       | 12/5/2025   | 12/3/2025       | Alberto Burgos                          | careless riding   |            | Suspended 3 days<br>December 17 through December 19, 2025 (inclusive) |        | Suspension served                  |  |

## 2025 KHRG THOROUGHBRED RULINGS

| RULING<br>NUMBER | RULING<br>DATE | INFRACTION<br>DATE | ISSUED TO    | DETERMINATION   | DRUG<br>CLASS | PENALTY OR ACTION   | FINE | STATUS             |  |
|------------------|----------------|--------------------|--------------|---|---------------|---|------|--------------------|--|
| 25-0081          | 12/27/2025     | 4/15/2025          | Paul McEntee | Possession of contraband at a location under the jurisdiction of KHRG |               | Suspended 30 days. 15 days to be served 1-5 through 1-19-25. Remaining 15 stayed on the condition that no similar violation or no Class A or Class B medication violation occurs within 365 days of the ruling. |      | Current suspension |  |
| 25-0082          | 12/27/2025     | 12/12/2025         | John Boswell | Pending criminal charges and formal ejection from Turfway Park        |               | Suspended pending appearance before Stewards  |      | Current suspension |  |

## 2025 KENTUCKY HISA RULINGS

| RULING NUMBER | RULING DATE | INFRACTION DATE | ISSUED TO          | DETERMINATION  | DRUG CLASS | PENALTY OR ACTION   | FINE     | STATUS                                |  |
|---------------|-------------|-----------------|--------------------|--|------------|---|----------|---------------------------------------|--|
| H-25-001      | 1/4/2025    | 1/1/2025        | Abel Cedillo       | HISA crop violation  |            | Fined and suspended 1 day January 9, 2025   | \$ 250   | Suspension served                     |  |
| H-25-002      | 1/9/2025    | 1/1/2025        | Oscar Villarreal   | HISA crop violation (2nd offense)  |            | Fined and suspended 2 days January 18 and January 22, 2025  | \$ 500   | Suspension served                     |  |
| H-25-003      | 1/9/2025    | 1/1/2025        | German Terraza     | HISA crop violation  |            | Fined and suspended 1 day January 15, 2025  | \$ 250   | Suspension served                     |  |
| H-25-004      | 1/9/2025    | 1/2/2025        | Fernano De La Cruz | HISA crop violation  |            | Fined and suspended 1 day January 15, 2025  | \$ 250   | Suspension served                     |  |
| H-25-005      | 1/17/2025   | 1/15/2025       | Sophie Doyle       | HISA crop violation (4th offense)  |            | Fined and suspended 4 days January 29 through February 1, 2025 (inclusive)                                | \$ 1,000 | Suspension served                     |  |
| H-25-006      | 1/17/2025   | 1/15/2025       | Corey J. Lanerie   | HISA crop violation  |            | Fined and suspended 1 day January 18, 2025  | \$ 500   | Suspension served                     |  |
| H-25-007      | 1/17/2025   | 1/16/2025       | Andres Calleja     | HISA crop violation  |            | Fined and suspended 1 day January 25, 2025  | \$ 250   | Suspension served                     |  |
| H-25-008      | 1/25/2025   | 1/23/2025       | Rolando Aragon     | HISA crop violation  |            | Fined and suspended 1 day February 1, 2025  | \$ 250   | Suspension served                     |  |
| H-25-009      | 1/25/2025   | 1/24/2025       | Jann Hernandez     | HISA crop violation (Class 2)  |            | Fined and suspended 3 days February 8, February 12 and February 13, 2025 and mount disqualified           | \$ 500   | Suspension served                     |  |
| H-25-010      | 1/25/2025   | 1/24/2025       | Sophie Doyle       | HISA crop violation (5th offense)  |            | Fined and suspended 5 days February 5 through February 8, 2025 (inclusive) and February 12, 2025          | \$ 1,250 | Suspension served                     |  |
| H-25-011      | 1/26/2025   | 1/24/2025       | Owner Tito Moreno  | Jockey Jann Hernandez committed Class 2 HISA crop violation while aboard HOMETOWN HERO at Turfway Park on January 24, 2025 |            | HOMETOWN HERO disqualified and purse redistributed. All purse monies must be returned to the association. |          | DQ and purse redistribution completed |  |

## 2025 KENTUCKY HISA RULINGS

| RULING NUMBER | RULING DATE | INFRACTION DATE | ISSUED TO         | DETERMINATION                               | DRUG CLASS | PENALTY OR ACTION   | FINE                   | STATUS            |  |
|---------------|-------------|-----------------|-------------------|---|------------|---|------------------------|-------------------|--|
| H-25-012      | 1/29/2025   | 1/25/2025       | Adam Beschizza    | HISA crop violation                         |            | Fined and suspended 1 day<br>February 5, 2025                           | \$ 250                 | Suspension served |  |
| H-25-013      | 1/30/2025   | 1/26/2025       | Santo Sanjur      | HISA crop violation                         |            | Fined and suspended 1 day<br>February 12, 2025                          | \$ 250                 | Suspension served |  |
| H-25-014      | 2/7/2025    | 2/5/2025        | Santo Sanjur      | HISA crop violation (2nd offense)           |            | Fined and suspended 2 days<br>February 19 and February 20, 2025         | \$ 500                 | Suspension served |  |
| H-25-015      | 2/13/2025   | 2/7/2025        | Angel Serpa       | HISA crop violation (3rd offense)           |            | Fined and suspended 3 days<br>February 28, March 1, and March 5, 2025   | \$ 750                 | Suspension served |  |
| H-25-016      | 2/15/2025   | 2/14/2025       | Perry W. Ouzts    | HISA crop violation                         |            | Fined and suspended 1 day<br>February 21, 2025                          | \$ 250                 | Suspension served |  |
| H-25-017      | 2/15/2025   | 2/14/2025       | Gavin Ashton      | HISA crop violation                         |            | Fined and suspended 1 day<br>February 21, 2025                          | \$ 500                 | Suspension served |  |
| H-25-018      | 2/22/2025   | 2/21/2025       | Oscar Villarreal  | HISA crop violation (3 consecutive strikes) |            | Fined   | \$ 200                 | Paid              |  |
| H-25-019      | 2/23/2025   | 2/14/2025       | Christopher Emigh | HISA crop violation (2nd offense)           |            | Fined and suspended 2 days<br>February 26 and February 27, 2025         | \$ 1,000               | Suspension served |  |
| H-25-020      | 2/26/2025   | 2/22/2025       | Xavier Perez      | HISA crop violation (4th offense)           |            | Fined and suspended 4 days<br>March 5 through March 8, 2025 (Inclusive) | \$2,000 (Under Appeal) | APPEALED          |  |
| H-25-021      | 2/27/2025   | 2/23/2025       | Jann Hernandez    | HISA crop violation (2nd offense)           |            | Fined and suspended 2 days<br>March 7 and March 8, 2025                 | \$ 500                 | Suspension served |  |
| H-25-022      | 2/28/2025   | 2/26/2025       | Oscar Villarreal  | HISA crop violation (3rd offense)           |            | Fined and suspended 3 days , March 8, March 12 and March 13, 2025       | \$ 750                 | Suspension served |  |

## 2025 KENTUCKY HISA RULINGS

| RULING NUMBER | RULING DATE | INFRACTION DATE | ISSUED TO           | DETERMINATION                                   | DRUG CLASS | PENALTY OR ACTION   | FINE                   | STATUS            |  |
|---------------|-------------|-----------------|---------------------|---|------------|---|------------------------|-------------------|--|
| H-25-023      | 3/1/2025    | 2/26/2025       | Fernando De La Cruz | HISA crop violation (2nd offense)               |            | Fined and suspended 2 days March 19 and March 20, 2025                                      | \$ 1,000               | Suspension served |  |
| H-25-024      | 3/1/2025    | 2/28/2025       | Constantino Roman   | HISA crop violation (struck horse with goggles) |            | Fined   | \$ 500                 | Paid              |  |
| H-25-025      | 3/6/2025    | 3/1/2025        | Laureano Sosa       | HISA crop violation (2nd offense)               |            | Fined and suspended 2 days March 14 and March 15, 2025                                      | \$ 500                 | Suspension served |  |
| H-25-026      | 3/7/2025    | 2/27/2025       | Irving Moncada      | HISA crop violation                             |            | Fined and suspended 1 day March 14, 2025  | \$ 250                 | Suspension served |  |
| H-25-027      | 3/8/2025    | 3/6/2025        | Sophie Doyle        | HISA crop violation (6th offense)               |            | Fined and suspended 6 days March 26 through March 29 (inclusive), April 4 and April 5, 2025 | \$1,500 (Under Appeal) | APPEALED          |  |
| H-25-028      | 3/8/2025    | 3/7/2025        | Vincent Cheminaud   | HISA crop violation                             |            | Fined and suspended 1 day March 15, 2025  | \$ 250                 | Suspension served |  |
| H-25-029      | 3/13/2025   | 3/7/2025        | Andres Calleja      | HISA crop violation (2nd offense)               |            | Fined and suspended 2 days March 20 and March 21, 2025                                      | \$ 1,000               | Suspension served |  |
| H-25-030      | 3/15/2025   | 3/14/2025       | Perry W. Outs       | HISA crop violation (2nd offense)               |            | Fined and suspended 2 days March 26 and March 27, 2025                                      | \$ 500                 | Suspension served |  |
| H-25-031      | 3/19/2025   | 3/12/2025       | Constantino Roman   | HISA crop violation (3rd offense)               |            | Fined and suspended 3 days , March 21, March 22 and March 26, 2025                          | \$ 1,500               | Suspension served |  |



## 2025 KENTUCKY HISA RULINGS

| RULING NUMBER | RULING DATE | INFRACTION DATE | ISSUED TO         | DETERMINATION                     | DRUG CLASS | PENALTY OR ACTION  | FINE                   | STATUS            |  |
|---------------|-------------|-----------------|-------------------|-----------------------------------|------------|--|------------------------|-------------------|--|
| H-25-032      | 3/21/2025   | 3/19/2025       | Jann Hernandez    | HISA crop violation (3rd offense) |            | Fined and suspended 3 days , March 21, March 22 and March 26, 2025                   | \$ 750                 | Suspension served |  |
| H-25-033      | 3/26/2025   | 3/20/2025       | Adam Beschizza    | HISA crop violation (4th offense) |            | Fined and suspended 4 days , April 4 though April 6 (inclusive) and April 9, 2025    | \$ 2,000               | Suspension served |  |
| H-25-034      | 3/26/2025   | 3/20/2025       | Alexander Bendezu | HISA crop violation               |            | Fined and suspended 1 day April 4, 2025  | \$ 250                 | Suspension served |  |
| H-25-035      | 3/28/2025   | 3/19/2025       | Angel Serpa       | HISA crop violation (2nd offense) |            | Fined and suspended 2 days April 11 and April 12, 2025                               | \$500 (Under Appeal)   | APPEALED          |  |
| H-25-036      | 3/28/2025   | 3/21/2025       | Irving Moncada    | HISA crop violation (2nd offense) |            | Fined and suspended 2 days April 5 and April 6, 2025                                 | \$ 500                 | Suspension served |  |
| H-25-037      | 3/28/2025   | 3/27/2025       | Adam Beschizza    | HISA crop violation (4th offense) |            | Fined and suspended 4 days , April 10 though April 13, 2025 (inclusive)              | \$1,000 (Under Appeal) | APPEALED          |  |
| H-25-038      | 3/29/2025   | 3/29/2025       | Walter Rodriguez  | HISA crop violation               |            | Fined and suspended 1 day April 4, 2025  | \$ 500                 | Suspension served |  |
| H-25-039      | 3/29/2025   | 3/29/2025       | Adam Beschizza    | HISA crop violation (5th offense) |            | Fined and suspended 5 days , April 16 though April 20, 2025 (inclusive)              | \$1,250 (Under Appeal) | APPEALED          |  |
| H-25-040      | 4/8/2025    | 4/6/2025        | Colby Hernandez   | HISA crop violation               |            | Fined and suspended 1 day April 16, 2025   | \$ 500                 | Suspension served |  |
| H-25-041      | 4/12/2025   | 4/10/2025       | Sophie Doyle      | HISA crop violation (5th offense) |            | Fined and suspended 5 days , April 26 April 29, and April 30, May 1, and May 2, 2025 | \$ 1,250               | Suspension served |  |

## 2025 KENTUCKY HISA RULINGS

| RULING NUMBER | RULING DATE | INFRACTION DATE | ISSUED TO          | DETERMINATION                     | DRUG CLASS | PENALTY OR ACTION   | FINE     | STATUS            |  |
|---------------|-------------|-----------------|--------------------|-----------------------------------|------------|---|----------|-------------------|--|
| H-25-042      | 4/12/2025   | 4/11/2025       | Jann Hernandez     | HISA crop violation (1th offense) |            | Fined and suspended 4 days , April 12, April 13, April 16, and April 17, 2025 | \$ 1,000 | Suspension served |  |
| H-25-043      | 4/13/2025   | 4/12/2025       | Arcelio Flaco      | HISA crop violation (2nd offense) |            | Fined and suspended 2 days April 18 and April 19, 2025                        | \$ 1,000 | Suspension served |  |
| H-25-044      | 4/23/2025   | 4/16/2025       | Cristian A. Torres | HISA crop violation (2nd offense) |            | Fined and suspended 2 days April 29 and April 30, 2025                        | \$ 1,000 | Suspension served |  |
| H-25-045      | 4//24/2025  | 4/17/2025       | Angel Serpa        | HISA crop violation (3rd offense) |            | Fined and suspended 3 days , May 17, May 18 and May 22, 2025                  | \$ 1,500 | Suspension served |  |
| H-25-046      | 4/24/2025   | 10/25/2024      | Tyler Gaffalione   | W/D of KY Stewards Ruling #24-100 |            | Fined and suspended 4 days , April 24, April 25, April 26 and April 29, 2025  | \$ 2,000 | Suspension served |  |
| H-25-047      | 4/24/2025   | 10/25/2024      | Tyler Gaffalione   | W/D of KY Stewards Ruling #24-101 |            | Fined and suspended 5 days , April 30, May 1, May 2, May 3 and May 8, 2025    | \$ 2,500 | Suspension served |  |
| H-25-048      | 4/24/2025   | 4/19/2025       | Lanfranco Dettori  | HISA crop violation               |            | Fined and suspended 1 day April 29, 2025                                      | \$ 250   | Suspension served |  |
| H-25-049      | 4/25/2024   | 4/19/2025       | Lanfranco Dettori  | HISA crop violation (2nd offense) |            | Fined and suspended 2 days May 1 and May 2, 2025                              | \$ 1,500 | Suspension served |  |
| H-25-050      | 4/25/2024   | 4/25/2024       | Jose L. Ortiz      | HISA crop violation               |            | Fined and suspended 1 day May 8, 2025   | \$ 500   | Suspension served |  |
| H-25-051      | 4/30/2025   | 4/26/2025       | Nik Juarez         | HISA crop violation               |            | Fined and suspended 1 day May 8, 2025   | \$ 500   | Suspension served |  |
| H-25-052      | 4/30/2025   | 4/29/2025       | Juan J. Hernandez  | HISA crop violation (2nd offense) |            | Fined and suspended 2 days May 8 and May 9, 2025                              | \$ 1,000 | Suspension served |  |

## 2025 KENTUCKY HISA RULINGS

| RULING NUMBER | RULING DATE | INFRACTION DATE | ISSUED TO          | DETERMINATION                     | DRUG CLASS | PENALTY OR ACTION  | FINE                    | STATUS            |  |
|---------------|-------------|-----------------|--------------------|-----------------------------------|------------|--|-------------------------|-------------------|--|
| H-25-053      | 4/30/2025   | 11/16/2024      | Axel Concepcion    | W/D of KY Stewards Ruling #24-107 |            | Fined and suspended 3 days , May 2, May 3 and May 8, 2025              | \$ 1,500                | Suspension served |  |
| H-25-054      | 5/9/2025    | 5/3/2025        | Junior Alvarado    | HISA crop violation (2nd offense) |            | Fined and suspended 2 days May 29 and May 30, 2025                     | \$62,000 (Under Appeal) | APPEALED          |  |
| H-25-055      | 5/15/2025   | 5/8/2025        | Gerardo Corrales   | HISA crop violation (2nd offense) |            | Fined and suspended 2 days May 18 and May 22, 2025                     | \$ 1,000                | Suspension served |  |
| H-25-056      | 5/17/2025   | 5/11/2025       | Jaime A. Torres    | HISA crop violation (2nd offense) |            | Fined and suspended 2 days May 25 and May 26, 2025                     | \$ 1,000                | Suspension served |  |
| H-25-057      | 5/22/2025   | 5/15/2025       | Jaime A. Torres    | HISA crop violation (3rd offense) |            | Fined and suspended 3 days , June 11 through June 13, 2025 (inclusive) | \$1,500 (Under Appeal)  | APPEALED          |  |
| H-25-058      | 5/23/2025   | 5/18/2025       | Edgar Morales      | HISA crop violation               |            | Fined and suspended 1 day May 30, 2025                                 | \$ 500                  | Suspension served |  |
| H-25-059      | 5/26/2025   | 5/24/2025       | Chris Landeros     | HISA crop violation               |            | Fined and suspended 1 day June 1, 2025                                 | \$ 500                  | Suspension served |  |
| H-25-060      | 5/26/2025   | 5/24/2025       | Ben Curtis         | HISA crop violation               |            | Fined and suspended 1 day May 30, 2025                                 | \$ 750                  | Suspension served |  |
| H-25-061      | 5/26/2025   | 5/25/2025       | Jane Elliott       | HISA crop violation               |            | Fined and suspended 1 day June 1, 2025                                 | \$ 500                  | Suspension served |  |
| H-25-062      | 5/26/2025   | 5/25/2025       | Albin Jimenez      | HISA crop violation               |            | Fined and suspended 1 day June 1, 2025                                 | \$ 500                  | Suspension served |  |
| H-25-063      | 5/28/2025   | 5/26/2025       | Joseph C. Bealmear | HISA crop violation               |            | Fined and suspended 1 day June 1, 2025                                 | \$ 250                  | Suspension served |  |

## 2025 KENTUCKY HISA RULINGS

| RULING NUMBER | RULING DATE | INFRACTION DATE | ISSUED TO       | DETERMINATION  | DRUG CLASS | PENALTY OR ACTION  | FINE                   | STATUS            |  |
|---------------|-------------|-----------------|-----------------|--|------------|--|------------------------|-------------------|--|
| H-25-064      | 5/30/2025   | 5/29/2025       | Abel Cedillo    | HISA crop violation (3rd offense)                    |            | Fined and suspended 3 days , June 11 through June 13, 2025 (inclusive)   | \$1,500 (Under Appeal) | APPEALED          |  |
| H-25-065      | 6/4/2025    | 5/31/2025       | Chris Landeros  | HISA crop violation (2nd offense)                    |            | Fined and suspended 2 days June 18 and June 19, 2025                     | \$1,000 (Under Appeal) | APPEALED          |  |
| H-25-066      | 6/6/2025    | 6/1/2025        | James Graham    | HISA crop violation                                  |            | Fined and suspended 1 day June 18, 2025                                  | \$ 500                 | Suspension served |  |
| H-25-067      | 6/8/2025    | 6/5/2025        | Nik Juarez      | HISA crop violation (2nd offense)                    |            | Fined and suspended 2 days June 21 and June 22, 2025                     | \$1,000 (Under Appeal) | APPEALED          |  |
| H-25-068      | 6/8/2025    | 6/5/2025        | Rafael Bejarano | HISA crop violation                                  |            | Fined and suspended 1 day June 15, 2025                                  | \$ 500                 | Suspension served |  |
| H-25-069      | 6/8/2025    | 6/5/2025        | Chris Landeros  | HISA crop violation (3 consecutive strikes)          |            | Fined  | \$ 200                 | Paid              |  |
| H-25-070      | 6/8/2025    | 3/28/2025       | Angel Serpa     | HISA Agreed Resolution of KY Stewards Ruling #25-035 |            | Fined and suspended 1 day June 12, 2025                                  | \$31.25                | Suspension served |  |
| H-25-071      | 6/8/2025    | 2/26/2025       | Xavier Perez    | HISA Agreed Resolution of KY Stewards Ruling #25-020 |            | Fined and suspended 1 day June 12, 2025                                  | \$500.00               | Suspension served |  |
| H-25-072      | 6/8/2025    | 3/8/2025        | Sophie Doyle    | HISA Agreed Resolution of KY Stewards Ruling #25-027 |            | Fined and suspended 2 days June 15 and June 18, 2025                     | \$36.35                | Suspension served |  |
| H-25-073      | 6/11/2025   | 3/28/2025       | Adam Beschizza  | HISA Agreed Resolution of KY Stewards Ruling #25-037 |            | Fined and suspended 2 days June 18 and June 19, 2025                     | \$73.55                | Suspension served |  |
| H-25-074      | 6/11/2025   | 6/6/2025        | Antioco Murgia  | HISA crop violation (4th offense)                    |            | Fined and suspended 4 days, June 21, June 22, June 25, and June 26, 2025 | \$2,000 (Under Appeal) | APPEALED          |  |

## 2025 KENTUCKY HISA RULINGS

| RULING NUMBER | RULING DATE | INFRACTION DATE | ISSUED TO           | DETERMINATION  | DRUG CLASS | PENALTY OR ACTION  | FINE                   | STATUS                  |  |
|---------------|-------------|-----------------|---------------------|--|------------|--|------------------------|-------------------------|--|
| H-25-075      | 6/11/2025   | 6/6/2025        | Jaime A. Torres     | HISA crop violation (4th offense)                    |            | Fined and suspended 4 days, June 15, June 18, June 19, and June 20, 2025 | \$2,000 (Under Appeal) | APPEALED                |  |
| H-25-076      | 6/11/2025   | 6/6/2025        | Reyluis Gutierrez   | HISA crop violation                                  |            | Fined and suspended 1 day June 13, 2025                                  | \$ 500                 | Suspension served       |  |
| H-25-077      | 6/11/2025   | 3/29/2025       | Adam Beschizza      | HISA Agreed Resolution of KY Stewards Ruling #25-039 |            | Fined and suspended 3 days June 27 through June 29, 2025 (inclusive)     | \$275.37               | Suspension to be served |  |
| H-25-078      | 6/19/2025   | 6/14/2025       | Cristian A. Torres  | HISA crop violation (3rd offense)                    |            | Fined and suspended 3 days , July 3 through July 5, 2025 (inclusive)     | \$1,500 (Under Appeal) | APPEALED                |  |
| H-25-079      | 6/27/2025   | 6/26/2025       | Joseph C. Bealmear  | HISA crop violation (2nd offense)                    |            | Fined and suspended 2 days June 29 and July 30, 2025                     | \$ 1,000               | Suspension served       |  |
| H-25-080      | 7/6/2025    | 6/29/2025       | Emmanuel Esquivel   | HISA crop violation (2nd offense)                    |            | Fined and suspended 2 days July 13 and July 14 2025                      | \$ 1,000               | Suspension served       |  |
| H-25-081      | 7/6/2025    | 7/5/2025        | Carlos E. Franca    | HISA crop violation                                  |            | Fined and suspended 1 day July 12, 2025                                  | \$ 250                 | Suspension served       |  |
| H-25-082      | 7/26/2025   | 7/20/2025       | Jaime A. Torres     | HISA crop violation (3rd offense)                    |            | Fined and suspended 3 days, August 11, August 16, and August 17, 2025    | \$1,500 (Under Appeal) | APPEALED                |  |
| H-25-083      | 7/26/2025   | 7/20/2025       | Samuel Camacho, Jr. | HISA crop violation                                  |            | Fined and suspended 1 day July 28, 2025                                  | \$ 250                 | Suspension served       |  |
| H-25-084      | 8/9/2025    | 8/4/2025        | Brooke Stillion     | HISA crop violation                                  |            | Fined and suspended 1 day August 16, 2025                                | \$ 250                 | Suspension served       |  |
| H-25-085      | 9/5/2025    | 8/31/2025       | Ben Curtis          | HISA crop violation (2nd offense)                    |            | Fined and suspended 2 days September 14 and September 17, 2025           | \$ 1,000               | Suspension served       |  |

## 2025 KENTUCKY HISA RULINGS

| RULING NUMBER | RULING DATE | INFRACTION DATE | ISSUED TO          | DETERMINATION  | DRUG CLASS | PENALTY OR ACTION  | FINE        | STATUS            |  |
|---------------|-------------|-----------------|--------------------|--|------------|--|-------------|-------------------|--|
| H-25-086      | 9/17/2025   | 9/13/2025       | Jose L. Ortiz      | HISA crop violation (2nd offense)                    |            | Fined and suspended 2 days September 24 and September 25, 2025                 | \$ 3,550.50 | Suspension served |  |
| H-25-087      | 9/17/2025   | 9/14/2025       | ErIk Asmussen      | HISA crop violation                                  |            | Fined and suspended 1 day September 20, 2025                                   | \$ 500      | Suspension served |  |
| H-25-088      | 9/17/2025   | 5/31/2025       | Chris Landeros     | HISA Agreed Resolution of KY Stewards Ruling #25-065 |            | Fined and suspended 2 days September 18 and September 19, 2025                 | \$ 500      | Suspension served |  |
| H-25-089      | 9/18/2025   | 9/17/2025       | Orlando Bocachica  | HISA crop violation                                  |            | Fined and suspended 1 day September 21, 2025                                   | \$ 500      | Suspension served |  |
| H-25-090      | 9/19/2025   | 9/18/2025       | Betty J. Williams  | HISA crop violation (2nd offense)                    |            | Fined and suspended 2 days September 24 and September 25, 2025                 | \$ 1,000    | Suspension served |  |
| H-25-091      | 9/20/2025   | 5/15/2025       | Jaime A. Torres    | HISA Agreed Resolution of KY Stewards Ruling #25-057 |            | Fined and suspended 7 days September 21 through September 27, 2025 (inclusive) | \$ 1,000    | Suspension served |  |
| H-25-092      | 9/20/2025   | 7/20/2025       | Jaime A. Torres    | HISA Agreed Resolution of KY Stewards Ruling #25-082 |            | Fined and suspended 4 days October 8 through October 11, 2025 (inclusive)      | \$ 500      | Suspension served |  |
| H-25-093      | 9/20/2025   | 5/29/2025       | Abel Cedillo       | HISA Agreed Resolution of KY Stewards Ruling #25-064 |            | Fined and suspended 2 days September 25 and September 26, 2025                 | \$ 500      | Suspension served |  |
| H-25-094      | 9/24/2025   | 6/5/2025        | Nik Juarez         | HISA Agreed Resolution of KY Stewards Ruling #25-067 |            | Fined and suspended 2 days October 8 and October 9, 2025                       | \$ 500      | Suspension served |  |
| H-25-095      | 9/22/2025   | 9/20/2025       | Joseph C. Bealmear | HISA crop violation (3rd offense)                    |            | Fined and suspended 3 days September 26 through September 28, 2025 (inclusive) | \$ 1,500    | Suspension served |  |

## 2025 KENTUCKY HISA RULINGS

| RULING NUMBER | RULING DATE | INFRACTION DATE | ISSUED TO          | DETERMINATION  | DRUG CLASS | PENALTY OR ACTION   | FINE                   | STATUS            |  |
|---------------|-------------|-----------------|--------------------|--|------------|---|------------------------|-------------------|--|
| H-25-096      | 9/28/2025   | 6/14/2025       | Cristian A. Torres | HISA Agreed Resolution of KY Stewards Ruling #25-078 |            | Fined and suspended 2 days October 8 and October 9, 2025  | \$ 500                 | Suspension served |  |
| H-25-097      | 10/2/2025   | 6/6/2025        | Antioco Murgia     | HISA Agreed Resolution of KY Stewards Ruling #25-074 |            | Fined and suspended 4 days October 9 through October 12, 2025 (inclusive)                       | \$ 500                 | Suspension served |  |
| H-25-098      | 10/8/2025   | 5/3/2025        | Junior Alvarado    | HISA Agreed Resolution of KY Stewards Ruling #25-054 |            | Fined and suspended 1 day September 17, 2025  | \$ 31,000              | Suspension served |  |
| H-25-099      | 10/8/2025   | 9/18/2025       | Jaime A. Torres    | HISA crop violation (5th offense)                    |            | Fined and suspended 5 days, October 17, October 18, October 19, October 22 and October 23, 2025 | \$2,500 (Under Appeal) | APPEALED          |  |
| H-25-100      | 10/8/2025   | 10/4/2025       | Victor Espinoza    | HISA crop violation                                  |            | Fined and suspended 1 day October 16, 2025  | \$ 500                 | Suspension served |  |
| H-25-101      | 10/12/2025  | 10/5/2025       | Junior Alvarado    | HISA crop violation (2nd offense)                    |            | Fined and suspended 2 days October 24 and October 25, 2025                                      | \$1,000 (Under Appeal) | APPEALED          |  |
| H-25-102      | 10/12/2025  | 10/9/2025       | Francisco Arrieta  | HISA crop violation (2nd offense)                    |            | Fined and suspended 2 days October 24 and October 25, 2025                                      | \$1,000 (Under Appeal) | APPEALED          |  |
| H-25-103      | 10/16/2025  | 9/18/2025       | Jaime A. Torres    | HISA Agreed Resolution of KY Stewards Ruling #25-099 |            | Fined and suspended 2 days October 17 and October 18, 2025                                      | \$ 500                 | Suspension served |  |
| H-25-104      | 10/22/2025  | 10/9/2025       | Francisco Arrieta  | HISA Agreed Resolution of KY Stewards Ruling #25-102 |            | Fined and suspended 1 day October 26, 2025  | \$ 500                 | Suspension served |  |
| H-25-105      | 10/23/2025  | 10/18/2025      | Tyler Gaffalione   | HISA crop violation                                  |            | Fined and suspended 1 day November 5, 2025  | \$ 500                 | Suspension served |  |



## 2025 KENTUCKY HISA RULINGS

| RULING NUMBER | RULING DATE | INFRACTION DATE | ISSUED TO           | DETERMINATION  | DRUG CLASS | PENALTY OR ACTION   | FINE                      | STATUS            |  |
|---------------|-------------|-----------------|---------------------|--|------------|---|---------------------------|-------------------|--|
| H-25-106      | 10/25/2025  | 10/25/2025      | Sophie Doyle        | HISA crop violation                                  |            | Fined and suspended 1 day<br>October 31, 2025                   | \$ 500                    | Suspension served |  |
| H-25-107      | 10/31/2025  | 10/30/2025      | Andres Calleja      | HISA crop violation                                  |            | Fined and suspended 1 day<br>November 7, 2025                   | \$ 500                    | Suspension served |  |
| H-25-108      | 11/1/2025   | 10/31/2025      | Emmanuel Esquivel   | HISA crop violation                                  |            | Fined and suspended 1 day<br>November 14, 2025                  | \$250<br>(Under Appeal)   | APPEALED          |  |
| H-25-109      | 11/9/2025   | 11/6/2025       | Walter A. Rodriguez | HISA crop violation                                  |            | Fined and suspended 1 day<br>November 20, 2025                  | \$ 500                    | Suspension served |  |
| H-25-110      | 11/16/2025  | 11/9/2025       | Mario Gutierrez     | HISA crop violation                                  |            | Fined and suspended 1 day<br>November 23, 2025                  | \$ 500                    | Suspension served |  |
| H-25-111      | 11/16/2025  | 11/12/2025      | Julio E. Felix      | HISA crop violation                                  |            | Fined and suspended 1 day<br>November 20, 2025                  | \$ 500                    | Suspension served |  |
| H-25-112      | 11/16/2025  | 11/13/2025      | Tyler Gaffallone    | HISA crop violation (2nd offense)                    |            | Fined and suspended 2 days<br>December 3 and December 4, 2025   | \$500<br>(Under Appeal)   | APPEALED          |  |
| H-25-113      | 11/16/2025  | 11/14/2025      | Gavin Ashton        | HISA crop violation (2nd offense)                    |            | Fined and suspended 2 days<br>November 29 and November 30, 2025 | \$1,000<br>(Under Appeal) | APPEALED          |  |
| H-25-114      | 11/16/2025  | 10/31/2025      | Emmanuel Esquivel   | HISA Agreed Resolution of KY Stewards Ruling #25-108 |            | Fined (no suspension)   | \$ 80.50                  | Resolved          |  |
| H-25-115      | 11/21/2025  | 11/19/2025      | Abel Cedillo        | HISA crop violation (2nd offense)                    |            | Fined and suspended 2 days<br>December 3 and December 4, 2025   | \$1000<br>(Under Appeal)  | APPEALED          |  |
| H-25-116      | 11/22/2025  | 11/21/2025      | Rodolfo Guerra      | HISA crop violation                                  |            | Fined and suspended 1 day<br>November 30, 2025                  | \$ 500                    | Suspension served |  |
| H-25-117      | 11/22/2025  | 11/13/2025      | Tyler Gaffallone    | HISA Agreed Resolution of KY Stewards Ruling #25-112 |            | Fined and suspended 1 day<br>December 3, 2025                   | \$ 120                    | Suspension served |  |
| H-25-118      | 11/23/2025  | 11/22/2025      | Joseph D. Ramos     | HISA crop violation                                  |            | Fined and suspended 1 day<br>November 28, 2025                  | \$ 500                    | Suspension served |  |



## 2025 KENTUCKY HISA RULINGS

| RULING<br>NUMBER | RULING<br>DATE | INFRACTION<br>DATE | ISSUED TO                   | DETERMINATION   | DRUG<br>CLASS | PENALTY OR ACTION   | FINE                       | STATUS   |  |
|------------------|----------------|--------------------|-----------------------------|---|---------------|---|----------------------------|--|--|
| H-25-119         | 11/26/2025     | 11/14/2025         | Gavin Ashton                | HISA Agreed Resolution<br>of KY Stewards Ruling #25-<br>113       |               | Fined and suspended 2<br>days November 29 and<br>November 30, 2025                        | \$ 500                     | Suspension served  |  |
| H-25-120         | 11/27/2025     | 11/19/2025         | Abel Cedillo                | HISA Agreed Resolution<br>of KY Stewards Ruling #25-<br>115       |               | Fined and suspended 1 day<br>December 3, 2025   | \$ 120                     | Suspension served  |  |
| H-25-121         | 11/29/2025     | 11/27/2025         | Tyler W. Bacon              | HISA crop violation (2nd<br>offense)                              |               | Fined and suspended 2<br>days December 3 and<br>December 4, 2025                          | \$ 1,000                   | Suspension served  |  |
| H-25-122         | 11/29/2025     | 11/28/2025         | Amir Mendoza                | HISA crop violation   |               | Fined and suspended 1 day<br>December 6, 2025   | \$ 500                     | Suspension served  |  |
| H-25-123         | 11/30/2025     | 11/29/2025         | Corey J. Lanerie            | HISA crop violation   |               | Fined and suspended 1 day<br>December 6, 2025   | \$ 500                     | Suspension served  |  |
| H-25-124         | 12/5/2025      | 12/4/2025          | Gabriel Saez                | HISA crop violation   |               | Fined and suspended 1 day<br>December 11, 2025  | \$ 250                     | Suspension served  |  |
| H-25-125         | 12/11/2025     | 11/9/2025          | Valentin Jimenez<br>(owner) | HIWU Medication<br>violation                                      |               | Claim of STATE CONCEAL<br>November 9, 2025 from<br>race 2 at Churchill Downs is<br>VOIDED |                            | Claim Voided and horse<br>returned to owner Valentin<br>Jimenez (trainer Carlos Munoz) |  |
| H-25-126         | 12/19/2025     | 12/17/2025         | Jose Ramos<br>Gutierrez     | HISA crop violation   |               | Fined and suspended 1 day<br>December 26, 2025  | \$ 250                     | Suspension served  |  |
| H-25-127         | 12/21/2025     | 12/19/2025         | Orlando Bocachica           | HISA crop violation (2nd<br>offense) and 4<br>consecutive strikes |               | Fined and suspended 2<br>days January 7 and January<br>8, 2025                            | \$700<br>(Under<br>Appeal) | APPEALED   |  |

## 2026 KENTUCKY HISA RULINGS

| RULING NUMBER | RULING DATE | INFRACTION DATE | ISSUED TO        | DETERMINATION                     | DRUG CLASS | PENALTY OR ACTION  | FINE                 | STATUS            |  |
|---------------|-------------|-----------------|------------------|-----------------------------------|------------|--|----------------------|-------------------|--|
| H-26-001      | 1/3/2026    | 12/26/2025      | Antioco Murgia   | HISA crop violation (3rd offense) |            | Fined and suspended 3 days , January 15 through January 17, 2026 (inclusive) | \$750 (Under Appeal) | APPEALED          |  |
| H-26-002      | 1/3/2026    | 12/27/2025      | Xarel Forde      | HISA crop violation               |            | Fined and suspended 1 day January 17, 2026                                   | \$ 250               | Suspension served |  |
| H-26-003      | 1/10/2026   | 1/2/2026        | Adam Beschizza   | HISA crop violation               |            | Fined and suspended 1 day January 16, 2026                                   | \$ 500               | Suspension served |  |
| H-26-004      | 1/10/2026   | 1/3/2026        | Brooke Bays      | HISA crop violation (2nd offense) |            | Fined and suspended 2 days , January 14 and January 15, 2026                 | \$500 (Under Appeal) | APPEALED          |  |
| H-26-005      | 1/10/2026   | 1/7/2026        | Dylan Machado    | HISA crop violation               |            | Fined and suspended 1 day January 16, 2026                                   | \$ 250               | Suspension served |  |
| H-26-2006     | 1/10/2026   | 1/7/2026        | Oscar Villarreal | HISA crop violation (2nd offense) |            | Fined and suspended 2 days , January 23 and January 24, 2026                 | \$500 (Under Appeal) | APPEALED          |  |

# STANDARD BRED RULINGS

| KHRG Judges Rulings |           |             |                 | Date  |           | 1/5/2026 |            |  |
|---------------------|-----------|-------------|-----------------|---|-----------|----------|------------|--|
| Infraction          | Ruling    | 94          | Total →         |   | \$ 37,700 | 905      |            |  |
| Date                | Date      | Ruling #    | Licensee        | Infraction                                      | Fine      | Status   | Days       | FAS  |
| 3/24/2025           | 3/25/2025 | OG2025-001  | Chris Brown     | Offensive Language                              | \$ 500    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 3/21/2025           | 4/1/2025  | OG2025-002  | Jeremy Bobbitt  | Striking Of A Wheel                             | \$ 100    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 3/24/2025           | 4/6/2025  | OG2025-003  | Steven Fortune  | Medication Violation (Overage Class C)          | \$ 1,000  | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 4/7/2025            | 4/7/2025  | OG2025-004  | Shae Vandervort | Failure To Drive When Programmed                | \$ 50     | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 3/31/2025           | 4/8/2025  | OG2025-005  | Tony Dinges     | Medication Violation (TCO2 Overage)             | \$ 1,500  | PAID     | 15         | <input checked="" type="checkbox"/> ONLINE |
| 4/8/2025            | 4/14/2025 | OG2025-006  | Brandon Bates   | Failure To Come Up Into Position                | \$ 200    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 4/14/2025           | 4/15/2025 | OG2025-007  | David Ginter    | Failure To Obey Instructions                    | \$ 100    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 4/14/2025           | 4/15/2025 | OG2025-008  | Brandon Bates   | REVOCATION - RESTORED                           | \$ -      | PAID     | RESTORE    | <input checked="" type="checkbox"/> ONLINE |
| 4/14/2025           | 4/15/2025 | OG2025-009  | Stephen White   | REVOCATION - RESTORED                           | \$ -      | PAID     | RESTORE    | <input checked="" type="checkbox"/> ONLINE |
| 6/8/2022            | 4/17/2025 | OG2025-010  | Dean Eckley     | Ineligible For License                          | \$ -      | APPEAL   | Ineligible | <input checked="" type="checkbox"/> ONLINE |
| 4/15/2025           | 4/21/2025 | OG2025-011  | Pat Curtin      | Slow Quarter                                    | \$ 100    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 4/15/2025           | 4/21/2025 | OG2025-012  | Zyler Maxwell   | Striking Of A Wheel                             | \$ 100    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 4/15/2025           | 4/21/2025 | OG2025-013  | Jeremy Indoff   | Unconventional Use Of The Whip                  | \$ 250    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 4/22/2025           | 4/23/2025 | OG2025-014  | Lafredrick Cole | Fraud - Deemed Ineligible                       | \$ -      | APPEAL   | Ineligible | <input checked="" type="checkbox"/> ONLINE |
| 4/22/2025           | 4/23/2025 | OG2025-015  | Anjanica Linton | Fraud - Full Suspension                         | \$ 1,000  | UNPAID   | 365        | <input checked="" type="checkbox"/> ONLINE |
| 4/22/2025           | 4/23/2025 | OG2025-016  | Lonette Manley  | Fraud - Full Suspension                         | \$ 1,000  | APPEAL   | 365        | <input checked="" type="checkbox"/> ONLINE |
| 4/22/2025           | 4/28/2025 | OG2025-017  | Jamaal Denson   | Striking Of A Wheel                             | \$ 100    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 4/28/2025           | 4/29/2025 | OG2025-018  | Randy Crisler   | Crowd Another Horse                             | \$ 300    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 4/28/2025           | 4/29/2025 | OG2025-019  | Pat Curtin      | Unconventional Use Of The Whip                  | \$ 250    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 4/28/2025           | 4/29/2025 | OG2025-020  | Terry Di Cicco  | Taking Up Abruptly                              | \$ -      | PAID     | 2          | <input checked="" type="checkbox"/> ONLINE |
| 4/28/2025           | 4/29/2025 | OG2025-021  | Kevin Wallis    | Fail To Set Or Maintain Proper Pace             | \$ 100    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 5/5/2025            | 5/6/2025  | OG2025-022  | Wyatt Avenatti  | Unconventional Use Of The Whip                  | \$ 250    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 5/5/2025            | 5/6/2025  | OG2025-023  | Zyler Maxwell   | Striking Of A Wheel                             | \$ 100    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 4/2/2025            | 5/11/2025 | OG2025-024  | Patricia Brewer | Licensed In Error                               | \$ -      | PAID     | RESCIND    | <input checked="" type="checkbox"/> ONLINE |
| 5/6/2025            | 5/11/2025 | OG2025-025  | Marcus Miller   | Contact With Foot                               | \$ 100    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 5/6/2025            | 5/11/2025 | OG2025-026  | Jeremy Indoff   | Unconventional Use Of The Whip                  | \$ 250    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 5/11/2025           | 5/12/2025 | OG2025-027  | Kevin Wallis    | Fail To Set Or Maintain Proper Pace             | \$ 300    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 4/29/2025           | 5/19/2025 | OG2025-028  | Randy Crisler   | Medication Violation                            | \$ 1,000  | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 5/12/2025           | 5/13/2025 | OG2025-029  | Wyatt Avenatti  | Carry A Horse Out                               | \$ 200    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 5/6/2025            | 5/20/2025 | OG2025-030  | Tony Hall       | Fail To Set Or Maintain Proper Pace             | \$ 100    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 5/26/2025           | 5/27/2025 | OG2025-031  | Pat Curtin      | Unconventional Use Of The Whip                  | \$ 250    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 5/25/2025           | 6/2/2025  | OG2025-032  | Jeremy Indoff   | Unconventional Use Of The Whip                  | \$ 500    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 5/25/2025           | 6/2/2025  | OG2025-033  | Kelly Jackson   | Medication Violation (TCO2 Overage)             | \$ 1,500  | PAID     | 15         | <input checked="" type="checkbox"/> ONLINE |
| 5/25/2025           | 6/2/2025  | OG2025-034  | Jamaal Denson   | Unconventional Use Of The Whip                  | \$ 250    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 5/25/2025           | 6/2/2025  | OG2025-035  | Jeremy Bobbitt  | Striking Of A Wheel                             | \$ 100    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 6/2/2025            | 6/3/2025  | OG2025-036  | Jamaal Denson   | Excessive Use Of The Whip - Welts               | \$ 300    | UNPAID   | 1          | <input checked="" type="checkbox"/> ONLINE |
| 6/8/2025            | 6/10/2025 | KPS2025-001 | Zyler Maxwell   | Excessive Use Of The Whip - Unprofessional Acts | \$ 300    | PAID     | 2          | <input checked="" type="checkbox"/> ONLINE |
| 5/31/2025           | 6/17/2025 | KPS2025-002 | Zyler Maxwell   | Medication Violation (Overage Class C)          | \$ 1,000  | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 6/17/2025           | 6/18/2025 | OG2025-037  | Peter Foley     | Late Driver Change                              | \$ 50     | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 6/17/2025           | 6/18/2025 | OG2025-038  | Andy Shetler    | Unconventional Use Of The Whip                  | \$ 250    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 6/18/2025           | 6/25/2025 | OG2025-039  | Michael Barret  | Trespass REVOCATION                             | \$ -      | PAID     | Suspend    | <input checked="" type="checkbox"/> ONLINE |
| 6/24/2025           | 6/24/2025 | OG2025-040  | Jimmy Watson    | Late Driver Change                              | \$ 50     | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 6/17/2025           | 6/19/2025 | OG2025-041  | Jamaal Denson   | Interference                                    | \$ 200    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 6/18/2025           | 6/30/2025 | KPS2025-003 | Ernie Hendry    | Unconventional Use Of The Whip                  | \$ 250    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |
| 6/18/2025           | 6/30/2025 | KPS2025-004 | Bernard Demars  | Unconventional Use Of The Whip                  | \$ 250    | PAID     |            | <input checked="" type="checkbox"/> ONLINE |

|            |            |             |                    |  |          |        |      |  |
|------------|------------|-------------|--------------------|--|----------|--------|------|--|
| 6/27/2025  | 6/30/2025  | KPS2025-005 | Kelcey Perymond    | Unconventional Use Of The Whip         | \$ 250   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 6/9/2025   | 6/29/2025  | OG2025-042  | Scott Tyler George | Medication Violation (Overage Class C) | \$ 1,000 | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 6/25/2025  | 6/30/2025  | OG2025-043  | Tyler Shehan       | Unconventional Use Of The Whip         | \$ 250   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 6/30/2025  | 7/1/2025   | OG2025-044  | Austin Fowler      | Intoxicated While In Paddock           | \$ -     | PAID   | 15   | <input checked="" type="checkbox"/> ONLINE |
| 6/30/2025  | 7/1/2025   | OG2025-045  | Donald Brock       | Late Driver Change                     | \$ 50    | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 6/18/2025  | 7/12/2025  | KPS2025-006 | Curtis Bradford    | Unconventional Use Of The Whip         | \$ 250   | UNPAID |      | <input checked="" type="checkbox"/> ONLINE |
| 6/18/2025  | 7/8/2025   | OG2025-46   | Archie Buford      | Medication Violation (Overage Class C) | \$ 1,000 | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 5/27/2025  | 7/8/2025   | OG2025-47   | Howard Taylor      | Withdrawal Of License Application      | \$ -     | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 7/1/2025   | 7/12/2025  | OG2025-048  | Devon Tharps       | Interference                           | \$ 200   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 7/14/2025  | 7/15/2025  | OG2025-49   | Todd McCarthy      | Unconventional Use Of The Whip         | \$ 250   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 6/27/2025  | 7/18/2025  | KPS2025-007 | Alexandra Sarkine  | Medication Violation (Overage Class C) | \$ 1,000 | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 7/20/2025  | 7/21/2025  | RM2025-001  | Joseph Putnam      | Late Driver Change                     | \$ 50    | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 6/28/2025  | 7/27/2025  | KPS2025-008 | Leslie Burton      | Medication Violation (Overage Class B) | \$ 1,000 | PAID   | 30   | <input checked="" type="checkbox"/> ONLINE |
| 7/20/2025  | 7/21/2025  | RM2025-002  | Cordarius Stewart  | Excessive Use Of The Whip - Welts      | \$ 300   | PAID   | 1    | <input checked="" type="checkbox"/> ONLINE |
| 7/20/2025  | 7/21/2025  | RM2025-003  | Tyler Shehan       | Unconventional Use Of The Whip         | \$ 250   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 7/20/2025  | 7/21/2025  | RM2025-004  | Pat Curtin         | Changing Course In The Stretch         | \$ 200   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 8/3/2025   | 8/4/2025   | RM2025-005  | Atlee Bender       | Striking Of A Wheel                    | \$ 100   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 8/6/2025   | 8/7/2025   | RM2025-006  | Devon Tharps       | Failure To Keep A Line In Each Hand    | \$ 100   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 8/10/2025  | 8/13/2025  | RM2025-007  | Pat Curtin         | Unconventional Use Of The Whip         | \$ -     | PAID   | 2    | <input checked="" type="checkbox"/> ONLINE |
| 8/19/2025  | 8/20/2025  | RM2025-008  | Randy Crisler      | Unconventional Use Of The Whip         | \$ 250   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 8/19/2025  | 8/20/2025  | RM2025-009  | Zyler Maxwell      | Unconventional Use Of The Whip         | \$ 250   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 8/19/2025  | 8/20/2025  | RM2025-010  | Ernie Hendry       | Unconventional Use Of The Whip         | \$ 250   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 8/19/2025  | 8/20/2025  | RM2025-011  | Mike Murphy        | Unprofessional Behavior                | \$ 100   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 8/13/2025  | 8/20/2025  | RM2025-012  | Andy Shetler       | Unconventional Use Of The Whip         | \$ 250   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 8/24/2025  | 8/25/2025  | RM2025-013  | Yannick Gingras    | Unconventional Use Of The Whip         | \$ 250   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 8/24/2025  | 8/25/2025  | RM2025-014  | Andrew McCarthy    | Unconventional Use Of The Whip         | \$ 250   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 8/25/2025  | 8/26/2025  | RM2025-015  | Marvin Luna        | Unconventional Use Of The Whip         | \$ 250   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 8/25/2025  | 8/31/2025  | RM2025-016  | Jamaal Denson      | Interference - Causing A Break         | \$ 200   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 8/19/2025  | 9/1/2025   | RM2025-017  | Cordarius Stewart  | Unconventional Use Of The Whip         | \$ 250   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 8/31/2025  | 9/5/2025   | RM2025-018  | Todd McCarthy      | Unconventional Use Of The Whip         | \$ 250   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 8/13/2025  | 9/7/2025   | RM2025-019  | Burlin Brower      | Positive Post Race - Class C           | \$ 1,500 | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 9/26/2024  | 9/8/2025   | RM2025-020  | Tony Alagna        | Positive Post Race - Class B           | \$ 1,000 | APPEAL | 30   | <input checked="" type="checkbox"/> ONLINE |
| 9/9/2025   | 9/15/2025  | RM2025-021  | Dexter Dunn        | Unfit Condition                        | \$ 1,000 | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 10/3/2025  | 10/5/2025  | RM2025-022  | Stephen Oldford    | Hooking Wheels                         | \$ -     | PAID   | 5    | <input checked="" type="checkbox"/> ONLINE |
| 6/18/2025  | 7/27/2025  | KPS2025-009 | Tony Dinges        | Positive Post Race - Class C           | \$ 1,500 | APPEAL | 10   | <input checked="" type="checkbox"/> ONLINE |
| 10/11/2025 | 10/13/2025 | CR2025-001  | Jafari Frazier     | Whipping While Not In Contention       | \$ 250   | UNPAID |      | <input checked="" type="checkbox"/> ONLINE |
| 10/13/2025 | 10/19/2025 | CR2025-002  | Ernie Hendry       | Disturbing The Peace                   | \$ 250   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 10/18/2025 | 10/21/2025 | CR2025-003  | Brandon Bates      | Crowd Another Horse                    | \$ 200   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 10/26/2025 | 10/27/2025 | CR2025-004  | Jim Brinson        | Unconventional Use Of The Whip         | \$ 250   | UNPAID |      | <input checked="" type="checkbox"/> ONLINE |
| 10/28/2025 | 10/28/2025 | CR2025-005  | Luel Overstreet    | Late Driver Change                     | \$ 50    | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 11/2/2025  | 11/3/2025  | CR2025-006  | Stephen White      | Hooking Wheels                         | \$ 200   | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 11/3/2025  | 11/4/2025  | CR2025-007  | Pat Curtin         | Unconventional Use Of The Whip         | \$ -     | PAID   | 2    | <input checked="" type="checkbox"/> ONLINE |
| 10/20/2025 | 11/21/2025 | CR2025-008  | Dan Noble          | Failed Human Drug Test                 | \$ 500   | APPEAL |      | <input checked="" type="checkbox"/> ONLINE |
| 11/3/2025  | 11/21/2025 | CR2025-009  | Andy Shetler       | Post Race Positive - Class C           | \$ 1,000 | PAID   |      | <input checked="" type="checkbox"/> ONLINE |
| 9/15/2025  | 11/21/2025 | RM2025-023  | Tony Alagna        | Post Race Positive - Class C           | \$ 500   | UNPAID |      | <input checked="" type="checkbox"/> ONLINE |
| 11/3/2025  | 12/5/2025  | CR2025-010  | Caleb Smith        | Medication Violation - TCO2            | \$ 1,500 | UNPAID | 15   | <input checked="" type="checkbox"/> ONLINE |
| 9/16/2025  | 12/5/2025  | RM2025-024  | Jim Brinson        | Medication Violation - CLASS A         | \$ 5,000 | UNPAID | 15   | <input checked="" type="checkbox"/> ONLINE |
| 10/23/2025 | 12/5/2025  | KPS2025-010 | Pravi Maxwell      | Unpaid Obligation - Financial          | \$ -     | UNPAID | FULL | <input checked="" type="checkbox"/> ONLINE |
| 9/16/2025  | 12/11/2025 | RM2025-025  | Clayton Garland    | Conduct Detrimental To Horse Racing    | \$ -     | PAID   | 15   | <input checked="" type="checkbox"/> ONLINE |

## VETERINARY DIVISION

### KHRGC Veterinary Report Churchill Downs Fall Meet 2025

|   |      |
|---|------|
| <b>Total Starts</b>                                 | 2263 |
| Post Race Samples                                   | 673  |
| tCO <sub>2</sub>                                    | 205  |
| <b>Veterinary Scratches</b>                         | 57   |
| Pre-Race  | 42   |
| On-Track  | 15   |
| Soundness Related                                   | 11   |
| Gate / Paddock Injury                               | 4    |
| <b>KHRGC Veterinary List</b>                        | 82   |
| Scratches   | 57   |
| Race Injuries/Post Race Lameness/Test Barn Lameness | 22   |
| EIPH/Epistaxis                                      | 2    |
| Heat / Physical Distress                            | 1    |
| <b>Claimed Horses</b>                               | 279  |
| <b>Voided Claims</b>                                | 12   |
| <b>Ambulance Runs</b>                               | 11   |
| <b>Racing Fatalities</b>                            | 3    |
| Musculo-skeletal                                    | 3    |
| <b>Training Fatalities</b>                          | 2    |
| Musculo-skeletal                                    | 1    |
| Sudden Death  | 1    |
| <b>Vet's List Works</b>                             | 60   |
| Failed Works  | 9    |
| Locale / Type: KY                                   | 33   |
| Other Jurisdictions                                 | 12   |
| Layoff/4yo+ 1st time starter                        | 15   |

# KHRGC Veterinary Report

## Turfway Park Holiday Meet 2025

|   |      |
|---|------|
| <b>Total Starts</b>                                 | 1402 |
| Post Race Samples                                   | 332  |
| tCO <sub>2</sub>                                    | 133  |
| <b>Veterinary Scratches</b>                         | 31   |
| Pre-Race  | 22   |
| On-Track  | 9    |
| Soundness Related                                   | 3    |
| Gate / Paddock Injury                               | 6    |
| <b>KHRGC Veterinary List</b>                        | 46   |
| Scratches   | 31   |
| Race Injuries/Post Race Lameness/Test Barn Lameness | 10   |
| EIPH/Epistaxis                                      | 5    |
| Heat / Physical Distress                            | 0    |
| <b>Claimed Horses</b>                               | 131  |
| <b>Voided Claims</b>                                | 4    |
| <b>Ambulance Runs</b>                               | 8    |
| <b>Racing Fatalities</b>                            | 1    |
| Musculo-skeletal                                    | 1    |
| <b>Training Fatalities</b>                          | 3    |
| Musculo-skeletal                                    | 3    |
| <b>Vet's List Works</b>                             | 45   |
| Failed Works  | 6    |
| Locale / Type: KY                                   | 24   |
| Other Jurisdictions                                 | 8    |
| Layoff/4yo+ 1st time starter                        | 13   |

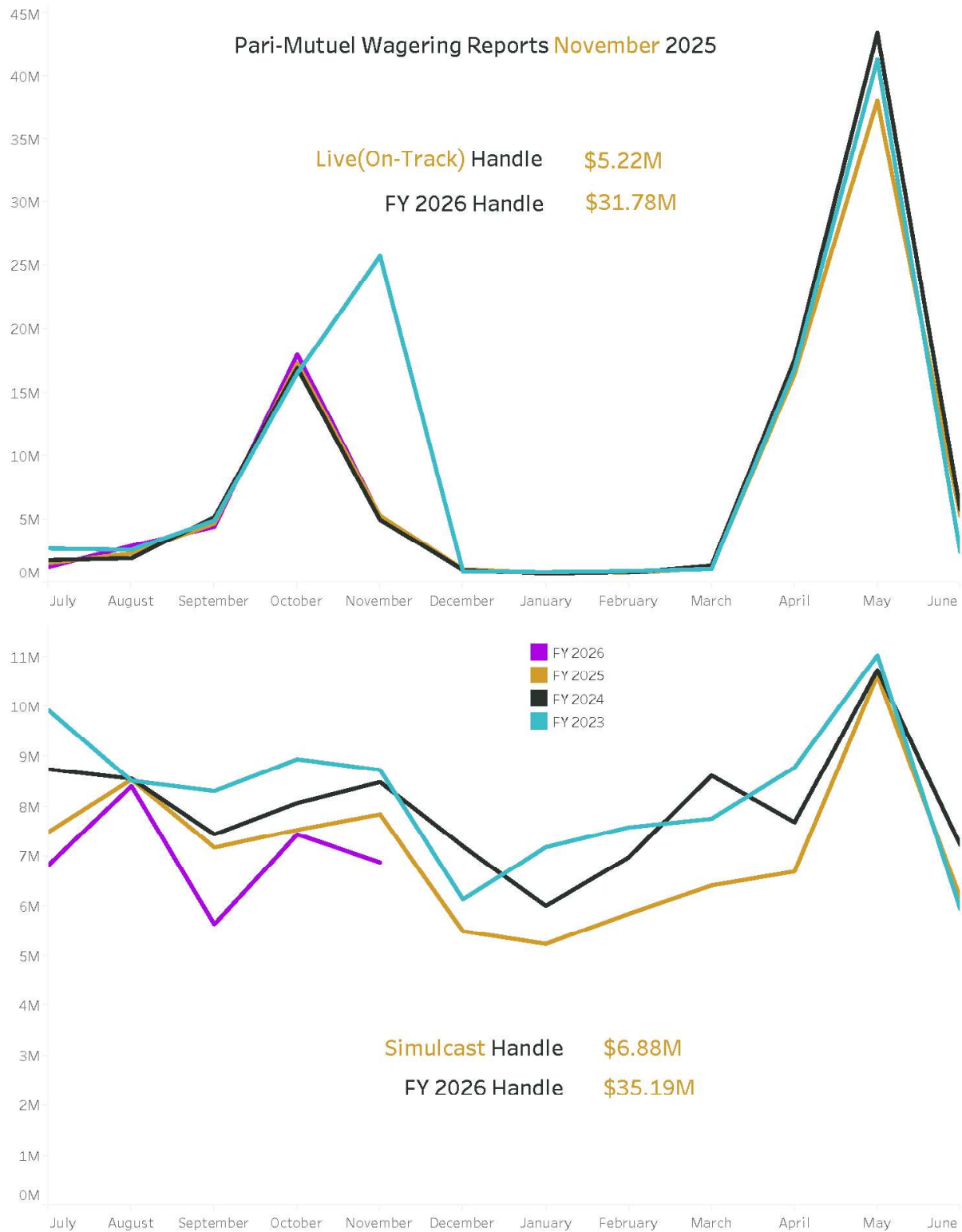
**Occurrence of Thoroughbred race-related fatalities in Kentucky 2007 to present**

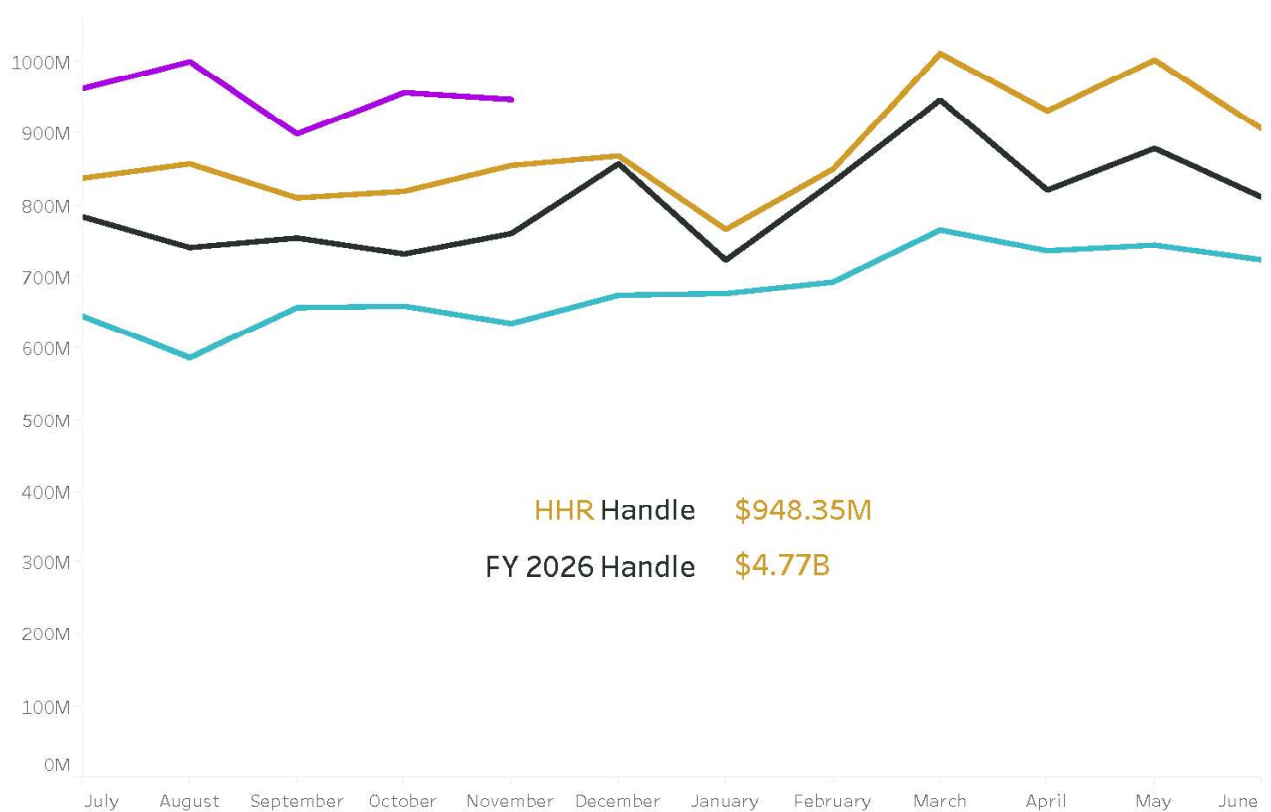
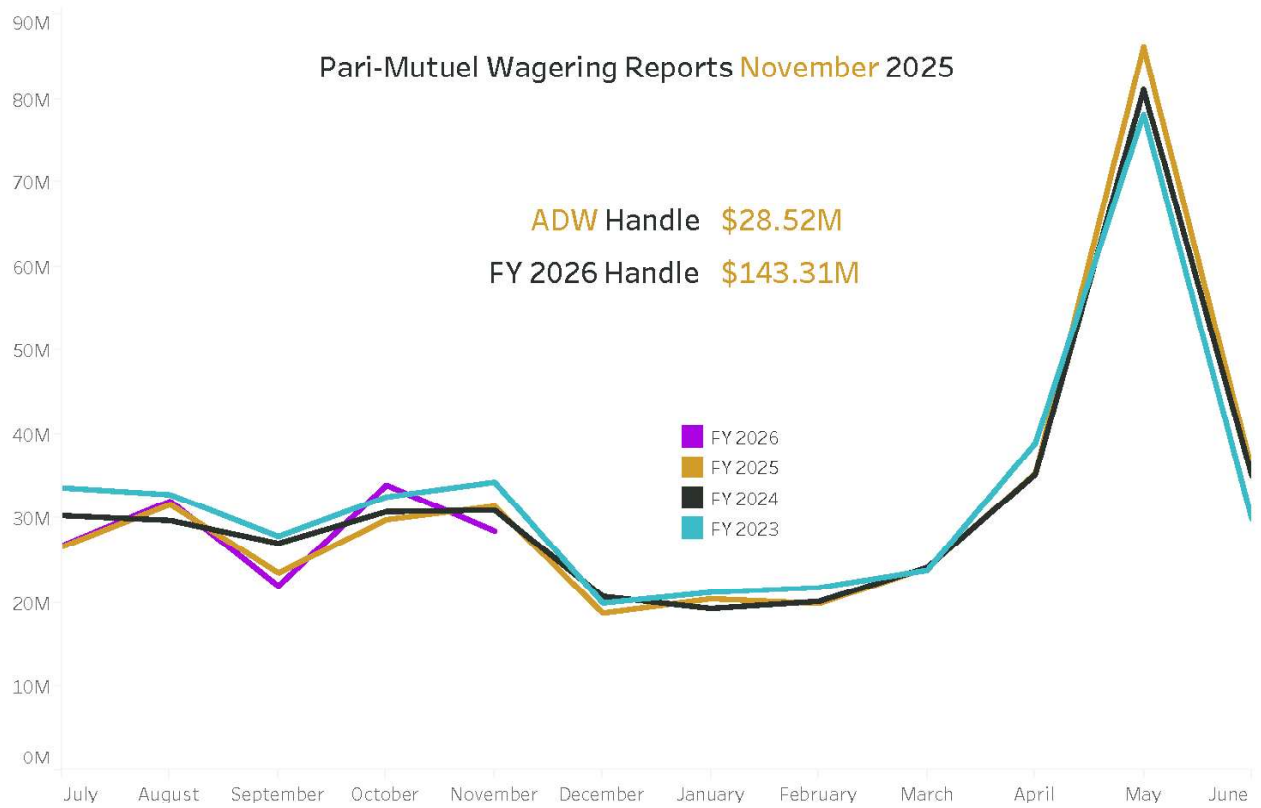
| Year | Category<br>Non-musculoskeletal: EIPH, Sudden Death, Racing Accident | Jan      | Feb      | Mar      | Apr      | May      | Jun      | Jul      | Aug      | Sep      | Oct      | Nov      | Dec      | Total     | Starts<br>(incompass) | Fatalities<br>per 1,000<br>starts |
|------|--|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|-----------|-----------------------|-----------------------------------|
| 2007 | Musculoskeletal  | 2        | 1        | 5        | 2        | 4        | 3        | 4        | 2        | 2        | 3        | 4        | 5        | 37        | 23,180                | 1.60                              |
|      | Non-musculoskeletal  | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 1        | 0        | 0        | 1         |                       | 0.04                              |
|      | <b>TOTAL</b>   | <b>2</b> | <b>1</b> | <b>5</b> | <b>2</b> | <b>4</b> | <b>3</b> | <b>4</b> | <b>2</b> | <b>2</b> | <b>4</b> | <b>4</b> | <b>5</b> | <b>38</b> |                       | <b>1.64</b>                       |
| 2008 | Musculoskeletal  | 3        | 0        | 4        | 2        | 3        | 2        | 2        | 2        | 3        | 2        | 4        | 8        | 35        | 22,278                | 1.57                              |
|      | Non-musculoskeletal  | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0         |                       | 0.00                              |
|      | <b>TOTAL</b>   | <b>3</b> | <b>0</b> | <b>4</b> | <b>2</b> | <b>3</b> | <b>2</b> | <b>2</b> | <b>2</b> | <b>3</b> | <b>2</b> | <b>4</b> | <b>8</b> | <b>35</b> |                       | <b>1.57</b>                       |
| 2009 | Musculoskeletal  | 3        | 5        | 1        | 2        | 4        | 1        | 2        | 4        | 3        | 3        | 2        | 3        | 33        | 20,142                | 1.64                              |
|      | Non-musculoskeletal  | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0         |                       | 0.00                              |
|      | <b>TOTAL</b>   | <b>3</b> | <b>5</b> | <b>1</b> | <b>2</b> | <b>4</b> | <b>1</b> | <b>2</b> | <b>4</b> | <b>3</b> | <b>3</b> | <b>2</b> | <b>3</b> | <b>33</b> |                       | <b>1.64</b>                       |
| 2010 | Musculoskeletal  | 2        | 1        | 2        | 0        | 0        | 2        | 4        | 2        | 1        | 3        | 7        | 1        | 25        | 18,167                | 1.38                              |
|      | Non-musculoskeletal  | 0        | 0        | 1        | 1        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 2         |                       | 0.11                              |
|      | <b>TOTAL</b>   | <b>2</b> | <b>1</b> | <b>3</b> | <b>1</b> | <b>0</b> | <b>2</b> | <b>4</b> | <b>2</b> | <b>1</b> | <b>3</b> | <b>7</b> | <b>1</b> | <b>27</b> |                       | <b>1.49</b>                       |
| 2011 | Musculoskeletal  | 2        | 2        | 1        | 1        | 2        | 5        | 1        | 3        | 2        | 5        | 3        | 1        | 28        | 18,258                | 1.53                              |
|      | Non-musculoskeletal  | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 1        | 0        | 0        | 0        | 1         |                       | 0.05                              |
|      | <b>TOTAL</b>   | <b>2</b> | <b>2</b> | <b>1</b> | <b>1</b> | <b>2</b> | <b>5</b> | <b>1</b> | <b>3</b> | <b>3</b> | <b>5</b> | <b>3</b> | <b>1</b> | <b>29</b> |                       | <b>1.59</b>                       |
| 2012 | Musculoskeletal  | 0        | 0        | 1        | 2        | 9        | 2        | 0        | 2        | 0        | 3        | 5        | 3        | 27        | 17,513                | 1.54                              |
|      | Non-musculoskeletal  | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 1        | 0        | 0        | 1         |                       | 0.06                              |
|      | <b>TOTAL</b>   | <b>0</b> | <b>0</b> | <b>1</b> | <b>2</b> | <b>9</b> | <b>2</b> | <b>0</b> | <b>2</b> | <b>0</b> | <b>4</b> | <b>5</b> | <b>3</b> | <b>28</b> |                       | <b>1.60</b>                       |
| 2013 | Musculoskeletal  | 2        | 2        | 0        | 0        | 1        | 2        | 2        | 5        | 3        | 1        | 1        | 3        | 22        | 16,242                | 1.35                              |
|      | Non-musculoskeletal  | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 1        | 0        | 0        | 0        | 1         |                       | 0.06                              |
|      | <b>TOTAL</b>   | <b>2</b> | <b>2</b> | <b>0</b> | <b>0</b> | <b>1</b> | <b>2</b> | <b>1</b> | <b>5</b> | <b>4</b> | <b>1</b> | <b>1</b> | <b>3</b> | <b>23</b> |                       | <b>1.42</b>                       |
| 2014 | Musculoskeletal  | 2        | 2        | 0        | 0        | 3        | 0        | 1        | 1        | 1        | 3        | 3        | 0        | 16        | 14,460                | 1.11                              |
|      | Non-musculoskeletal  | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0         |                       | 0.00                              |
|      | <b>TOTAL</b>   | <b>2</b> | <b>2</b> | <b>0</b> | <b>0</b> | <b>3</b> | <b>0</b> | <b>1</b> | <b>1</b> | <b>1</b> | <b>3</b> | <b>3</b> | <b>0</b> | <b>16</b> |                       | <b>1.11</b>                       |
| 2015 | Musculoskeletal  | 3        | 1        | 1        | 0        | 3        | 2        | 1        | 1        | 3        | 3        | 2        | 1        | 21        | 15,514                | 1.35                              |
|      | Non-musculoskeletal  | 0        | 0        | 0        | 0        | 0        | 1        | 0        | 1        | 0        | 0        | 0        | 0        | 2         |                       | 0.13                              |
|      | <b>TOTAL</b>   | <b>3</b> | <b>1</b> | <b>1</b> | <b>0</b> | <b>3</b> | <b>3</b> | <b>1</b> | <b>2</b> | <b>3</b> | <b>3</b> | <b>3</b> | <b>1</b> | <b>23</b> |                       | <b>1.48</b>                       |

|      |            |   |   |   |   |   |   |   |   |   |   |   |   |    |        |      |
|------|------------|---|---|---|---|---|---|---|---|---|---|---|---|----|--------|------|
| 2016 | Musculoske | 0 | 2 | 0 | 4 | 4 | 3 | 1 | 0 | 1 | 2 | 5 | 1 | 23 | 16,495 | 1.39 |
|      | Non-muscul | 0 | 0 | 0 | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 3  |        | 0.18 |
|      | TOTAL      | 0 | 2 | 0 | 4 | 4 | 5 | 2 | 0 | 1 | 2 | 5 | 1 | 26 |        | 1.58 |
| 2017 | Musculoske | 1 | 2 | 1 | 2 | 1 | 3 | 0 | 1 | 2 | 3 | 2 | 0 | 18 | 15,032 | 1.20 |
|      | Non-muscul | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2  |        | 0.13 |
|      | TOTAL      | 1 | 2 | 1 | 2 | 1 | 5 | 0 | 1 | 2 | 3 | 2 | 0 | 20 |        | 1.33 |
| 2018 | Musculoske | 2 | 1 | 0 | 4 | 3 | 1 | 6 | 0 | 7 | 1 | 3 | 4 | 32 | 15,049 | 2.13 |
|      | Non-muscul | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 4  |        | 0.27 |
|      | TOTAL      | 2 | 1 | 1 | 4 | 4 | 1 | 6 | 1 | 7 | 1 | 4 | 4 | 36 |        | 2.39 |
| 2019 | Musculoske | 0 | 1 | 1 | 4 | 1 | 0 | 0 | 1 | 1 | 5 | 2 | 3 | 19 | 15,456 | 1.23 |
|      | Non-muscul | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 3  |        | 0.19 |
|      | TOTAL      | 0 | 1 | 1 | 4 | 1 | 2 | 0 | 2 | 1 | 5 | 2 | 3 | 22 |        | 1.42 |
| 2020 | Musculoske | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 3 | 4 | 1 | 4 | 1 | 16 | 13,872 | 1.15 |
|      | Non-muscul | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3  |        | 0.22 |
|      | TOTAL      | 1 | 0 | 4 | 0 | 1 | 0 | 0 | 3 | 4 | 1 | 4 | 1 | 19 |        | 1.37 |
| 2021 | Musculoske | 2 | 1 | 0 | 0 | 1 | 2 | 1 | 1 | 4 | 3 | 2 | 2 | 19 | 14,676 | 1.29 |
|      | Non-muscul | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0  |        | 0    |
|      | TOTAL      | 2 | 1 | 0 | 0 | 1 | 2 | 1 | 1 | 4 | 3 | 2 | 2 | 19 |        | 1.29 |
| 2022 | Musculoske | 0 | 1 | 0 | 1 | 2 | 1 | 2 | 2 | 0 | 1 | 3 | 0 | 13 | 15,913 | 0.82 |
|      | Non-Muscul | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 2  |        | 0.13 |
|      | TOTAL      | 0 | 2 | 0 | 1 | 2 | 2 | 2 | 2 | 0 | 1 | 3 | 0 | 15 |        | 0.94 |
| 2023 | Musculoske | 1 | 2 | 3 | 3 | 8 | 1 | 1 | 0 | 0 | 1 | 2 | 1 | 23 | 16,699 | 1.38 |
|      | Non-Muscul | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2  |        | 0.12 |
|      | TOTAL      | 1 | 2 | 3 | 4 | 9 | 1 | 1 | 0 | 0 | 1 | 3 | 1 | 25 |        | 1.49 |
| 2024 | Musculoske | 0 | 0 | 1 | 1 | 1 | 1 | 0 | 2 | 0 | 3 | 3 | 2 | 14 | 17,918 | 0.78 |
|      | Non-Muscul | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1  |        | 0.06 |
|      | TOTAL      | 1 | 0 | 1 | 1 | 1 | 1 | 0 | 2 | 0 | 3 | 3 | 2 | 15 |        | 0.84 |
| 2025 | Musculoske | 1 | 2 | 0 | 3 | 5 | 2 | 1 | 0 | 2 | 2 | 3 | 1 | 22 | 17,811 | 1.24 |
|      | Non-Muscul | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0  |        | 0    |
|      | TOTAL      | 1 | 2 | 0 | 3 | 5 | 2 | 1 | 0 | 2 | 2 | 3 | 1 | 22 |        | 1.24 |



PARI-MUTUEL WAGERING





Pari-Mutuel Wagering for November 2025

November

THE FOLLOWING REPORTING IS FOR ILLUSTRATIVE PURPOSES ONLY AND MAY BE AMENDED AS DEEMED SUITABLE BY THE KENTUCKY HORSE RACING AND GAMING CORPORATION. THE DISTRIBUTIONS FROM THE EXCISE TAX HAVE BEEN CALCULATED PURSUANT TO KRS 138 AND GUIDANCE FROM THE DEPARTMENT OF REVENUE. 2025

On-Track Wagers on Live Horse Racing

| Licensee                            | Race Dates | On-Track Handle | Return to Public | Commission | Settlement | Breakage | Minus Pools | Daily Average Live Handle | Backside Improvement Fund | Excise Tax | TB Dev Fund | SB Dev Fund | QH Dev Fund | Equine Drug Research | Higher Ed Equine Trust | Equine Industry Programs | General Fund |
|-------------------------------------|------------|-----------------|------------------|------------|------------|----------|-------------|---------------------------|---------------------------|------------|-------------|-------------|-------------|----------------------|------------------------|--------------------------|--------------|
| Churchill Downs Racetrack, LLC      | 22         | \$5,210,465     | \$4,007,795      | \$994,253  | \$204,804  | \$4,613  | (\$1,000)   | \$236,839                 | \$26,052                  | \$78,157   | \$39,078    | \$0         | \$0         | \$5,210              | \$5,210                | \$10,421                 | \$18,237     |
| ECL Corbin, LLC                     | 3          | \$5,946         | \$3,718          | \$1,194    | \$1,054    | \$19     | (\$39)      | \$1,982                   | \$0                       | \$89       | \$0         | \$59        | \$0         | \$6                  | \$6                    | \$12                     | \$6          |
| Ellis Entertainment, LLC            | 0          | \$0             | \$0              | \$0        | \$0        | \$0      | \$0         | \$0                       | \$0                       | \$0        | \$0         | \$0         | \$0         | \$0                  | \$0                    | \$0                      | \$0          |
| Keeneland Association, Inc.         | 0          | \$0             | \$0              | \$0        | \$0        | \$0      | \$0         | \$0                       | \$0                       | \$0        | \$0         | \$0         | \$0         | \$0                  | \$0                    | \$0                      | \$0          |
| Kentucky Downs, LLC                 | 0          | \$0             | \$0              | \$0        | \$0        | \$0      | \$0         | \$0                       | \$0                       | \$0        | \$0         | \$0         | \$0         | \$0                  | \$0                    | \$0                      | \$0          |
| Lexington Trot Breeders Association | 0          | \$0             | \$0              | \$0        | \$0        | \$0      | \$0         | \$0                       | \$0                       | \$0        | \$0         | \$0         | \$0         | \$0                  | \$0                    | \$0                      | \$0          |
| Revolutionary Racing Kentucky, LLC  | 0          | \$0             | \$0              | \$0        | \$0        | \$0      | \$0         | \$0                       | \$0                       | \$0        | \$0         | \$0         | \$0         | \$0                  | \$0                    | \$0                      | \$0          |
| Turfway Park, LLC                   | 0          | \$0             | \$0              | \$0        | \$0        | \$0      | \$0         | \$0                       | \$0                       | \$0        | \$0         | \$0         | \$0         | \$0                  | \$0                    | \$0                      | \$0          |
| WKV Development, LLC                | 0          | \$0             | \$0              | \$0        | \$0        | \$0      | \$0         | \$0                       | \$0                       | \$0        | \$0         | \$0         | \$0         | \$0                  | \$0                    | \$0                      | \$0          |
| Grand Total                         | 25         | \$5,216,410     | \$4,011,513      | \$995,446  | \$205,858  | \$4,632  | (\$1,040)   | \$208,656                 | \$26,052                  | \$78,246   | \$39,078    | \$59        | \$0         | \$5,216              | \$5,216                | \$10,433                 | \$18,243     |

Simulcast Wagers on Interstate and Intertrack Live Horse Racing

| Licensee                            | Facility                             | Off-Track Handle (Simulcast) | Thoroughbred | Standardbred | Quarter Horse | Other Breeds | Excise Tax - Simulcast | TB Dev Fund-Sim | SB Dev Fund-Sim | QH Dev Fund-Sim | PH/AP/AR Dev Fund-Sim | Equine Drug Research | Higher Education Eq. | Equine Industry Prog. | General Fund |
|-------------------------------------|--------------------------------------|------------------------------|--------------|--------------|---------------|--------------|------------------------|-----------------|-----------------|-----------------|-----------------------|----------------------|----------------------|-----------------------|--------------|
| Churchill Downs Racetrack, LLC      | Churchill Downs Racetrack            | \$1,644,243                  | \$1,582,840  | \$60,581     | \$822         | \$0          | \$24,664               | \$15,828        | \$606           | \$8             | \$0                   | \$822                | \$822                | \$411                 | \$6,166      |
| ECL Corbin, LLC                     | Louisville Thoroughbred Socie        | \$12,473                     | \$12,294     | \$136        | \$43          | \$0          | \$0                    | \$0             | \$0             | \$0             | \$0                   | \$0                  | \$0                  | \$0                   | \$0          |
| Ellis Entertainment, LLC            | Cumberland Run Racetrack             | \$31,622                     | \$30,980     | \$409        | \$223         | \$10         | \$0                    | \$0             | \$0             | \$0             | \$0                   | \$0                  | \$0                  | \$0                   | \$0          |
| Keeneland Association, Inc.         | Ellis Park Racetrack                 | \$786,965                    | \$728,754    | \$57,564     | \$648         | \$0          | \$11,804               | \$7,288         | \$576           | \$6             | \$0                   | \$393                | \$393                | \$197                 | \$2,951      |
| Kentucky Downs, LLC                 | Keeneland Racetrack                  | \$1,682,986                  | \$1,682,123  | \$0          | \$862         | \$0          | \$25,245               | \$16,821        | \$0             | \$9             | \$0                   | \$841                | \$841                | \$421                 | \$6,311      |
| Lexington Trot Breeders Association | Kentucky Downs Racetrack             | \$872,023                    | \$831,336    | \$29,416     | \$10,012      | \$1,259      | \$13,080               | \$8,313         | \$294           | \$113           | \$13                  | \$436                | \$436                | \$218                 | \$3,270      |
| Revolutionary Racing Kentucky, LLC  | Red Mile Racetrack                   | \$571,621                    | \$0          | \$587,178    | \$4,300       | \$34         | \$0                    | \$0             | \$0             | \$0             | \$0                   | \$0                  | \$0                  | \$0                   | \$0          |
| Turfway Park, LLC                   | Revolutionary Trotting Kentucky, LLC | \$123,646                    | \$115,425    | \$8,101      | \$121         | \$0          | \$1,855                | \$1,154         | \$81            | \$1             | \$0                   | \$62                 | \$62                 | \$31                  | \$464        |
| WKV Development, LLC                | Turfway Park Racetrack               | \$907,134                    | \$886,961    | \$18,535     | \$1,638       | \$0          | \$13,607               | \$8,870         | \$185           | \$16            | \$0                   | \$454                | \$454                | \$227                 | \$3,402      |
| Grand Total                         | Oak Grove Racetrack                  | \$247,055                    | \$214,748    | \$25,830     | \$6,476       | \$0          | \$0                    | \$0             | \$0             | \$0             | \$0                   | \$0                  | \$0                  | \$0                   | \$0          |
| Grand Total                         |                                      | \$6,679,667                  | \$6,085,461  | \$767,749    | \$25,154      | \$1,303      | \$90,255               | \$58,274        | \$1,742         | \$154           | \$13                  | \$3,008              | \$3,008              | \$1,504               | \$22,564     |

## Pari-Mutuel Wagering for November 2025

November

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2025

### On-Track Wagers on Historical Horse Racing

| Licensee                      | Facility        | On-Track Handle      | Return to Public     | Pool Growth        | Breakage        | Gross Commission - .. | Excise Tax - HHR    | TB Dev. Fund       | SB Dev. Fund       | QH Dev. Fund     | General Fund and Other | Adjusted Commission - .. | Avg. Terminal Count | Adjusted Commission - .. |
|-------------------------------|-----------------|----------------------|----------------------|--------------------|-----------------|-----------------------|---------------------|--------------------|--------------------|------------------|------------------------|--------------------------|---------------------|--------------------------|
| Churchill Downs Racetrack, .. | Derby City Ga.. | \$198,992,330        | \$181,076,931        | (\$73,931)         | \$501           | \$17,988,829          | \$2,984,885         | \$1,492,442        | \$0                | \$0              | \$1,492,442            | \$15,003,944             | 1,233               | \$12,171                 |
| ECL Corbin, LLC               | Corbin          | \$4,661,530          | \$4,219,136          | \$5,977            | \$32            | \$436,385             | \$69,923            | \$0                | \$46,615           | \$0              | \$23,308               | \$366,462                | 56                  | \$6,525                  |
| Williamsburg                  | Williamsburg    | \$46,071,677         | \$41,814,121         | (\$161,559)        | \$702           | \$4,418,413           | \$691,075           | \$0                | \$460,717          | \$0              | \$230,358              | \$3,727,338              | 452                 | \$8,252                  |
| Ellis Entertainment, LLC      | Henderson       | \$22,038,073         | \$19,914,309         | (\$9,925)          | \$232           | \$2,133,457           | \$330,571           | \$165,286          | \$0                | \$0              | \$165,286              | \$1,802,886              | 296                 | \$6,087                  |
| Owensboro                     | Owensboro       | \$47,047,770         | \$42,700,814         | (\$63,392)         | \$703           | \$4,409,645           | \$705,717           | \$352,858          | \$0                | \$0              | \$352,858              | \$3,703,920              | 596                 | \$6,217                  |
| Kentucky Downs, LLC           | Bowling Green   | \$42,097,743         | \$38,063,408         | \$57,668           | \$442           | \$3,976,224           | \$631,466           | \$315,733          | \$0                | \$0              | \$315,733              | \$3,344,758              | 452                 | \$7,406                  |
| Franklin                      | Franklin        | \$171,409,022        | \$155,443,432        | \$474,715          | \$3,552         | \$15,487,323          | \$2,571,135         | \$1,285,568        | \$0                | \$0              | \$1,285,568            | \$12,916,188             | 1,308               | \$9,873                  |
| Lexington ..                  | Red Mile        | \$98,390,978         | \$89,175,207         | \$370,418          | \$3,980         | \$8,841,374           | \$1,475,865         | \$368,966          | \$491,955          | \$0              | \$614,944              | \$7,365,510              | 882                 | \$8,352                  |
| Revolution..                  | Sandy's Rac..   | \$35,329,996         | \$32,020,813         | \$131,466          | \$1,209         | \$3,176,509           | \$529,950           | \$0                | \$0                | \$353,300        | \$176,650              | \$2,646,559              | 518                 | \$5,112                  |
| Turfway Park, LLC             | Newport         | \$34,256,336         | \$30,915,916         | \$121,671          | \$81            | \$3,218,669           | \$513,645           | \$256,923          | \$0                | \$0              | \$256,923              | \$2,704,824              | 450                 | \$6,007                  |
| Turfway Park                  | Turfway Park    | \$66,001,542         | \$59,665,228         | \$87,602           | \$330           | \$6,248,382           | \$990,023           | \$495,012          | \$0                | \$0              | \$495,012              | \$6,258,359              | 814                 | \$6,461                  |
| WKY Devel..                   | Oak Grove       | \$167,333,359        | \$151,909,461        | \$483,496          | \$435           | \$14,939,967          | \$2,510,000         | \$627,500          | \$836,667          | \$0              | \$1,045,833            | \$12,429,966             | 1,225               | \$10,143                 |
| <b>Grand Total</b>            |                 | <b>\$948,345,951</b> | <b>\$860,153,414</b> | <b>\$1,529,669</b> | <b>\$12,345</b> | <b>\$86,650,525</b>   | <b>\$14,225,189</b> | <b>\$5,470,654</b> | <b>\$1,835,954</b> | <b>\$353,300</b> | <b>\$6,565,281</b>     | <b>\$72,425,335</b>      | <b>8,721</b>        | <b>\$95,236</b>          |

### Advance Deposit Account Wagering

| Licensee           | Total Handle        | In-State Races      | Out-of-State Races  | Excise Tax - ADW | Host Track - Purses | Host Tracks      | General Fund-ADW |
|--------------------|---------------------|---------------------|---------------------|------------------|---------------------|------------------|------------------|
| AmWest Entertain.. | \$60,127            | \$7                 | \$60,120            | \$902            | \$383               | \$383            | \$135            |
| eBet Technologies  | \$30,951            | \$1,757             | \$29,194            | \$464            | \$197               | \$197            | \$70             |
| Lien Games Racing  | \$69,776            | \$17                | \$69,759            | \$1,047          | \$445               | \$445            | \$157            |
| NYRABets           | \$1,620,331         | \$510,710           | \$1,109,621         | \$24,305         | \$10,330            | \$10,330         | \$3,646          |
| TVG                | \$9,076,849         | \$2,692,440         | \$6,384,409         | \$136,153        | \$57,865            | \$57,865         | \$20,423         |
| Twinspires         | \$14,641,613        | \$6,116,595         | \$8,425,018         | \$218,124        | \$92,703            | \$92,703         | \$32,719         |
| WatchandWager.c..  | \$14,531            | \$3,070             | \$11,461            | \$218            | \$93                | \$93             | \$33             |
| Xpressbet          | \$3,110,051         | \$1,606,444         | \$1,503,607         | \$46,651         | \$19,827            | \$19,827         | \$6,998          |
| <b>Grand Total</b> | <b>\$28,024,229</b> | <b>\$10,931,029</b> | <b>\$17,093,199</b> | <b>\$427,063</b> | <b>\$181,042</b>    | <b>\$181,042</b> | <b>\$64,100</b>  |

### Total Distributions by Account

The General Fund line includes amounts that are deposited to various accounts. These caps are calculated from deposits resulting from the excise tax for conducting pari-mutuel wagering on historical horse racing. Since these amounts are calculated as a whole and not per licensee, a one-time transfer will be made once the caps are met within the fiscal year. At the end of the fiscal year, approximately \$2M must be deducted from this line in order to determine the actual amount retained by the General Fund. Please contact the Department of Revenue with any questions regarding these transfers.

|                                   |              |
|-----------------------------------|--------------|
| Total Excise Tax                  | \$14,821,554 |
| Equine Drug Research              | \$8,225      |
| Equine Industry Program           | \$11,937     |
| Higher Education Program          | \$0,225      |
| K. Thoroughbred Development Fund  | \$5,568,007  |
| K. Standardbred Development Fund  | \$1,837,755  |
| K. Quarter Horse Development Fund | \$353,454    |
| Host Track - Purses               | \$181,842    |
| Host Tracks                       | \$181,842    |
| General Fund (See Note on Caps)   | \$6,670,267  |
| Backside Improvement Fund         | \$26,052     |

## Pari-Mutuel Wagering for FY 2026 (YTD)

FY 2026

THE FOLLOWING REPORTING IS FOR ILLUSTRATIVE PURPOSES ONLY AND MAY BE AMENDED AS DEEMED SUITABLE BY THE KENTUCKY HORSE RACING AND GAMING CORPORATION. THE DISTRIBUTIONS FROM THE EXCISE TAX HAVE BEEN CALCULATED PURSUANT TO KRS 138 AND GUIDANCE FROM THE DEPARTMENT OF REVENUE.

### On-Track Wagers on Live Horse Racing

| Licensee                           | Race Dates | On-Track Handle     | Return to Public    | Commission         | Settlement         | Breakage        | Minus Pools      | Daily Average LI.. | Backside Improve.. | Excise Tax       | TB Dev Fund      | SB Dev Fund    | QH Dev Fund | Equine Drug Research | Higher Ed Equine Trust | Equine Industry Pr.. | General Fund     |
|------------------------------------|------------|---------------------|---------------------|--------------------|--------------------|-----------------|------------------|--------------------|--------------------|------------------|------------------|----------------|-------------|----------------------|------------------------|----------------------|------------------|
| Churchill Downs Racetrack, LLC     | 40         | \$8,573,464         | \$6,425,735         | \$1,635,294        | \$507,408          | \$7,270         | (\$2,243)        | \$214,337          | \$42,867           | \$128,602        | \$64,301         | \$0            | \$0         | \$8,573              | \$8,573                | \$17,147             | \$30,007         |
| ECL Corbin, LLC                    | 14         | \$45,967            | \$32,717            | \$9,193            | \$4,066            | \$119           | (\$129)          | \$3,283            | \$0                | \$689            | \$0              | \$460          | \$0         | \$46                 | \$46                   | \$92                 | \$46             |
| Ellis Entertainment, LLC           | 25         | \$2,725,746         | \$1,997,030         | \$524,921          | \$193,117          | \$2,068         | (\$561)          | \$109,030          | \$13,629           | \$40,886         | \$20,443         | \$0            | \$0         | \$2,726              | \$2,726                | \$5,451              | \$9,540          |
| Keeneland Association, Inc.        | 17         | \$17,239,420        | \$13,303,394        | \$2,874,594        | \$2,088,856        | \$13,793        | (\$658)          | \$1,014,084        | \$86,197           | \$258,591        | \$129,296        | \$0            | \$0         | \$17,239             | \$17,239               | \$34,479             | \$60,338         |
| Kentucky Downs, LLC                | 7          | \$2,305,764         | \$1,569,126         | \$414,637          | \$320,168          | \$2,122         | (\$289)          | \$329,395          | \$11,529           | \$34,586         | \$17,293         | \$0            | \$0         | \$2,306              | \$2,306                | \$4,612              | \$8,070          |
| Lexington Trots Breeding, LLC      | 39         | \$860,114           | \$661,218           | \$175,713          | \$25,249           | \$697           | (\$2,762)        | \$22,054           | \$0                | \$12,902         | \$0              | \$8,601        | \$0         | \$860                | \$860                  | \$1,720              | \$860            |
| Revolutionary Racing Kentucky, LLC | 0          | \$0                 | \$0                 | \$0                | \$0                | \$0             | \$0              | \$0                | \$0                | \$0              | \$0              | \$0            | \$0         | \$0                  | \$0                    | \$0                  | \$0              |
| Turfway Park, LLC                  | 0          | \$0                 | \$0                 | \$0                | \$0                | \$0             | \$0              | \$0                | \$0                | \$0              | \$0              | \$0            | \$0         | \$0                  | \$0                    | \$0                  | \$0              |
| WKY Development, LLC               | 6          | \$30,560            | \$19,556            | \$6,482            | \$4,512            | \$41            | (\$30)           | \$5,093            | \$0                | \$458            | \$0              | \$306          | \$0         | \$31                 | \$31                   | \$61                 | \$31             |
| <b>Grand Total</b>                 | <b>148</b> | <b>\$31,781,033</b> | <b>\$24,008,775</b> | <b>\$5,640,833</b> | <b>\$3,143,375</b> | <b>\$26,110</b> | <b>(\$6,572)</b> | <b>\$214,737</b>   | <b>\$154,222</b>   | <b>\$476,715</b> | <b>\$231,333</b> | <b>\$9,366</b> | <b>\$0</b>  | <b>\$31,781</b>      | <b>\$31,781</b>        | <b>\$63,562</b>      | <b>\$108,892</b> |

### Simulcast Wagers on Interstate and Intertrack Live Horse Racing

| Licensee                       | Facility          | Off-Track Handle (Sim..) | Thoroughbred        | Standardbred       | Quarter Horse    | Other Breeds   | Excise Tax - Simulcast | TB Dev Fund-Sim  | SB Dev Fund-Sim | QH Dev Fund-Sim | PH/AP/AR Dev Fund-Sim | Equine Drug Research | Higher Education E.. | Equine Industry Pro.. | General Fund     |
|--------------------------------|-------------------|--------------------------|---------------------|--------------------|------------------|----------------|------------------------|------------------|-----------------|-----------------|-----------------------|----------------------|----------------------|-----------------------|------------------|
| Churchill Downs Racetrack, LLC | Churchill Downs   | \$8,157,032              | \$7,849,950         | \$265,409          | \$41,673         | \$0            | \$122,355              | \$78,500         | \$2,654         | \$417           | \$0                   | \$4,079              | \$4,079              | \$2,039               | \$30,589         |
| ECL Corbin, L.                 | Louisville Thor.. | \$33,426                 | \$32,809            | \$509              | \$108            | \$0            | \$0                    | \$0              | \$0             | \$0             | \$0                   | \$0                  | \$0                  | \$0                   | \$0              |
| ECL Corbin, L.                 | Cumberland R.     | \$232,139                | \$221,358           | \$8,680            | \$1,858          | \$243          | \$0                    | \$0              | \$0             | \$0             | \$0                   | \$0                  | \$0                  | \$0                   | \$0              |
| Ellis Entertainment, LLC       | Ellis Park Rac.   | \$4,010,201              | \$3,684,750         | \$315,719          | \$9,733          | \$0            | \$60,153               | \$36,847         | \$3,157         | \$97            | \$0                   | \$2,005              | \$2,005              | \$1,003               | \$15,038         |
| Keeneland As..                 | Keeneland Ra..    | \$8,743,001              | \$8,715,008         | \$0                | \$27,993         | \$0            | \$131,145              | \$87,150         | \$0             | \$280           | \$0                   | \$4,372              | \$4,372              | \$2,186               | \$32,786         |
| Kentucky Do..                  | Kentucky Dow..    | \$3,917,093              | \$3,707,752         | \$160,118          | \$45,949         | \$3,274        | \$58,756               | \$37,078         | \$1,601         | \$492           | \$33                  | \$1,959              | \$1,959              | \$979                 | \$14,689         |
| Lexington Tro..                | Red Mile Racet..  | \$3,935,542              | \$0                 | \$3,914,767        | \$20,713         | \$62           | \$0                    | \$0              | \$0             | \$0             | \$0                   | \$0                  | \$0                  | \$0                   | \$0              |
| Revolutionary..                | Revolutionary ..  | \$541,517                | \$496,134           | \$42,076           | \$3,308          | \$0            | \$8,123                | \$4,961          | \$421           | \$33            | \$0                   | \$271                | \$271                | \$135                 | \$2,031          |
| Turfway Park, ..               | Turfway Park ..   | \$4,397,767              | \$4,245,523         | \$107,042          | \$45,202         | \$0            | \$65,967               | \$42,455         | \$1,070         | \$452           | \$0                   | \$2,199              | \$2,199              | \$1,099               | \$16,492         |
| WKY Develop..                  | Oak Grove Ra..    | \$1,223,524              | \$1,052,994         | \$133,622          | \$36,909         | \$0            | \$0                    | \$0              | \$0             | \$0             | \$0                   | \$0                  | \$0                  | \$0                   | \$0              |
| <b>Grand Total</b>             |                   | <b>\$35,191,243</b>      | <b>\$30,006,278</b> | <b>\$4,947,942</b> | <b>\$233,445</b> | <b>\$3,579</b> | <b>\$446,499</b>       | <b>\$286,991</b> | <b>\$8,904</b>  | <b>\$1,771</b>  | <b>\$33</b>           | <b>\$14,883</b>      | <b>\$14,883</b>      | <b>\$7,442</b>        | <b>\$111,625</b> |

## Pari-Mutuel Wagering for FY 2026 (YTD)

THE FOLLOWING REPORTING IS FOR ILLUSTRATIVE PURPOSES ONLY AND MAY BE AMENDED AS DEEMED SUITABLE BY THE KENTUCKY HORSE RACING AND GAMING CORPORATION. THE DISTRIBUTIONS FROM THE EXCISE TAX HAVE BEEN CALCULATED PURSUANT TO KRS 138 AND GUIDANCE FROM THE DEPARTMENT OF REVENUE.

FY 2026

### On-Track Wagers on Historical Horse Racing

| Licensee                       | Facility      | On-Track Handle | Return to Public | Pool Growth | Breakage | Gross Commission - ... | Excise Tax - HHR | TB Dev Fund-HHR | SB Dev Fund-HHR | QH Dev Fund-HHR | General Fund and Other | Adj. Commission - Win |
|--------------------------------|---------------|-----------------|------------------|-------------|----------|------------------------|------------------|-----------------|-----------------|-----------------|------------------------|-----------------------|
| Churchill Downs Racetrack, LLC | Derby City .. | \$1,013,186,452 | \$922,860,113    | (\$895,030) | \$2,517  | \$91,218,853           | \$15,197,797     | \$7,598,898     | \$0             | \$0             | \$7,598,898            | \$76,021,056          |
| ECL Corbin, LLC                | Derby City .. | \$80,087,896    | \$72,321,180     | \$335,526   | \$799    | \$7,430,383            | \$1,201,318      | \$600,659       | \$0             | \$0             | \$600,659              | \$6,229,004           |
|                                | Corbin        | \$22,949,233    | \$20,594,967     | \$230,880   | \$163    | \$2,123,224            | \$344,238        | \$0             | \$229,492       | \$0             | \$114,746              | \$1,778,985           |
|                                | Williamsbu..  | \$228,151,811   | \$205,934,201    | \$294,065   | \$3,448  | \$21,920,096           | \$3,422,277      | \$0             | \$2,281,518     | \$0             | \$1,140,759            | \$18,497,819          |
| Ellie Entertainment, LLC       | Henderson     | \$111,606,287   | \$100,498,319    | \$293,607   | \$1,416  | \$10,811,646           | \$1,674,079      | \$937,040       | \$0             | \$0             | \$937,040              | \$9,137,966           |
|                                | Owensboro     | \$240,986,212   | \$217,777,988    | \$686,570   | \$3,390  | \$22,518,264           | \$3,614,793      | \$1,807,397     | \$0             | \$0             | \$1,807,397            | \$18,903,471          |
| Kentucky Downs, LLC            | Bowling Gr..  | \$210,854,467   | \$189,874,237    | \$1,103,071 | \$2,214  | \$19,874,946           | \$3,162,817      | \$1,581,409     | \$0             | \$0             | \$1,581,409            | \$16,712,129          |
|                                | Franklin      | \$857,677,539   | \$773,856,844    | \$1,841,434 | \$18,333 | \$78,965,877           | \$12,798,088     | \$6,395,044     | \$0             | \$0             | \$6,395,044            | \$64,165,739          |
| Lexington Trots Br..           | Red Mile      | \$520,080,161   | \$471,425,802    | \$1,916,631 | \$20,783 | \$46,716,953           | \$7,801,202      | \$1,950,301     | \$2,600,401     | \$0             | \$3,250,501            | \$38,915,750          |
| Revolutionary Rac..            | Sandy's Ra..  | \$171,619,853   | \$155,907,381    | \$268,018   | \$5,815  | \$15,437,638           | \$2,574,298      | \$0             | \$0             | \$1,716,199     | \$858,069              | \$12,863,340          |
| Turfway Park, LLC              | Newport       | \$171,252,633   | \$154,683,059    | \$423,341   | \$321    | \$16,135,911           | \$2,568,789      | \$1,284,395     | \$0             | \$0             | \$1,284,395            | \$13,567,122          |
|                                | Turfway Pa..  | \$322,802,443   | \$291,270,798    | \$1,010,764 | \$1,281  | \$30,619,611           | \$4,943,537      | \$2,421,789     | \$0             | \$0             | \$2,421,789            | \$25,776,074          |
| WKY Development...             | Oak Grove     | \$624,003,495   | \$570,468,802    | (\$100,807) | \$2,787  | \$73,635,085           | \$12,360,052     | \$3,090,013     | \$4,120,017     | \$0             | \$5,150,022            | \$61,275,633          |
| Grand Total                    |               | \$4,770,352,443 | \$4,327,493,777  | \$7,406,073 | \$63,264 | \$435,399,337          | \$71,555,287     | \$27,566,923    | \$9,231,429     | \$1,716,199     | \$33,040,737           | \$363,844,050         |

### Advance Deposit Account Wagering

| Licensee           | Total Handle | In-State Races | Out-of-State Races | Excise Tax - ADW | Host Track - Purses | Host Tracks | General Fund-ADW |
|--------------------|--------------|----------------|--------------------|------------------|---------------------|-------------|------------------|
| AmWest Entertain.. | \$360,755    | \$41,503       | \$319,252          | \$5,411          | \$2,300             | \$2,300     | \$812            |
| eBet Technologies  | \$198,399    | \$13,312       | \$185,087          | \$2,976          | \$1,295             | \$1,295     | \$446            |
| Lien Games Racing  | \$491,096    | \$21,792       | \$469,304          | \$7,366          | \$3,131             | \$3,131     | \$1,105          |
| NYRABets           | \$8,153,087  | \$2,043,617    | \$6,109,470        | \$122,296        | \$51,976            | \$51,976    | \$18,344         |
| TVG                | \$49,091,145 | \$12,450,955   | \$36,640,190       | \$736,367        | \$312,956           | \$312,956   | \$110,455        |
| Twinspires         | \$70,859,976 | \$74,799,353   | \$46,070,674       | \$1,062,885      | \$451,776           | \$451,776   | \$159,433        |
| WatchandWager.c..  | \$52,334     | \$8,806        | \$43,529           | \$785            | \$334               | \$334       | \$118            |
| Xpressbet          | \$14,104,024 | \$5,985,080    | \$8,118,944        | \$211,560        | \$89,913            | \$89,913    | \$31,734         |
| Grand Total        |              | \$143,309,816  | \$45,353,416       | \$97,956,400     | \$2,149,647         | \$913,600   | \$322,447        |

### Total Distributions by Account

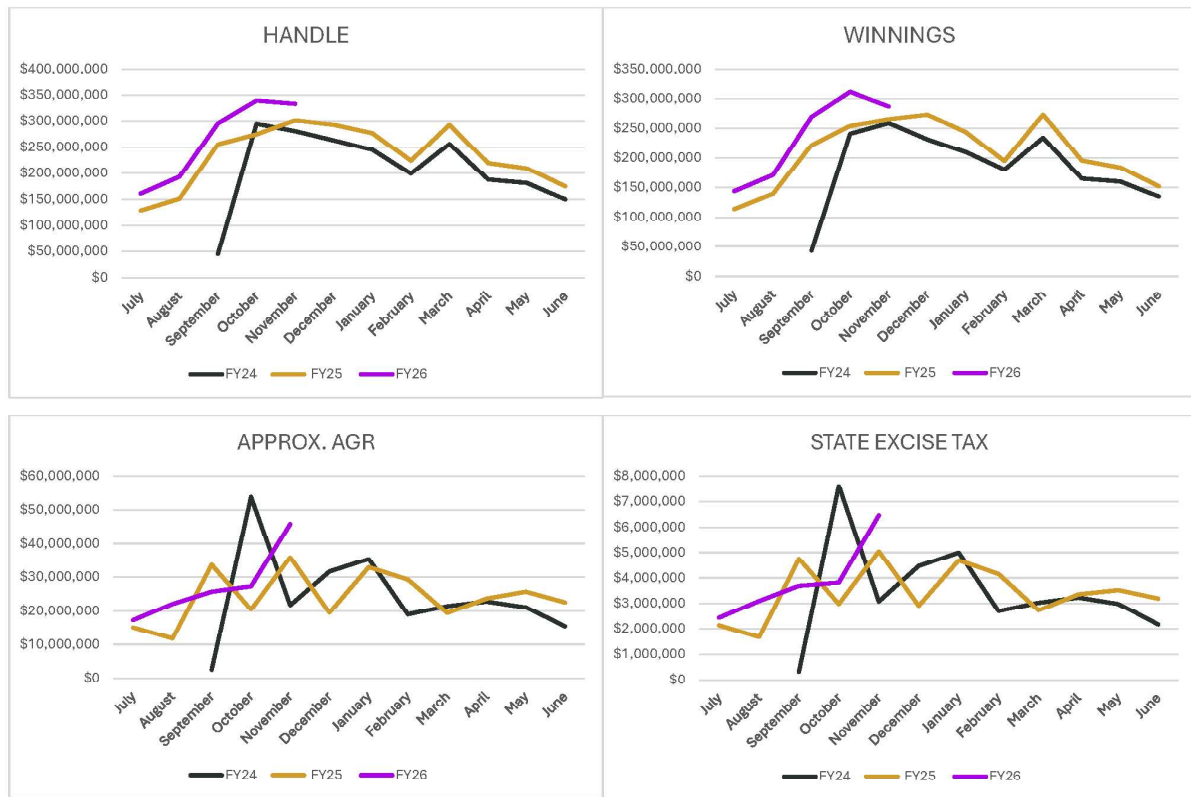
The General Fund line includes amounts that are deposited to various accounts. These caps are calculated from deposits resulting from the excise tax for conducting pari-mutuel wagering on historical horse racing. Since these amounts are calculated as a whole and not per licensee, a one-time transfer will be made once the caps are met within the fiscal year. At the end of the fiscal year, approximately \$2M must be deducted from this line in order to determine the actual amount retained by the General Fund. Please contact the Department of Revenue with any questions regarding these transfers.

|                                  |              |
|----------------------------------|--------------|
| Total Excise Tax                 | \$77,268,149 |
| Total Equine Drug Research       | \$366,664    |
| Total Equine Industry Program    | \$2,071,004  |
| Total Higher Education Program   | \$366,664    |
| K Thoroughbred Development Fund  | \$79,085,747 |
| K Standardbred Development Fund  | \$9,249,699  |
| K Quarter Horse Development Fund | \$1,717,970  |
| Host Track - Purses              | \$913,600    |
| Host Tracks                      | \$913,600    |
| General Fund (See Note on Caps)  | \$33,583,700 |
| Backside Improvement Fund        | \$154,222    |

## SPORTS WAGERING



### SPORTS WAGERING REVENUE REPORT: FY Comparison of Handle, Winnings, Approximate AGR and State Excise Tax





## Online - October 2025

|                         | Churchill Downs | Cumberland Run | Ellis Park                   | Kentucky Downs | Oak Grove    | Red Mile     | Sandy's      | Turfway Park | Grand Total   |
|-------------------------|-----------------|----------------|------------------------------|----------------|--------------|--------------|--------------|--------------|---------------|
|                         | Prime           | DraftKings     | Penn Sports Interactive, LLC | Circa          | Fanatics     | Caesars      | bet365       | BetMGM       | Fanduel       |
| Wagers                  | \$1,057,119     | \$131,169,932  | \$9,649,429                  | \$2,669,964    | \$14,176,268 | \$13,727,202 | \$25,906,793 | \$16,680,027 | \$114,543,584 |
| Winnings                | \$1,052,757     | \$120,911,917  | \$8,933,377                  | \$2,482,548    | \$12,856,103 | \$12,413,507 | \$23,788,707 | \$16,108,580 | \$103,693,590 |
| Federal Excise Tax Paid | \$2,643         | \$320,976      | \$24,202                     | \$6,667        | \$34,107     | \$32,917     | \$61,454     | \$40,789     | \$271,595     |
| Adjusted Gross Revenue  | \$1,719         | \$9,937,039    | \$691,850                    | \$180,749      | \$1,286,059  | \$1,280,777  | \$2,056,633  | \$530,659    | \$10,578,399  |
| Kentucky Excise Tax     | \$245           | \$1,416,028    | \$98,589                     | \$0            | \$183,263    | \$182,511    | \$293,070    | \$75,619     | \$1,507,422   |
|                         |                 |                |                              |                |              |              |              |              |               |

## Retail - October 2025

|                         | Churchill Downs | Cumberland Run | Ellis Park | Kentucky Downs |            | Oak Grove   | Red Mile    | Sandy's   | Turfway Park | Grand Total  |
|-------------------------|-----------------|----------------|------------|----------------|------------|-------------|-------------|-----------|--------------|--------------|
|                         | Kambi           | DraftKings     | Kambi      | Circa          | DraftKings | Kambi       | Caesars     | BetMGM    | Kambi        |              |
| Wagers                  | \$2,990,864     | \$373,468      | \$364,401  | \$924,223      | \$287,068  | \$1,226,601 | \$3,176,874 | \$151,306 | \$951,255    | \$10,446,060 |
| Winnings                | \$2,771,943     | \$329,038      | \$350,784  | \$786,028      | \$281,662  | \$1,091,979 | \$3,073,184 | \$132,920 | \$850,390    | \$9,667,929  |
| Federal Excise Tax Paid | \$7,990         | \$937          | \$0        | \$2,309        | \$721      | \$3,067     | \$7,942     | \$0       | \$2,410      | \$25,376     |
| Adjusted Gross Revenue  | \$210,930       | \$43,492       | \$13,617   | \$135,887      | \$4,685    | \$131,556   | \$95,748    | \$18,386  | \$98,454     | \$752,756    |
| Kentucky Excise Tax     | \$20,566        | \$4,241        | \$0        | \$13,249       | \$457      | \$12,827    | \$1,071     | \$1,793   | \$9,599      | \$63,801     |

### Glossary:

**Wagers** includes both wagers paid out and wagers resolved for the reporting period.

**Winnings** is the amount returned to winning players for the reporting period.

**Federal Excise Tax Paid** reflects the amount reported by each operator/service provider for the federal excise tax on sports wagering.

**Adjusted Gross Revenue (AGR)** is the sum of settled wagers collected on all sporting events, less winnings paid and excise taxes paid according to federal law.

**Kentucky Excise Tax** is tax paid to the state, calculated from taxable AGR at the rate of 9.75% for wagers placed at retail locations and 14.25% for online wagering.

\*\*Penn Sports is now marketed as ESPNbet.

**Data is provided for informational purposes using self-reported values submitted by licensees and is subject to change. For more information on tax data, please contact the Department of Revenue.**



## Online - November 2025

|                         | Churchill Downs | Cumberland Run | Ellis Park        | Kentucky Downs | Oak Grove    | Red Mile     | Sandy's      |              | Turfway Park  | Grand Total   |
|-------------------------|-----------------|----------------|-------------------|----------------|--------------|--------------|--------------|--------------|---------------|---------------|
|                         | Prime           | DraftKings     | Penn Sports Int.. | Circa          | Fanatics     | Caesars      | bet365       | BetMGM       | Fanduel       |               |
| Wagers                  | \$754,579       | \$126,180,998  | \$7,556,479       | \$2,494,161    | \$14,603,933 | \$16,408,577 | \$26,317,956 | \$22,040,771 | \$108,083,138 | \$324,440,593 |
| Winnings                | \$692,187       | \$108,452,093  | \$6,508,382       | \$2,333,902    | \$12,952,994 | \$15,401,396 | \$23,222,090 | \$19,821,267 | \$89,456,970  | \$278,841,281 |
| Federal Excise Tax Paid | \$1,886         | \$310,257      | \$18,735          | \$6,234        | \$35,257     | \$40,363     | \$62,512     | \$53,173     | \$258,382     | \$786,800     |
| Adjusted Gross Revenue  | \$60,505        | \$17,418,649   | \$1,029,363       | \$154,025      | \$1,615,682  | \$966,817    | \$3,033,353  | \$2,166,331  | \$18,367,786  | \$44,812,512  |
| Kentucky Excise Tax     | \$8,622         | \$2,482,157    | \$146,684         | \$0            | \$230,235    | \$137,771    | \$432,253    | \$308,702    | \$2,617,409   | \$6,363,834   |

## Retail - November 2025

|                         | Churchill Downs | Cumberland Run | Ellis Park | Kentucky Downs |            | Oak Grove | Red Mile    | Sandy's   | Turfway Park | Grand Total |
|-------------------------|-----------------|----------------|------------|----------------|------------|-----------|-------------|-----------|--------------|-------------|
|                         | Kambi           | DraftKings     | Kambi      | Circa          | DraftKings | Kambi     | Caesars     | BetMGM    | Kambi        |             |
| Wagers                  | \$3,445,702     | \$376,145      | \$245,808  | \$902,765      | \$291,515  | \$874,124 | \$1,715,771 | \$262,578 | \$1,038,942  | \$9,153,351 |
| Winnings                | \$3,231,243     | \$377,939      | \$221,863  | \$824,391      | \$233,636  | \$733,413 | \$1,337,470 | \$223,590 | \$905,885    | \$8,089,431 |
| Federal Excise Tax Paid | \$8,165         | \$961          | \$0        | \$2,247        | \$739      | \$2,185   | \$4,289     | \$0       | \$2,489      | \$21,075    |
| Adjusted Gross Revenue  | \$206,294       | (\$2,755)      | \$23,945   | \$76,127       | \$57,141   | \$138,525 | \$374,012   | \$38,987  | \$130,569    | \$1,042,845 |
| Kentucky Excise Tax     | \$20,114        | \$0            | \$1,716    | \$7,422        | \$5,571    | \$13,506  | \$36,466    | \$3,801   | \$12,730     | \$101,327   |

### Glossary:

**Wagers** includes both wagers paid out and wagers resolved for the reporting period.

**Winnings** is the amount returned to winning players for the reporting period.

**Federal Excise Tax Paid** reflects the amount reported by each operator/service provider for the federal excise tax on sports wagering.

**Adjusted Gross Revenue (AGR)** is the sum of settled wagers collected on all sporting events, less winnings paid and excise taxes paid according to federal law.

**Kentucky Excise Tax** is tax paid to the state, calculated from taxable AGR at the rate of 9.75% for wagers placed at retail locations and 14.25% for online wagering.

\*\*Penn Sports is now marketed as ESPNbet.

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## Online - All

|                         | Churchill Downs | Cumberland Run  | Ellis Park       | Kentucky Downs | Oak Grove     | Red Mile      | Sandy's       |               | Turfway Park    | Grand Total     |
|-------------------------|-----------------|-----------------|------------------|----------------|---------------|---------------|---------------|---------------|-----------------|-----------------|
|                         | Prime           | DraftKings      | Penn Sports Int. | Circa          | Fanatics      | Caesars       | bet365        | BetMGM        | Fanduel         |                 |
| Wagers                  | \$2,877,182     | \$2,327,264,977 | \$204,144,843    | \$50,018,937   | \$232,398,279 | \$293,525,967 | \$506,525,335 | \$344,505,888 | \$2,079,746,005 | \$6,041,007,414 |
| Winnings                | \$2,762,701     | \$2,065,595,704 | \$184,150,428    | \$49,595,990   | \$211,536,217 | \$269,876,656 | \$463,974,127 | \$307,805,678 | \$1,813,608,954 | \$5,368,906,455 |
| Federal Excise Tax Paid | \$7,202         | \$5,543,282     | \$510,787        | \$124,673      | \$556,411     | \$713,884     | \$1,198,808   | \$775,666     | \$4,917,865     | \$14,348,579    |
| Adjusted Gross Revenue  | \$107,280       | \$256,125,991   | \$19,483,827     | \$298,274      | \$20,305,848  | \$22,935,427  | \$41,352,400  | \$35,924,544  | \$261,219,186   | \$657,752,577   |
| Kentucky Excise Tax     | \$15,287        | \$36,497,954    | \$2,776,445      | \$51,290       | \$2,893,555   | \$3,268,268   | \$5,892,717   | \$5,119,248   | \$37,223,734    | \$93,738,498    |

## Retail - All

|                         | Churchill Downs | Cumberland Run | Ellis Park  | Kentucky Downs |             | Oak Grove    | Red Mile     | Sandy's     | Turfway Park | Grand Total   |
|-------------------------|-----------------|----------------|-------------|----------------|-------------|--------------|--------------|-------------|--------------|---------------|
|                         | Kambi           | DraftKings     | Kambi       | Circa          | DraftKings  | Kambi        | Caesars      | BetMGM      | Kambi        |               |
| Wagers                  | \$72,662,731    | \$8,028,849    | \$5,979,443 | \$7,900,447    | \$3,287,777 | \$17,149,455 | \$43,294,148 | \$5,123,721 | \$31,046,712 | \$194,473,283 |
| Winnings                | \$67,488,982    | \$7,519,567    | \$5,849,252 | \$7,131,713    | \$2,978,756 | \$15,160,149 | \$38,553,108 | \$4,236,245 | \$29,232,650 | \$178,150,421 |
| Federal Excise Tax Paid | \$183,139       | \$20,056       | \$8,918     | \$19,685       | \$8,270     | \$42,873     | \$108,235    | \$0         | \$77,799     | \$468,975     |
| Adjusted Gross Revenue  | \$4,990,589     | \$489,191      | \$121,233   | \$749,049      | \$300,752   | \$1,946,432  | \$4,632,805  | \$887,456   | \$1,736,263  | \$15,853,770  |
| Kentucky Excise Tax     | \$486,584       | \$47,968       | \$11,922    | \$73,032       | \$29,323    | \$189,777    | \$451,699    | \$86,529    | \$169,286    | \$1,546,121   |

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